

RESOLUTION 28 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, REFERRING THE AMENDMENT OF THE DEFINITION OF
“HOTEL” IN THE CITY OF KINGSTON ZONING CODE THE HLPC, CITY OF
KINGSTON PLANNING BOARD, AND THE ULSTER COUNTY PLANNING
BOARD**

Sponsored By: Laws & Rules Committee: Alderman Ventura
 Morell, Scott-Childress, Tallerman, O’Reilly,
 Worthington

WHEREAS, a request has been made to amend the definition of “hotel” as stated in the City of Kingston zoning code so as the definitions are consistent throughout the Code and also consistent with the definition contained within the Ulster County Code; and

WHEREAS, pursuant to Section 239-m of the General Municipal Law, such request to amend the Zoning Code shall be referred to the City of Kingston Planning Board, the Ulster County Planning Board and the Historic Landmarks Preservation Commission for associated review and comments;

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1 –That the following proposal to amend the definition of “HOTEL” in section 405-3 of the City of Kingston Code to provide:

“A building or portion of it which is regularly used and kept open for lodging of transient guests on an overnight basis. The term “hotel” or “motel” includes **short term rentals**, an apartment hotel, motor court or inn, “tourist cabins”, boardinghouse or club, or similar hotel or motel type of accommodations by whatever name designated, whether or not meals are served, and shall include those facilities commonly known as “bed and breakfasts” and “**short term rentals**”,

be and is hereby referred to the Ulster County Planning Board, the City of Kingston Planning Board and the Historic Landmarks Preservation Commission.

SECTION 2 – That same is declared a Type 2 action pursuant to NYCRR Part 617.

SECTION 3- That this resolution shall take place immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

RESOLUTION 29 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2020 TRANSFER IN THE
ENGINEERING DEPARTMENT IN THE AMOUNT OF \$35,343.16 FROM
CONTINGENCY TO PAY CSX RAILROAD FEES RELATING TO A CITY
STORM DRAIN PROJECT**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the Engineering Department has requested a transfer in the amount of \$35,343.16 from contingency to pay CSX railroad fees related to a city storm drain project; and

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1- That the following sums be transferred as follows:

FROM:	A1.1990.14.5404	Contingency	\$35,343.16
TO:	A1.1440.5301	Capital Cont. Services	\$35,343.16

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

RESOLUTION 30 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2021 TRANSFER IN THE
GRANTS MANAGEMENT DEPARTMENT IN THE AMOUNT OF \$6,000.00 TO
PAY THE COST OF OVERTIME.**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the Grants Management Department has requested a transfer in the amount of \$6,000.00 to cover the cost of overtime

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1- That the following sums be transferred as follows:

FROM:	A1.1440.18.5821	Engineering Med. Ins.	\$1,000.00
	A1.1440.11.5101	Engineering reg. pay	\$2,000.00
	A1.1990.14.5404	Contingency	\$3,000.00
TO:	A1.6990.11.5103	Grants Mngt. Overtime Pay	\$6000.00

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

1 THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

REQUEST DESCRIPTION		
INTERNAL TRANSFER _____	CONTINGENCY TRANSFER <u>X</u> _____	TRANSFER <u>X</u> _____
AUTHORIZATION _____	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER _____

DEPARTMENT: Grants Management 2021 DATE: 2/10/2021

Description:
Transfer \$6000 of funds into the Grants Management account for overtime costs.

From:
Engineering Medical Insurance – A11440.18.5821: \$1000
Engineering Regular Pay – A11440.11.5101: \$2000
Contingency – A11990.14.5404: \$3000

To:
Grants Management Overtime Pay – A16990.11.5103

Estimated Financial Impact: \$3000.00 Signature _____

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Reynolds Scott Childress, Ward 3, Chairman		
Don Tallerman, Ward 5		
Anthony Davis, Ward 6		
Michele Hirsch, Ward 9		
Steven Schabot, Ward 8		

CITY OF KINGSTON
Office of Grants Management
grants@kingston-ny.gov

Fin. Auct.!

Kristen E. Wilson, Director



Steven T. Noble, Mayor

January 28, 2021

Honorable Andrea Shaut
President/Alderman-at-Large
Kingston Common Council
420 Broadway
Kingston, NY 12401

Re: Request for Overtime Funds

Dear President Shaut,

The Office of Grants Management requests placement on the agenda of the appropriate committee for a request to transfer additional funds into the Grants Management budget to cover overtime costs for the Grants Manager.

Due to the City's large grant project portfolio (the current numbers and project statuses are shown in the grants activity update delivered this month), and due to recent shortages in staff, there is a need for the Grants Manager to work extra hours in order to complete the minimum requirements needed to keep our grants in good standing. We have put some projects on hold, but there are still inevitable deadlines we must meet for many funders. In addition, to save local taxpayer dollars, new funding can be acquired for existing projects the City has already planned to complete. We anticipate new funding sources to become increasingly available as a result of the change in federal administration.

We have estimated at this time that \$6000 is needed. We are able to transfer \$3000 from the Engineering Department as a result of savings incurred by not hiring two employees on the exact start date originally planned. We request that the additional \$3000 be transferred from contingency.

Thank you for your consideration.

Sincerely,

A handwritten signature in cursive script that reads "Kristen Wilson".

Kristen Wilson

RESOLUTION 31 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2021
INTERDEPARTMENTAL TRANSFER IN THE AMOUNT OF \$7,900.00 IN THE
COMPTROLLER'S DEPARTMENT TO BALANCE THE BUDGET**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the Comptroller's Department has requested a transfer in the amount of \$7,900.00 to cover the cost of overtime

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

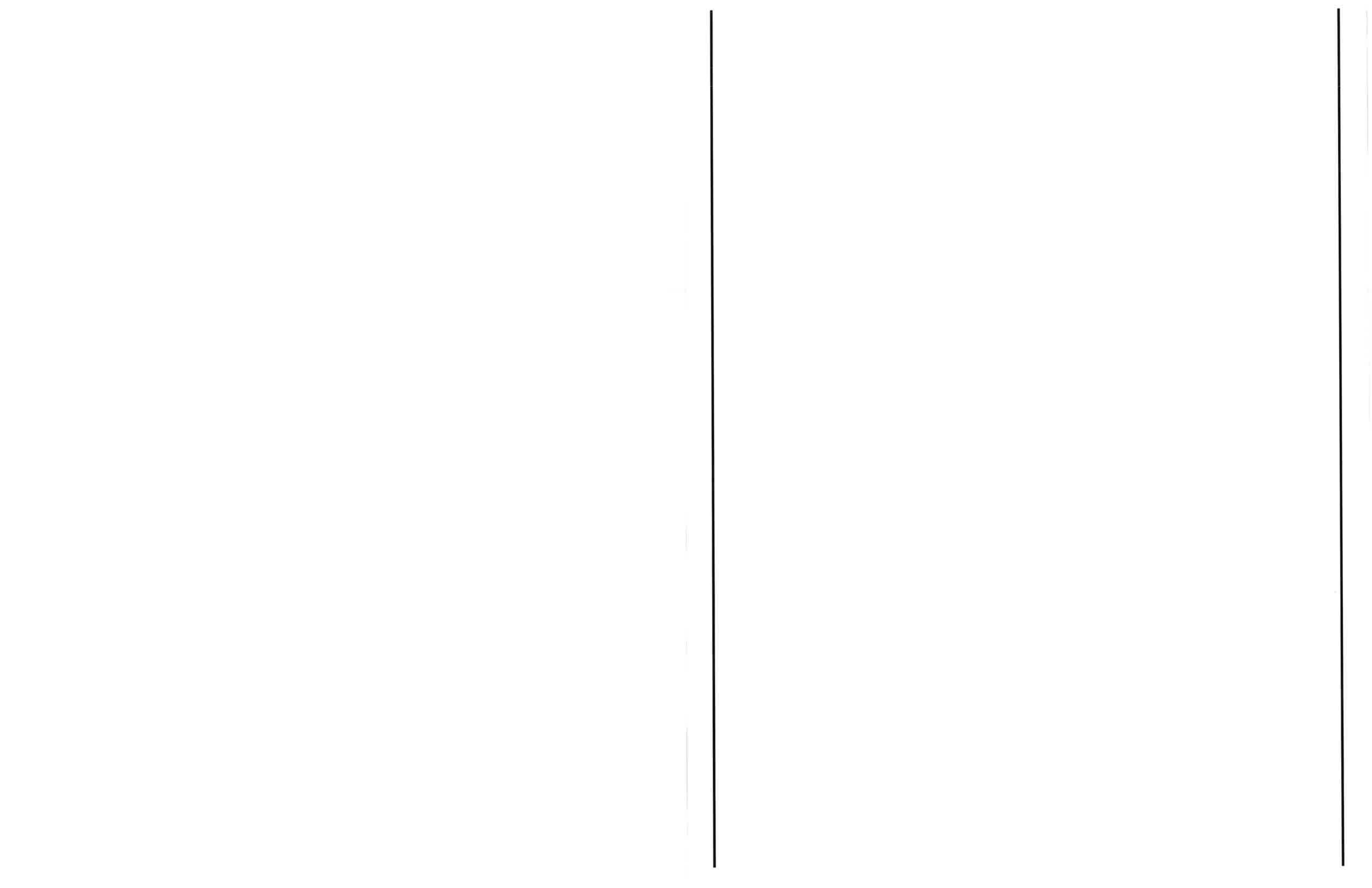
SECTION 1- That the following sums be transferred as follows:

FROM:	A1.1330.5111	Seasonal	\$4,000.00
	A1.3320.5103	Overtime	\$1,200.00
	A1.3320.5118	Standby Pay	\$2,700.00
TO:	A1.1315.5102	Longevity	\$550.00
	A1.1315.5103	Overtime	\$1,900.00
	A1.1320.5411	Consultant	3,000.00
	A1.1330.5103	Overtime	\$1,900.00
	A1.3320.5102	Longevity	\$550.00

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021



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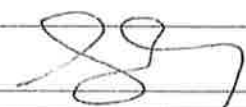
THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION		
INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION <u>X</u> _____	BUDGET MODIFICATION <u>X</u> _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER _____

DEPARTMENT Comptroller DATE 1/28/2021

Description: Respectfully request approval of interdepartmental 2021 budgetary transfers

Estimated Financial Impact N/A Signature 

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:
 Type I Action _____
 Type II Action _____
 Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Douglas Koop, Chairman	<input type="checkbox"/>	<input type="checkbox"/>
Reynolds Scott-Childress Ward 3	<input type="checkbox"/>	<input type="checkbox"/>
Anthony Davis, Ward 6	<input type="checkbox"/>	<input type="checkbox"/>
Michele Hirsch, Ward 9	<input type="checkbox"/>	<input type="checkbox"/>
Steven Schabot, Ward 8	<input type="checkbox"/>	<input type="checkbox"/>

CITY OF KINGSTON
Office of the Comptroller
comptroller@kingston-ny.gov

Fin + Audit

John Tuey, Comptroller



Steven T. Noble, Mayor

January 28, 2021


Alderman At Large Andrea Shaut, President
City of Kingston Common Council
City Hall, 420 Broadway
Kingston, NY 12401

RE: Mid-Year 2021 Budgetary Transfers

Dear President Shaut,

I am submitting the attached 2021 budgetary transfers to accommodate necessary adjustments to my office's 2021 budget. There is no overall financial impact from these transfers.

Respectfully,


John R. Tuey, CPA
Comptroller, City of Kingston

General Fund Budgetary Transfer

To:

<u>Fund</u>	<u>Dept</u>	<u>Acct #</u>	<u>Acct Description</u>	<u>Amount</u>
A1	1315	5102	Longevity	550.00
A1	1315	5103	Overtime	1,900.00
A1	1320	5411	Consultant	3,000.00
A1	1330	5103	Overtime	1,900.00
A1	3320	5102	Longevity	550.00
				7,900.00

From:

<u>Fund</u>	<u>Dept</u>	<u>Acct #</u>	<u>Acct Description</u>	<u>Amount</u>
A1	1330	5111	Seasonal	4,000.00
A1	3320	5103	Overtime	1,200.00
A1	3320	5118	Standby Pay	2,700.00
				7,900.00

RESOLUTION 32 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2020 TRANSFER IN THE
PLANNING DEPARTMENT IN THE AMOUNT OF \$65.00 TO COVER THE
COST OF OVERRUN IN ADVERTISING EXPENSES**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the Planning Department has requested a transfer in the amount of \$65.00 to cover the cost of advertising expenses

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1- That the following sums be transferred as follows:

FROM: A1.8.8021.11.5103 Overtime \$65.00
TO: A1.8.8021.11.5464 Advertising \$65.00

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021
Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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1THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

<u>REQUEST DESCRIPTION</u>		
INTERNAL TRANSFER <input checked="" type="checkbox"/>	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION _____	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER _____

DEPARTMENT: Planning DATE: 1-27-21

Description: Authorize FY 2020 Planning Budget Modification to Cover Cost Overrun in Advertising Expenses

Decrease Budget Line A1-8-8020-11-5103 (Overtime) \$65.00

Increase Budget Line A1-8-8020-11-5464 (Advertising) \$65.00

Estimated Financial Impact: \$0 Signature: *Rayanne Chill*

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Reynolds Scott Childress, Ward 3, Chairman	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Anthony Davis, Ward 6	<input type="checkbox"/>	<input type="checkbox"/>
Michele Hirsch, Ward 9	<input type="checkbox"/>	<input type="checkbox"/>
Steven Schabot, Ward 8	<input type="checkbox"/>	<input type="checkbox"/>

CITY OF KINGSTON
Kingston Planning
planning@kingston-ny.gov

Fin Audit

Suzanne Cahill, Planning Director
Kyla Dedea, Assistant Planner



Steven T. Noble, Mayor

January 27, 2021

Ald. At Large Andrea Shaut, President
City of Kingston Common Council
City Hall - 420 Broadway
Kingston, New York 12401

Re: FY 2020 Budget Amendment - Planning

Dear Pre. Shaut:

In reconciling our FY 2020 budget accounts, I need to request a budget amendment to the Planning Board Account, to cover an advertising expense, as follows:

INCREASE BUDGET LINE A1-8-8020-11-5464 (Advertising) by \$65.00

DECREASE BUDGET LINE A1-8-8020-11-5103 (Overtime) by \$65.00

If there are any questions, please do not hesitate to contact our office to discuss.

Be well and stay safe.

Sincerely,

Suzanne Cahill
Planning Director

CC: S. Noble, Mayor
Ald. R. Scott-Childress, W3, Chairman F&ED
J. Tuey, Comptroller

RESOLUTION 33 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2021 TRANSFER IN THE
CITY CLERK'S BUILDING MAINTENANCE BUDGET IN THE AMOUNT OF
\$23,627.00 FOR CARRYOVER OF UNSPENT GRANT MONIES FROM
NYSERDA CLEAN ENERGY COMMUNITIES GRANT PROJECT**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the City Clerk's Department has requested a transfer in the amount of \$23,627.00 for carryover of unspent grant monies from NYSERDA Clean Energy Communities Grant Project "City Hall Energy Efficiency Upgrades." Reimbursement will come from funding provided by a NYSERDA grant in the amount of \$23,627.00, there is no required match.

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1- That the following sums be transferred as follows:

FROM:	A1.1620.43389	NYS Grant	\$23,627.00
TO:	A1.1620.5301	Contracted Services	\$23,627.00

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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1THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT COMMITTEE REPORT

<u>REQUEST DESCRIPTION</u>		
INTERNAL TRANSFER _____ AUTHORIZATION _____ CLAIMS _____	CONTINGENCY TRANSFER _____ BUDGET MODIFICATION _____ ZONING _____	TRANSFER <u>x</u> _____ BONDING REQUEST _____ OTHER _____

DEPARTMENT: City Clerk DATE: 1/28/2021

Description: Transfer funds in the Building Maintenance Budget for carryover of unspent grant monies from the NYSERDA Clean Energy Communities grant project "City Hall Energy Efficiency Upgrades" in the amount of \$23,627. Reimbursement will come from funding provided by a NYSERDA grant in the amount of \$23,627, and there is no required match.

FROM General Account # A1620.43389	NYS Grant	\$23,627
TO General Account # A1620.5301	Contracted Services	\$23,627

Estimated Financial Impact: \$ _____ Signature [Signature]

2021

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:

Type I Action

Type II Action

Unlisted Action

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Reynolds Scott Childress, Ward 3, Chairman		
Don Tallerman, Ward 5		
Anthony Davis, Ward 6		
Michele Hirsch, Ward 9		
Steven Schabot, Ward 8		

Fin Audit

City of Kingston
Parks and Recreation Department
ltimbrouck@kingston-ny.gov

Steven T. Noble, Mayor



Lynsey Timbrouck, Director

January 28, 2021

Andrea Shaut, Alderman-At-Large
President of the Common Council
420 Broadway
Kingston, NY 12401

Re: Budget Transfer for the NYSERDA Clean Energy Communities City Hall Windows and Lights Grant

Dear President Shaut:

This is a request for placement on the agenda of the next appropriate Committee, expected to be the Finance and Audit Committee, to discuss the authorization of a resolution for budget transfer for a grant awarded to the City. The information is as follows:

A grant was awarded to the City of Kingston by NYSERDA Clean Energy Communities which is for \$100,000 with no local match required. This grant was awarded in 2018 and the request is for a budget transfer in the City Clerk's budget for carryover of unspent grant monies in the amount of \$23,627.

Thank you for your consideration

Sincerely,

Julie L. Noble
Sustainability Coordinator

Cc: Steven T. Noble, Mayor
John Tuey, Comptroller
Kristen Wilson, Director of Grants Management
Rennie Scott-Childress, Chair, Finance Committee
Elisa Tinti, City Clerk

RESOLUTION 34 OF 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON,
NEW YORK, RECOMMENDING APPROVAL FOR A 2021 TRANSFER IN THE
FIRE DEPARTMENT IN THE AMOUNT OF \$33,097.08 TO PAYOUT A FIRE
FIGHTER WHO RETIRED IN JANUARY 2021**

Sponsored By: Finance/Audit Committee: Alderman Scott-
Childress, Tallerman, Davis, Hirsch, Schabot

WHEREAS, the Fire Department has requested a transfer in the amount of \$33,097.08 to payout a firefighter who retired on January 22, 2021

WHEREAS, the Finance/Audit Committee has received, reviewed and approved this request.

**NOW, THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF
THE CITY OF KINGSTON, NEW YORK, AS FOLLOWS:**

SECTION 1- That the following sums be transferred as follows:

FROM:	A1.1990.14.5404	Contingency	\$33,097.08
TO:	A1.13410.5105	Retirement	\$33,097.08

SECTION 2- That this resolution shall take place immediately

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

1 THE CITY OF KINGSTON COMMON COUNCIL

FINANCE AND AUDIT
COMMITTEE REPORT

REQUEST DESCRIPTION		
INTERNAL TRANSFER _____ AUTHORIZATION _____ CLAIMS _____	CONTINGENCY TRANSFER <u>X</u> _____ BUDGET MODIFICATION _____ ZONING _____	TRANSFER _____ BONDING REQUEST _____ OTHER _____

DEPARTMENT: A13410 DATE: 01/26/2021

Description: Transfer to : A13410.105 2021
From: Contingency

I am requesting that the total of \$33,097.08 be transferred from Contingency to Retirement Accumulation to payout a Fire Fighter who retired on January 22, 2021.

Estimated Financial Impact: \$33,097.08 Signature [Handwritten Signature]

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:

Type I Action _____

Type II Action _____

Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Reynolds Scott Childress, Ward 3, Chairman	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Anthony Davis, Ward 6	<input type="checkbox"/>	<input type="checkbox"/>
Michele Hirsch, Ward 9	<input type="checkbox"/>	<input type="checkbox"/>
Steven Schabot, Ward 8	<input type="checkbox"/>	<input type="checkbox"/>

CITY OF KINGSTON
Kingston Fire Department
mbrown@kingston-ny.gov

Fin. Ad. F.

Mark Brown, Fire Chief



Steven T. Noble, Mayor

January 27, 2021

Common Council President Andrea Shaut
City of Kingston Common Council
420 Broadway
Kingston, NY 12401

Dear Alderman at Large Shaut:

Please consider approving the follow transfer from Contingency to A13410 Retirement Accumulation. This \$33,097.08 will payout a Fire Fighter who retired on January 22, 2021.

Sincerely,

Mark Brown
Fire Chief

cc: John Tuey, Comptroller
Reynolds Scott- Childress, Finance Committee Chairman

RESOLUTION 35 of 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK,
ADOPTING A UNIFORM DEFINITION OF "HOTEL" IN THE CITY CODE OF THE CITY OF
KINGSTON TO REFLECT THE ULSTER COUNTY DEFINITION**

Sponsored By: Laws and Rule Committee: Alderman: Ventura
Morell, Scott-Childress, Worthington, Tallerman,
O'Reilly

WHEREAS, request has been made to reconcile the definition of hotels and motels in Chapter 277-11, Zoning Code Section 405-3 and Sales and Use Tax Section 360-1 of the City Code of the City of Kingston so all definitions are in alignment and consistent with the Ulster County Code Section 312.5 – Hotel and Motel Room Occupancy Tax.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston amends Section 277-11 and Section 360-1 "definitions" to provide:

"Hotel": A building or portion of it which is regularly used and kept open for lodging of transient guests on an overnight basis. The term "hotel" or "motel" includes **short term rentals**, an apartment hotel, motor court or inn, "tourist cabins", boarding house or club, or similar hotel or motel type of accommodations by whatever name designated, whether or not meals are served, and shall include those facilities commonly known as "bed and breakfasts" and **"short term rentals"**.

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT: _____ DATE: 2-17-21

Description: Resolution to adopt a uniform definition of "Hotel" in the City Code to reflect the Ulster County definition as per attached.

Signature: _____

Motion by R SC

Seconded by RW

Action Required:

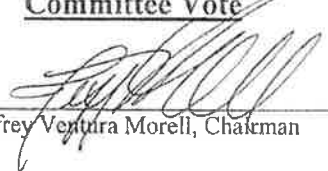
SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
 Jeffrey Ventura Morell, Chairman	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Patrick O'Reilly Ward 7	<input type="checkbox"/>	<input type="checkbox"/>
Rennie Scott-Childress, Ward 3	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Rita Worthington, Ward 4	<input type="checkbox"/>	<input type="checkbox"/>

L+R

Tinti, Elisa

From: Shaut, Andrea
Sent: Wednesday, January 27, 2021 2:42 PM
To: Hirsch, Michele; Tinti, Elisa
Cc: Gartenstein, Daniel
Subject: RE: Short Term Rentals in relation to the City of Kingston

Follow Up Flag: Follow up
Flag Status: Flagged

Thank you.

Elisa – please include the below communication in my folder.

Andrea

From: Hirsch, Michele
Sent: Wednesday, January 27, 2021 12:48 PM
To: Shaut, Andrea <ashaut@kingston-ny.gov>
Cc: Gartenstein, Daniel <dgartenstein@kingston-ny.gov>
Subject: Short Term Rentals in relation to the City of Kingston

Dear President Shaut,

Please accept this communication regarding Short Term Rental legislation in relation to the City of Kingston.

Numerous Ulster County Municipalities have Intermunicipal Agreements for the purpose of sharing information related to Short-Term Rental Properties. I am requesting that the City of Kingston Common Council initiate an agreement with Ulster County which will enable the City of Kingston to receive an inventory of all properties within the City of Kingston that have been identified as advertising Short Term Rentals.

Furthermore, the City of Kingston General Legislation Code and the Ulster County Code have numerous and different definitions of hotels and overnight accommodations for transient guests.

I am requesting that we reconcile sections of the City of Kingston General Legislation in relation to the Definition of Hotels and Motels in Chapter 277-11, Zoning Code section 405-3 and Section 360-1 of the Sales and Use Tax so that all definitions are in alignment and consistent with the Ulster County Code Section 312.5 Hotel and Motel Room Occupancy Tax. Additional amendments should be made to Chapter 277-1 License Required so that we can regulate the use of Short Term Rentals in the City of Kingston.

I believe that the proposed amendments will promote consistency and enforceability for transient guests instead of creating separate legislation based upon legislation passed in the City of Beacon which is not consistent with our own Zoning Code.

I will send further documentation in advance of the next Laws and Rules Committee meeting.

Thank you.

Michele Hirsch
Alderwoman, Ward 9

RESOLUTION 36 of 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK,
AUTHORIZING THE CITY OF KINGSTON TO ENTER INTO AND EXECUTE AN
INTERMUNICIPAL AGREEMENT FOR THE SHARING OF INFORMATION FOR SHORT TERM
RENTAL PROPERTIES WITH THE COUNTY OF ULSTER**

Sponsored By: Laws and Rule Committee: Alderman: Ventura
Morell, Scott-Childress, Worthington, Tallerman,
O'Reilly

WHEREAS, a request is made to enter into an agreement with the County of Ulster which will enable the City of Kingston to receive an inventory of all properties within the City of Kingston that have been identified as advertising Short Term Rentals.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston authorizes the City of Kingston to enter into and execute an Intermunicipal Agreement with the County of Ulster enabling the City to receive an inventory of all properties within the City of Kingston that have been identified as advertising Short Term Rentals.

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT: _____ DATE: 2-17-21

Description: Resolution to authorize the Mayor to initiate shared service agreement with Water County to receive information relating to short term rentals

Signature: _____

Motion by RSC

Seconded by RW

Action Required: _____

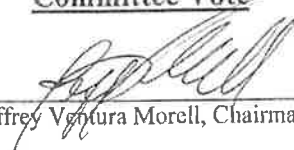
SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
 Jeffrey Ventura Morell, Chairman	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Patrick O'Reilly Ward 7	<input type="checkbox"/>	<input type="checkbox"/>
Rennie Scott-Childress, Ward 3	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Rita Worthington, Ward 4	<input type="checkbox"/>	<input type="checkbox"/>

<https://ecode360.com/6727053#6727066>

City of Kingston, NY / PART II: GENERAL LEGISLATION / Zoning
Article II Terminology

Section 405-3 Definitions

HOTEL

A building containing rooms designed to be used or which are used, rented or occupied for sleeping purposes by transient guests and where only a general kitchen and dining room may be provided within the building or in an accessory building. The word "hotel" includes the word "motel," "motor lodge," "motel hotel," "motor court," "inn," "tourist court" or similar names.

Resolution No. 187 May 19, 2020

Authorizing The Chair Of The Ulster County Legislature To Execute An Intermunicipal Agreement For The Sharing Of Information Relating To Short-Term Rental Properties With The Town Of Ulster – Department Of Finance

Referred to: The Ways and Means Committee (Chairwoman Archer and Legislators Bartels, Gavaris, Haynes, Maio, Ronk, and Walter)

Legislator Brian Cahill offers the following:

WHEREAS, this resolution is submitted by the County Executive on behalf of the Department of Finance; and

WHEREAS, the growth of the short-term rental marketplace has left local municipalities scrambling to understand the scope and size of this marketplace within their jurisdiction, while attempting to address health and safety concerns and quality of life issues determined through local zoning and code enforcement; and

WHEREAS, in an effort to assist local municipalities, the County has offered to provide the Town of Ulster (the “Town”) with an inventory of all properties within the Town that have been identified as advertising short term rentals; and

WHEREAS, the County and the Town now desire to enter into an agreement for the sharing of the inventory information; now, therefore, be it

RESOLVED, that the Chair of the Ulster County Legislature is hereby authorized to execute an intermunicipal agreement for the sharing of inventory information and any related amendments with the Town, in the form as filed with the Clerk of the Legislature or as modified with the approval of the County Attorney,

and move its adoption.

ADOPTED BY THE FOLLOWING VOTE:

AYES: 23 NOES: 0

Passed Committee: Ways and Means on May 12, 2020

FINANCIAL IMPACT:
NONE

Resolution No. 187 May 19, 2020

**Authorizing The Chair Of The Ulster County Legislature To
Execute An Intermunicipal Agreement For The Sharing Of
Information Relating To Short-Term Rental Properties With The
Town Of Ulster – Department Of Finance**

STATE OF NEW YORK

ss:

COUNTY OF ULSTER

I, the undersigned Clerk of the Legislature of the County of Ulster, hereby certify that the foregoing resolution is the original resolution adopted by the Ulster County Legislature on the 19th Day of May in the year Two Thousand Twenty, and said resolution shall remain on file in the office of said clerk.

IN WITNESS WHEREOF, I have hereunto set my hand and seal of the County of Ulster this 22nd Day of May in the year Two Thousand Twenty.

/s/ Victoria A. Fabella
Victoria A. Fabella, Clerk
Ulster County Legislature

Submitted to the County Executive this
22nd Day of May, 2020.

/s/ Victoria A. Fabella
Victoria A. Fabella, Clerk
Ulster County Legislature

Approved by the County Executive this
27th Day of May, 2020.

/s/ Patrick K. Ryan
Patrick K. Ryan, County Executive

City of Kingston, NY / PART II: GENERAL LEGISLATION
Chapter 277Hotels, Motels, Inns, Rooming Houses and Boardinghouses

§ 277-11Definitions.

As used in this chapter, the following terms shall have the meanings indicated:

BED-AND-BREAKFAST

A residential establishment where rooms are rented to transient nonpermanent guests, on a short-term basis, with breakfast being the only meal served to said guests.

BOARDINGHOUSE

A private dwelling in which at least three rooms are offered for rent and table board is furnished only to roomers and in which no transients are accommodated. A rooming house or a furnished room house shall be deemed a "boardinghouse."

HOTEL or MOTEL

A building which has transient living and sleeping accommodations for rent for five or more persons and which is open for year-round occupancy; a "hotel" or "motel" shall include similar establishments designated as auto courts, tourist cabins, etc.

UPDATE TO:

HOTEL: "A building or portion of it which is regularly used and kept open for lodging of transient guests on an overnight basis. The term "hotel" or "motel" includes **short term rentals**, an apartment hotel, motor court or inn, "tourist cabins", boardinghouse or club, or similar hotel or motel type of accommodations by whatever name designated, whether or not meals are served, and shall include those facilities commonly known as "bed and breakfasts" and "**short term rentals**".

INN

A building which has transient living and sleeping accommodations for rent for at least five or more persons but no more than 10 persons.

TRANSIENT LIVING

A guest or customer of a hotel or motel the nature of which the term of stay is limited to no more than 30 consecutive days or 90 total days in any individual calendar year.

§ 277-1 License required.

It shall be unlawful, without obtaining a license from the City Clerk, for any person, firm or corporation, either as owner, tenant or agent, to run, operate or maintain in the City of Kingston, a hotel, motel, inn, boardinghouse, lodging house, rooming house, association, club or any building used in the business of renting rooms or furnishing meals with accommodations for more boarders than allowed in a single-family dwelling as set forth in Chapter **405**, Zoning, of the City of Kingston.

<https://ecode360.com/6727053#6727066>

City of Kingston, NY / PART II: GENERAL LEGISLATION / Zoning
Article II Terminology

Section 405-3 Definitions

HOTEL

A building containing rooms designed to be used or which are used, rented or occupied for sleeping purposes by transient guests and where only a general kitchen and dining room may be provided within the building or in an accessory building. The word "hotel" includes the word "motel," "motor lodge," "motel hotel," "motor court," "inn," "tourist court" or similar names.

HOTEL and MOTEL: "A building or portion of it which is regularly used and kept open for lodging of transient guests on an overnight basis. The term "hotel" or "motel" includes **short term rentals**, an apartment hotel, motor court or inn, "tourist cabins", boardinghouse or club, or similar hotel or motel type of accommodations by whatever name designated, whether or not meals are served, and shall include those facilities commonly known as "bed and breakfasts" and "**short term rentals**".

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AMENDING THE ZONING ORDINANCE TO REZONE 79 HURLEY AVENUE, TAX MAP NO. 48.71-2-11 FROM O-2 LIMITED OFFICE TO C-2 COMMERCIAL DISTRICT, HIGGINSVILLE STATION, LLC, OWNER/APPLICANT AND ACCEPTING COMMENTS BY THE ULSTER COUNTY PLANNING BOARD AND THE CITY OF KINGSTON PLANNING BOARD

Sponsored By: Laws and Rules Committee: Alderman: Ventura Morell, Scott-Childress, Worthington, Tallerman, O'Reilly

WHEREAS, 79 Hurley Avenue, Tax Map No. 48.71-2-11 is currently zoned O-2 Limited Office; and

WHEREAS, a zoning amendment has been requested to change the zoning of this parcel to C-2 Commercial District; and

WHEREAS, that public hearing was held on this matter; and

WHEREAS, this zoning amendment is in the best interest of the City of Kingston in that it would not change the character of the neighborhood; and

WHEREAS, each zoning amendment must undergo a determination of environmental significance in accordance with the State Environmental Quality Review Act; and

WHEREAS, a negative declaration of environmental significance is recommended.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That 79 Hurley Avenue, Higginsville Station, LLC, Tax Map No. 48.71-2-11 be re-zoned from O-2 Limited Office to C-2 Commercial District.

SECTION 2. That the comments submitted to the Common Council of the City of Kingston by the Ulster County Planning Board and the City of Kingston Planning Board are accepted.

SECTION 2. That a negative declaration of environmental significance is recommended.

SECTION 3. All ordinances and parts thereof inconsistent herewith are hereby repealed.

SECTION 4. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day of
_____, 2021

Approved by the Mayor this ____ day of
_____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT: Planning Dept. DATE: 2-17-21

Description: Resolution to rezone 79 Hurley Ave. as per attached from O-2 to C-2. Acceptance the comments submitted to this body by the Ulster County Planning Board and the City of Kingston Planning Board.

Signature: _____

Motion by RSC

Seconded by PO'R

Action Required:

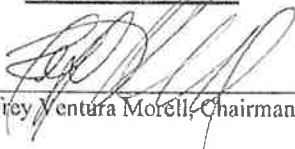
SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
 Jeffrey Ventura Morell, Chairman	✓	
Patrick O'Reilly Ward 7		
Rennie Scott-Childress, Ward 3		
Don Tallerman, Ward 5		
Rita Worthington, Ward 4		

CITY OF KINGSTON

Office of Planning

planning@kingston-ny.gov

L+R

Suzanne Cahill, Planning Director



Steven T. Noble, Mayor

January 25, 2021

REQUEST FOR RECOMMENDATION from the Common Council with regard to a petition to re-zone 79 Hurley Avenue (48.71-2-11) from O-2 Limited Office to C-2 Commercial District. Higginsville Station, LLC; owner/applicant.

Dear Andrea Shaut, City of Kingston, Alderman At Large:

This letter is to advise you that at the virtual meeting of the Kingston Planning Board held on January 19, 2021 the Board voted unanimously to recommend the rezoning of 79 Hurley Avenue from O-2 Limited Office to C-2 Commercial noting the compatibility of the new zoning with the surrounding uses, the existing structure and functionality, and the potential reuse of the structure and conformance with the Comprehensive Plan.

If you have any questions please call our office at 845-334-3955.

Sincerely,
Donna Brady
Planning, Sr. Clerk

RESOLUTION 38 of 2021

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING THE RELEASE OF THE RESTRICTION IN THE DEED FOR 162-188 FOURTH AVENUE REQUIRING THAT THE PROPERTY CANNOT BE SOLD IN THE FUTURE WITHOUT COMMON COUNCIL CONSENT

Sponsored By: Laws and Rules Committee: Alderman: Ventura
Morell, Scott-Childress, Worthington, Tallerman,
O'Reilly

WHEREAS, by Resolutions 189, 190 and 191 of 2011 the Common Council authorized the sale of 162-188 Fourth Avenue to Louis F. Kolano Jr. and Mark A. Darwak; and

WHEREAS, said Resolutions 189, 190 and 191 of 2011 required that the deed to the property contain a restriction that the property could not be sold in the future without the consent of the Common Council; and

WHEREAS, Louis F. Kolano Jr. and Mark A. Darwak are desirous of selling said premises and requests that the Common Council release the restriction requiring that the property cannot be sold in the future without the consent of the Common Council.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston hereby consents to the elimination of the clause requiring the consent of the Common Council to the resale of 162-188 Fourth Avenue.

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this _____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT: _____ DATE: 2-17-21

Description: Resolution to approve the release of conditions
and resister clause contained in the deed for 162-188
4th Avenue or per attached.

Signature: _____

Motion by RSC

Seconded by RW

Action Required: _____


SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
 Jeffrey Ventura Morell, Chairman	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Patrick O'Reilly Ward 7	<input type="checkbox"/>	<input type="checkbox"/>
Rennie Scott-Childress, Ward 3	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Rita Worthington, Ward 4	<input type="checkbox"/>	<input type="checkbox"/>

L+R

COOK, NETTER, CLOONAN, KURTZ & MURPHY, P.C.

ATTORNEYS & COUNSELORS AT LAW

85 MAIN STREET
P.O. BOX 3939
KINGSTON, N.Y. 12402

(845) 331-0702
FAX: (845) 331-1003
e-mail: law@cookfirm.com
www.cookfirm.com

OF COUNSEL:
ROBERT E. NETTER
WILLIAM N. CLOONAN
ROBERT D. COOK

ERIC M. KURTZ
THOMAS A. MURPHY
MICHAEL T. COOK

JOHN C. BURNS

ANDREW J. COOK, SR. (1884-1958)
ANDREW J. COOK, JR. (1918-1997)
FRANCIS X. TUCKER (1923-1987)

January 14, 2021

Honorable Andrea Shaut
President, Common Council of
The City of Kingston
Kingston City Hall
420 Broadway
Kingston, New York 12401

Re: Request for Release of Reverter

Dear Ms. Shaut:

I serve as counsel to Louis F. Kolano, Jr. and Mark A. Darwak who purchased property at a tax sale from the City of Kingston in 2012. The parcels extend from 162-188 4th Avenue and are described in a certain deed, copy of which is enclosed, dated May 24, 2012 and recorded in the office of Ulster County Clerk on June 4, 2012 as Instrument Number 00008318, Volume 5332 of Deeds at Page 305.

Upon transfer, the deed instrument contained the standard conditions regarding the use and renovation of the property. The premises however are vacant land.

The present owners are considering placing the property for sale and before doing so they are requesting that the Common Council take action to release the conditions and the reverter clause contained in the instrument. It is therefore requested that the Common Council consider this action at its next regularly scheduled meeting.

I thank you for your courtesy and cooperation and should you have any questions, please do not hesitate to contact me.

Respectfully yours,

COOK, NETTER CLOONAN, KURTZ & MURPHY, PC


WILLIAM N. CLOONAN

WNC/slm
Enclosure

cc: City of Kingston Corporation Counsel
City Hall
420 Broadway
Kingston, New York 12401

Louis Kolano
166 Hilltop Drive
Hurley, New York 12443

Mark A. Darwak
80 Arnold Drive
Kingston, New York 12401

Ulster County
Nina Postupack
County Clerk
Kingston, NY 12401



Volm-5332 Pg-305

Instrument Number: 2012- 00008318

As

D01 - Deed

Recorded On: June 04, 2012

Parties: KINGSTON CITY

To

KOLANO LOUIS F JR

Billable Pages: 4

Recorded By: CITY OF KINGSTON

Num Of Pages: 4

Comment:

**** Examined and Charged as Follows: ****

D01 - Deed	60.00	RP5217-250	250.00	Tax Affidavit TP 584	5.00
Recording Charge:	315.00				
Tax-Transfer	8.00	Consideration Amount	2,000.00	RS#/CS#	3380
KINGSTON CITY		Amount	8.00	Basic	0.00
Tax Charge:	8.00			Local	0.00
				Special Additional	0.00
				Additional	0.00
				Transfer	8.00

**** THIS PAGE IS PART OF THE INSTRUMENT ****

I hereby certify that the within and foregoing was recorded in the Clerk's Office For: Ulster County,

File Information:

Document Number: 2012- 00008318

Receipt Number: 1155185

Recorded Date/Time: June 04, 2012 02:49:42P

Book-Vol/Pg: Bk-D VI-5332 Pg-305

Cashier / Station: s smar / Cashier Workstation 6

Record and Return To:

LOUIS F KOLANO JR

166 HILLTOP DRIVE

HURLEY NY 12443



Nina Postupack

Nina Postupack Ulster County Clerk

4
20
08

THIS INDENTURE, made the 24 day of May, two thousand and twelve.

BETWEEN City of Kingston, a municipal corporation with its principal place of business at 420 Broadway, Kingston, New York 12401

party of the first part, and

Louis F. Kolano Jr. residing at 166 Hilltop Drive, Hurley, New York 12443 and Mark A. Darwak residing at 80 Arnold Drive, Kingston, New York 12401

party of the second part,

WITNESSETH, that the party of the first part, in consideration of One and no/100 (\$1.00) - - - - - dollars, lawful money of the United States, paid by the party of the second part, does hereby remise, release and quitclaim unto the party of the second part, the heirs or successors and assigns of the party of the second part forever,

ALL that certain plot, piece or parcel of land, with the buildings and improvements thereon erected, situate, lying and being in the City of Kingston, County of Ulster, State of New York, known as:

Property Address: 162-180 Fourth Avenue

Kingston, New York, Tax Map Identifier #48.83-7-8 formerly titled to Sheila McLaughlin, Jonathan Shanks, Isabel M. McLaughlin a/k/a Margaret Isabel McLaughlin, Anthony Dixon Morris and Stephan Gurley Morris as described on the tax rolls for the City of Kingston for the year 2008.

Property Address: 182-184 Fourth Avenue

Kingston, New York, Tax Map Identifier #48.83-7-7 formerly titled to Sheila McLaughlin and Jonathan Shanks as described on the tax rolls for the City of Kingston for the year 2008

Property Address: 186-188 Fourth Avenue

Kingston, New York, Tax Map Identifier #48.83-7-6 titled to the City of Kingston as described on the tax rolls for the City of Kingston for the year 2008

Property Address: 194-196 Fourth Avenue

Kingston, New York, Tax Map Identifier #48.83-7-4 formerly titled to Paige W. Monroe and Linda Gay Monroe as described on the tax rolls for the City of Kingston for the year 2008

SUBJECT, however, to the following conditions:

- a. All of the above premises will be preserved as vacant with possible residential use in the future.
- b. The premises and all buildings thereon must be rehabilitated to comply with existing building, fire and zoning codes after consultation with the Building Safety Division of the City of Kingston.
- c. Purchaser is required to obtain all approvals, permits, licenses and certificates necessary to perform the work required and to occupy the premises.
- d. All work necessary to satisfy the building and fire codes must be completed within one year of the date of this deed. The purchaser will promptly apply for the necessary permits and approvals to permit the construction and occupation of the site for its proposed use.
- e. Purchaser agrees to obtain a building permit within two (2) months of obtaining final approval from all of the boards and/or commissions for which said approval may be required.
- f. Grantee will permit periodic inspections of the interior and exterior of the premises by the Building Safety Division until a Certificate of Occupancy is issued by the Building Safety Division.
- g. In the event the Fire Officer, after due deliberation, determines that the Grantee has breached any of the conditions herein, he shall notify the Planning Department of such breach who in turn shall notify Grantee of the breach, in writing, by

City of Kingston

CHECKED SM
 ENTERED SM
 MARK/OFF _____

certified mail. The Grantee shall, within thirty (30) days of mailing of such notice, correct the breached conditions, or in the alternative at the expiration of said thirty (30) days, surrender the premises and quit and vacate the premises conveyed.

- h. Upon the failure of the Grantee to attend the obligations specified herein, and upon expiration of the time period set forth in paragraph "d" hereof, title to the premises shall revert to the City of Kingston and the City shall upon due application to a Justice of the Supreme Court, or County Court, be entitled to a court order directing reversion of title to the City.
- i. After receiving the Court order reverting title to the City's name, the City shall cause to be recorded in the office of the Ulster County Clerk a certificate or other appropriate document in recordable form indicating that title to the lands and premises has reverted to the City of Kingston.
- j. That any of the above premises cannot be sold at any time in the future by Louis F. Kolano Jr. and/or Mark A. Darwak without the prior written consent of the Common Council, which consent shall not be arbitrarily withheld upon compliance with the provisions of (a) through (i) above.
- k. Upon the Grantee receiving a certificate of occupancy for the demised premises, the City of Kingston will issue a release to the Grantee evidencing the fulfillment and satisfaction of all the terms and conditions specified herein.
- l. The Grantee agrees to indemnify and hold harmless the City of Kingston its successors and assigns from any and all claims, liabilities, liens, or encumbrances affecting the properties reacquired by the City.

TOGETHER with all right, title and interest, if any, of the party of the first part in and to any streets and roads abutting the above described premises to the center lines thereof,


TOGETHER with the appurtenances and all the estate and rights of the party of the first part in and to said premises,

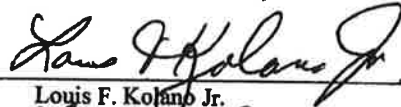
TO HAVE AND TO HOLD the premises herein granted unto the party of the second part, the heirs or successors and assigns of the party of the second part forever.

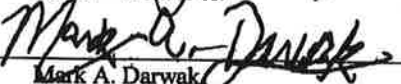
AND the party of the first part, in compliance with Section 13 of the Lien Law, hereby covenants that the party of the first part will receive the consideration for this conveyance and will hold the right to receive such consideration as a trust fund to be applied first for the purpose of paying the cost of the improvement and will apply the same first to the payment of the cost of the improvement before using any part of the total of the same for any other purpose. The word "party" shall be construed as if it read "parties" whenever the sense of this indenture so requires.

IN WITNESS WHEREOF, the party of the first part has duly executed this deed the day and year first above written.

IN PRESENCE OF:


Shayne R. Gallo, Mayor, CITY OF KINGSTON


Louis F. Kolano Jr.


Mark A. Darwak

State of New York)
) ss.
County of Ulster)

On the 3rd day of *May* 2012 before me, the undersigned, a Notary Public in and for said State, personally appeared **Shayne R. Gallo** personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.



JANET K. HIGGINS
Notary Public, State of New York
No. 01HI4283985
Qualified in Ulster County
Commission Expires Sept. 30, 20 *13*

State of New York)
) ss.
County of Ulster)

On the 21st day of *May* 2012 before me, the undersigned, a Notary Public in and for said State, personally appeared **Louis F. Kolano Jr.** personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

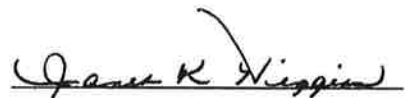


JANET K. HIGGINS
Notary Public, State of New York
No. 01HI4283985
Qualified in Ulster County
Commission Expires Sept. 30, 20 *13*

State of New York)
) ss.
County of Ulster)

On the 29th day of *May* 2012 before me, the undersigned, a Notary Public in and for said State, personally appeared **Mark A. Darwak** personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual, or the person upon behalf of which the individual acted, executed the instrument.

JANET K. HIGGINS
Notary Public, State of New York
No. 01HI4283985
Qualified in Ulster County
Commission Expires Sept. 30, 20 *13*



Record and Return:
Louis F. Kolano Jr.
166 Hilltop Drive
Hurley, New York 12443

RESOLUTION 39 of 2021

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RE-ALLOCATING \$95,000 OF CITY OF KINGSTON'S ROUND 1 FY 2019 CDBG-CV FUNDING

Sponsored By: Community Development Committee: Alderman:
Schabot, Worthington, Koop, Ventura Morell,
O'Reilly

WHEREAS, request has been made to re-allocate \$95,000 of City of Kingston's Round 1 FY 2019 CDBG-CV funding as approved by the Community Development Committee at its February 23, 2021 meeting

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston hereby approves the re-allocation of funds as follows (See attachment)

\$32,000 for City of Kingston administration for a total of \$62,900

\$62,100 for Rupco's Rental Assistance Program for a total of \$132,100

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

COMMUNITY DEVELOPMENT
COMMITTEE REPORT

REQUEST DESCRIPTION		
INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION <u>x</u>	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS _____	ZONING _____	OTHER _____

DEPARTMENT Community Development DATE February 23, 2021

To re-allocate \$95,000 of City of Kingston's Round I FY 2019 CDBG-CV funding as approved by the Community Development Committee at its February 23, 2021 meeting as follows:

\$32,900 for City of Kingston administration for a total of \$62,900
 \$62,100 for RUPCO's Rental Assistance program for a total of \$132,100

Estimated Financial Impact \$0

Signature Amee Peterson

Digitally signed by Amee Peterson
 DN: cn=Amee Peterson, o=City of Kingston,
 ou=OECD, email=apeterson@kingston-ny.gov, c=US
 Date: 2021.02.24 11:58:28 -05'00'

Motion by Ald. Morell

Seconded by Ald. Koop

Action Required:

SEQRA Decision:

Type I Action _____

Type II Action _____

Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Steven Schabot, Chairman		
Jeffrey Ventura-Morell, Ward 1		
Doug Koop, Ward 2		
Rita Worthington, Ward 4		
Patrick O'Reilly, Ward 7		

THE CITY OF KINGSTON COMMON COUNCIL

COMMUNITY DEVELOPMENT
COMMITTEE REPORT

<u>REQUEST DESCRIPTION</u>		
INTERNAL TRANSFER _____	CONTINGENCY TRANSFER _____	TRANSFER _____
AUTHORIZATION <u> x </u>	BUDGET MODIFICATION _____	BONDING REQUEST _____
CLAIMS	ZONING	OTHER

DEPARTMENT <u>Community Development</u>		DATE <u>February 23, 2021</u>
To re-allocate \$226,576 of City of Kingston's Round II FY 2019 CDBG-CV funding as approved by the Community Development Committee at its February 23, 2021 meeting as follows:		
Organization	Program	Allocation
City of Kingston	Administration	\$41,275
City of Kingston	Drop-In School Support Program	\$40,000
BAK	BAK Micro-Grant Program	\$25,000
Boys' and Girls' Club	Essential Child Care	\$15,000
CCE	Project ACCESS	\$25,000
Family of Woodstock	Meal preparation - EHC, RNC, BGC, CCE	\$20,000
Gateway Community Industries	Emergency Meals	\$15,000
LSHV	Response for Legal Services	\$20,000
People's Place	CV Precaution Intake Registration	\$10,000
Read and Write	Drop-In School Support at RNC, Hodge	\$11,000
RUPCO	Rental Assistance	\$3,101
UIDN	Emergency Food Assistance	\$10,000
UPAC	Arts in Education	\$10,000
YMCA	Senior Fitness	\$6,000
YWCA	Magic Circle Lunches	\$5,000
Estimated Financial Impact <u> \$0 </u>	Signature <u>Amee Peterson</u>	Digitally signed by Amee Peterson DN: cn=Amee Peterson, o=City of Kingston, ou=OECD, email=ametr@cityofkingston.ny.gov.cerES Date: 2021.02.24 14:45:35 -0500

Motion by Ald. Worthington

Seconded by Ald. Morell

Action Required:

SEQRA Decision:

Type I Action

Type II Action

Unlisted Action

Negative Declaration of Environmental Significance:

Conditioned Negative Declaration:

Seek Lead Agency Status:

Positive Declaration of Environmental Significance:

Committee Vote	YES	NO
Steven Schabot, Chairman		
Jeffrey Ventura-Morell, Ward 1		
Doug Koop, Ward 2		
Rita Worthington, Ward 4		
Patrick O'Reilly, Ward 7		

RESOLUTION 40 of 2021

RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK, RE-ALLOCATING FY 2020 CDBG FUNDING A TOTAL OF \$87,400 FROM YMCA – Y PARK AND MIDTOWN RISING – INDOOR BASKETBALL COURT AT CAUMC TO PARKS & RECREATION PARKING IMPROVEMENTS PHASE 1

Sponsored By: Community Development Committee: Alderman:
Schabot, Worthington, Koop, Ventura Morell,
O'Reilly

WHEREAS, request has been made to re-allocate FY 2020 CDBG Funding \$55,000 from YMCA – Y Park and \$32,400 from Midtown Rising – Indoor Basketball Court at CAUMC to Parks & Recreation Parking Improvements Phase 1.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston hereby approves the re-allocation of funds as follows:

From: \$55,000 from YMCA – Y Park

From: \$32,400 from Midtown Rising – Indoor Basketball Court at CAUMC

To: \$87,400 to Parks & Recreation Parking Improvements Phase 1.

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

COMMUNITY DEVELOPMENT COMMITTEE REPORT

DEPARTMENT: _____ DATE: January 26, 2021

Description: _____

To re-allocate FY2020 CDBG Funding:

\$55,000 from YMCA - Y Park

\$32,400 from Midtown Rising - Indoor Basketball Court at CAUMC

To:

Parks & Recreation Parking Improvements Phase I

As approved by the Community Development Committee at its January 26, 2021 meeting.

Amanda Y. Bruck Director, OCD

Motion by _____

Seconded by _____

Action Required:

SEQRA Decision:

Type I Action _____

Type II Action _____

Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

<u>Committee Vote</u>	<u>YES</u>	<u>NO</u>
Steve Schabot, Chairman <i>Steven Schabot</i> <small>Steven Schabot (Jan 29, 2021 20:13 EST)</small>	<input checked="" type="checkbox"/>	
Rita Worthington Ward 4 <i>Rita Worthington</i> <small>Rita Worthington (Jan 28, 2021 09:36 EST)</small>	<input checked="" type="checkbox"/>	
Doug Koop, Ward 2 <i>D. A. Koop</i> <small>D. A. Koop (Jan 27, 2021 20:06 EST)</small>	<input checked="" type="checkbox"/>	
Jeffrey Ventura Morell, Ward 1 <i>Jeffrey Ventura Morell</i> <small>Jeffrey Ventura Morell (Jan 27, 2021 21:30 EST)</small>	<input checked="" type="checkbox"/>	
Patrick O'Reilly, Ward 7 <i>Patrick O'Reilly</i> <small>Patrick O'Reilly (Jan 27, 2021 20:32 EST)</small>	<input checked="" type="checkbox"/>	












CD Committee Report 1-26-21

Final Audit Report

2021-01-30

Created:	2021-01-28
By:	Andrea Shaut (ashaut@kingston-ny.gov)
Status:	Signed
Transaction ID:	CBJCHBCAABAAD4aQywVv3kdFCmYacmc8rad5JgKDBz9d

"CD Committee Report 1-26-21" History

-  Document created by Andrea Shaut (ashaut@kingston-ny.gov)
2021-01-28 - 1:09:37 AM GMT- IP address: 69.206.47.255
-  Document emailed to Rita Worthington (ward4@kingston-ny.gov) for signature
2021-01-28 - 1:13:32 AM GMT
-  Document emailed to Jeffrey Ventura Morell (ward1@kingston-ny.gov) for signature
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-  Document emailed to Steven Schabot (sschabot@kingston-ny.gov) for signature
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-  Document emailed to D. A. Koop (dkoop@kingston-ny.gov) for signature
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-  Email viewed by Patrick O'Reilly (ward7@kingston-ny.gov)
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-  Document e-signed by Patrick O'Reilly (ward7@kingston-ny.gov)
Signature Date: 2021-01-28 - 1:32:15 AM GMT - Time Source: server- IP address: 69.206.41.208
-  Email viewed by D. A. Koop (dkoop@kingston-ny.gov)
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-  Document e-signed by D. A. Koop (dkoop@kingston-ny.gov)
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-  Email viewed by Jeffrey Ventura Morell (ward1@kingston-ny.gov)
2021-01-28 - 2:29:44 AM GMT- IP address: 24.161.57.39

Higgins, Janet

From: Shaut, Andrea
Sent: Wednesday, January 27, 2021 8:21 PM
To: Tinti, Elisa; Higgins, Janet
Subject: FW: Committee Report
Attachments: CD Committee Report 1-26-21.pdf

Hello,

See attached for the committee report that came out of Community Development Tuesday night. We do NOT want this on the agenda for February's meeting. Because of the timing of a needed public hearing, the council will need to vote in March. Is there a way to put this in a file so that we don't forget to add this when it's time? I don't want to forget!

Thank you,
Andrea

From: Bruck, Amanda
Sent: Wednesday, January 27, 2021 1:06 AM
To: Shaut, Andrea <ashaut@kingston-ny.gov>
Cc: Schabot, Steven <sschabot@kingston-ny.gov>
Subject: Committee Report

Andrea & Steve ,

Attached you will find the Committee Report from Tuesday night. I know Amee already sent one but this is the form Elisa sent me to use and I've added the correct date. I've also been told by the City Clerk that the Department Head is to sign off on the report so I've done that as well.

Mandy

Amanda L. Bruck, Director
Office of Community Development &
Exec. Director, Kingston Local Development Corporation

420 Broadway
Kingston, NY 12401
(845) 334-3930

RESOLUTION 41 of 2021

**RESOLUTION OF THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK,
RATIFYING THE PUBLIC SAFETY TASK FORCE PROPOSAL**

Sponsored By: Laws and Rule Committee: Alderman: Ventura
Morell, Scott-Childress, Worthington, Tallerman,
O'Reilly

WHEREAS, as per Governor Cuomo's Executive Order No. 203, all communities within the New York State Police Reform and Reinvention Collaborative, including Kingston, were tasked with developing and ratifying a redesigned police force proposal before April 1, 2021. The City of Kingston pursued this course of action in a four step process: planning, learning and listening, drafting initial proposals, and the final step: public comment and ratification; and

WHEREAS, the final proposal of the Re-envision Public Safety Task Force has been edited and revised, and is now prepared for review and ratification.

NOW THEREFORE, BE IT RESOLVED BY THE COMMON COUNCIL OF THE CITY OF KINGSTON, NEW YORK AS FOLLOWS:

SECTION 1. That the Common Council of the City of Kingston has reviewed the Re-envision Public Safety Task Force's final report and authorizes the ratification of said final report.

SECTION 2. This resolution shall take effect immediately.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

**REENVISION PUBLIC SAFETY TASK FORCE
 COMMITTEES AND TOPICS
 9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Intensify Training on Officer communication skills	The most valuable to de-escalating a volatile situation is how the officer speaks and listens to citizens.			X	

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Better defining the roles/responsibilities & mission of the KPD	Will provide immense clarity for officers and the community			X	
Provide adequate training for the Kingston Police Commission	Commission members can perform oversight of the KPD only after they have been given sufficient training and orientation.	X			X
A comprehensive Report on Transparency	Need critical data in order to make informed recommendations	X	X	X	X
Institute Procedural Justice	Train officers to be more "Guardians" than "warriors"	X			X
Licensing of Police Officers	Ensure that officer's record follows their job changes	X		X	
Create a Police training program at Boces	Build a larger pool of potential officers by training local high school students and young adults.			X	

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Foster community Spirit and Investment	Create socializing opportunities, charitable events and community meetings.				X
Schedule "Community Feedback" meeting with officers	Interpersonal Covenants can be created among all parties				X
Create an "Officer Rating System" which measures a level of community engagement; rather than just ticketing and arrests.	Serves as community building and community trust			X	X
Build a "criminal justice" system as one of support, services and a rehabilitation instead of punishment and retribution.					X
Eliminate Racial Profiling				X	X
Give more attention to Adverse Childhood Experiences (ACE) through Mental Health Professionals			X		

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Provide ongoing Wellness Training for officers	Nationally police officers live 10 years less than the average American due to job related stress.			X	
Make the police more transparent and accountable.	Direct training to community/public service			X	X
Make residency in Kingston a requirement of employment	Officers should live in the communities they serve.			X	
Increase percentage of Black and Brown officers consistent with their percentage of population in the city.	KPD should reflect the ethnic diversity of the city			X	
Police union and retirement funds should pay for any settlements paid because of negligent officers.	Add to officer accountability and prevents taxpayers from paying for bad behavior.	X			
Divert Funding for mental Health and Addiction calls normally handled by police.	Ensure newly assigned agencies receive proper funding.		X		

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
How do we better educate our children about the dangers of gangs and drugs?	This is an ongoing struggle that we as a city have not solved				X
Rethink Police in Schools	Arrests of youth in schools has dramatically increased since 1999 when the Federal Government began to subsidize police in schools		X		X
Eliminate any trace of "Broken Windows" policing in Kingston	Broken Windows policing results in increased traffic stops, ticketing and the over-policing of low income and minority neighborhoods.				X
Create Restorative Justice opportunities in Kingston.	Explore the work of COMMON JUSTICE organization based in Brooklyn.				X
Is the a "ticketing & arrest" quota system in Kingston?	Places inappropriate pressure on officers plus antaganizes the community.			X	X

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Provide Intense Racial Bias Training	Prevents needless confrontations and builds trust in Black and Brown communities.	X			X
Community Based Outreach, Education and Gun Violence Interruption	Explore "Non-Violence Communication", "Alternative to Violence" and New York's "Street Outreach" Programs.				X
Make Crisis Intervention Training (CIT) Mandatory for Police.	Innovative first-responder model of police-based crisis intervention training to help persons with mental disorders and/or addictions		X	X	X
Increased Police presence in response to gun violence	Why is there community resistance to such presence in the presence of gun violence?	X			X
Improve the relationship between the rank and file police force and the leadership	There are reports of a strain between staff officers and City leadership			X	

**REENVISION PUBLIC SAFETY TASK FORCE
COMMITTEES AND TOPICS
9/19/2020**

Topic Suggestions	Rationale	Use of Force & Accountability	Alternatives to Police Intervention	Police Recruitment, Training and Morale	Community Policing
Police Accountability	Only with accountability can police actions be trusted	X			
Partner with other Police departments	Greater collaboration increases effectiveness and security	X			
Foster positive relationships between police & community	Build greater trust and protection within the community				X
Prohibit Frivolous Drug/Race-Based 911 Calls	Make it a Civil Rights Violation				X
Eliminate 8 major life-threatening uses of Force by police.	Eliminating these practices dramatically reduces the chance of death or serious bodily injury	X			
Change police role in Mental Illness & Substance -Abuse Calls	Use trained mental health and substance abuse professionals to take the lead in these cases.		X		
Provide Intense de-escalation and use-of-force training for all officers	Can dramatically reduce life-threatening incidents			X	



	Westchester County Department of Public Safety
	White Plains Department of Public Safety
	Yonkers City Police Department
	Yorktown Town Police Department
Wyoming	Arcade Village Police Department
	Attica Village Police Department
	Perry Village Police Department
	Warsaw Village Police Department
	Wyoming County Sheriff's Office
Yates	Penn Yan Village Police Department
	Yates County Sheriff's Office

Wayne	Whitehall Village Police Department Clyde Village Police Department Macedon Town Police Department Newark Village Police Department Palmyra Village Police Department Sodus Village Police Department Wayne County Sheriff's Office Wolcott Village Police Department
Westchester	Ardsley Village Police Department Bedford Town Police Department Briarcliff Manor Village Police Department Bronxville Village Police Department Buchanan Village Police Department Croton on Hudson Village Police Department Dobbs Ferry Village Police Department Eastchester Town Police Department Elmsford Village Police Department Greenburgh Town Police Department Harrison Town Police Department Hastings-on-Hudson Village Police Department Irvington Village Police Department Larchmont Village Police Department Lewisboro Town Police Department Mamaroneck Town Police Department Mamaroneck Village Police Department Mount Pleasant Town Police Department Mount Vernon City Police Department New Castle Town Police Department New Rochelle City Police Department North Castle Town Police Department North Salem Town Police Department Ossining Village Police Department Peekskill City Police Department Pelham Manor Village Police Department Pelham Village Police Department Pleasantville Village Police Department Port Chester Village Police Department Pound Ridge Town Police Department Rye Brook Village Police Department Rye City Police Department Scarsdale Village Police Department Sleepy Hollow Village Police Department Somers Town Police Department Tarrytown Village Police Department Tuckahoe Village Police Department

	Southampton Village Police Department
	Southold Town Police Department
	Suffolk County Police Department
	Suffolk County Sheriff's Office
Sullivan	Westhampton Beach Village Police Department
	Fallsburg Town Police Department
	Liberty Village Police Department
	Monticello Village Police Department
	Sullivan County Sheriff's Office
Tioga	Woodridge Village Police Department
	Candor Village Police Department
	Owego Village Police Department
	Spencer Village Police Department
	Tioga County Sheriff's Office
Tompkins	Waverly Village Police Department
	Cayuga Heights Village Police Department
	Dryden Village Police Department
	Groton Village Police Department
	Ithaca City Police Department
	Tompkins County Sheriff's Office
Ulster	Trumansburg Village Police Department
	Ellenville Village Police Department
	Kingston City Police Department
	Lloyd Town Police Department
	Marlborough Town Police Department
	New Paltz Town & Village Police Department
	Olive Town Police Department
	Plattekill Town Police Department
	Rosendale Town Police Department
	Saugerties Town Police Department
	Shandaken Town Police Department
	Shawangunk Town Police Department
	Ulster County Sheriff's Office
	Ulster Town Police Department
	Woodstock Town Police Department
Warren	Bolton Town Police Department
	Glens Falls City Police Department
	Warren County Sheriff's Office
Washington	Warrensburg Town Police Department
	Cambridge Village Police Department
	Fort Edward Village Police Department
	Granville Village Police Department
	Greenwich Village Police Department
	Hudson Falls Village Police Department
	Washington County Sheriff's Office

	Schenectady County Sheriff's Office
	Scotia Village Police Department
Schoharie	Cobleskill Village Police Department
	Schoharie County Sheriff's Office
	Schoharie Village Police Department
Schuyler	Schuyler County Sheriff's Office
	Watkins Glen Village Police Department
Seneca	Interlaken Village Police Department
	Seneca County Sheriff's Office
	Seneca Falls Town Police Department
	Waterloo Village Police Department
St. Lawrence	Canton Village Police Department
	Gouverneur Village Police Department
	Massena Village Police Department
	Norfolk Town Police Department
	Norwood Village Police Department
	Ogdensburg City Police Department
	Potsdam Village Police Department
	St. Lawrence County Sheriff's Office
Steuben	Addison Village Police Department
	Bath Village Police Department
	Canisteo Village Police Department
	Cohocton Town Police Department
	Corning City Police Department
	Hammondsport Village Police Department
	Hornell City Police Department
	North Hornell Village Police Department
	Painted Post Village Police Department
	Steuben County Sheriff's Office
	Wayland Village Police Department
Suffolk	Amityville Village Police Department
	Asharoken Village Police Department
	East Hampton Town Police Department
	East Hampton Village Police Department
	Head of the Harbor Village Police Department
	Huntington Bay Village Police Department
	Lloyd Harbor Village Police Department
	Nissequogue Village Police Department
	Northport Village Police Department
	Ocean Beach Village Police Department
	Quogue Village Police Department
	Riverhead Town Police Department
	Sag Harbor Village Police Department
	Shelter Island Town Police Department
	Southampton Town Police Department

Oswego	Central Square Village Police Department Fulton City Police Department Oswego City Police Department Oswego County Sheriff's Office Phoenix Village Police Department Pulaski Village Police Department
Otsego	Cooperstown Village Police Department Oneonta City Police Department Otsego County Sheriff's Office
Putnam	Brewster Village Police Department Carmel Town Police Department Cold Spring Village Police Department Kent Town Police Department Putnam County Sheriff's Office
Rensselaer	East Greenbush Town Police Department Hoosick Falls Village Police Department Nassau Village Police Department North Greenbush Town Police Department Rensselaer City Police Department Rensselaer County Sheriff's Office Schodack Town Police Department Troy City Police Department
Rockland	Clarkstown Town Police Department Haverstraw Town Police Department Orangetown Town Police Department Piermont Village Police Department Ramapo Town Police Department Rockland County Sheriff's Office South Nyack-Grand View Village Police Department Spring Valley Village Police Department Stony Point Town Police Department Suffern Village Police Department
Saratoga	Ballston Spa Village Police Department Galway Village Police Department Mechanicville City Police Department Saratoga County Sheriff's Office Saratoga Springs City Police Department South Glens Falls Village Police Department Stillwater Town Police Department Waterford Town & Village Police Department
Schenectady	Glenville Town Police Department Niskayuna Town Police Department Rotterdam Town Police Department Schenectady City Police Department

Ontario	<p>Syracuse City Police Department Canandaigua City Police Department Clifton Springs Village Police Department Geneva City Police Department Manchester Village Police Department Ontario County Sheriff's Office Phelps Village Police Department Shortsville Village Police Department</p>
Orange	<p>Blooming Grove Town Police Department Chester Town Police Department Chester Village Police Department Cornwall Town Police Department Cornwall on Hudson Village Police Department Crawford Town Police Department Deerpark Town Police Department Florida Village Police Department Goshen Town Police Department Goshen Village Police Department Greenwood Lake Village Police Department Harriman Village Police Department Highland Falls Village Police Department Highlands Town Police Department Maybrook Village Police Department Middletown City Police Department Monroe Village Police Department Montgomery Town Police Department Montgomery Village Police Department Mount Hope Town Police Department New Windsor Town Police Department Newburgh City Police Department Newburgh Town Police Department Orange County Sheriff's Office Port Jervis City Police Department Tuxedo Park Village Police Department Tuxedo Town Police Department Walden Village Police Department Wallkill Town Police Department Warwick Town Police Department Washingtonville Village Police Department Woodbury Town Police Department</p>
Orleans	<p>Albion Village Police Department Holley Village Police Department Lyndonville Village Police Department Medina Village Police Department Orleans County Sheriff's Office</p>

	Old Brookville Village Police Department
	Old Westbury Village Police Department
	Oyster Bay Cove Village Police Department
	Port Washington Police District
	Rockville Centre Police Department
	Sands Point Village Police Department
New York City	New York City Police Department
	New York City Sheriff's Office
Niagara	Barker Village Police Department
	Lewiston Town Police Department
	Lockport City Police Department
	Middleport Village Police Department
	Niagara County Sheriff's Office
	Niagara Falls City Police Department
	Niagara Town Police Department
	North Tonawanda City Police Department
	Somerset Town Police Department
	Youngstown Village Police Department
Oneida	Boonville Village Police Department
	Camden Village Police Department
	Kirkland Town Police Department
	New Hartford Town Police Department
	New York Mills Village Police Department
	Oneida County Sheriff's Office
	Oriskany Village Police Department
	Rome City Police Department
	Sherrill City Police Department
	Utica City Police Department
	Vernon Village Police Department
	Whitesboro Village Police Department
	Whitestown Town Police Department
	Yorkville Village Police Department
Onondaga	Baldwinsville Village Police Department
	Camillus Town & Village Police Department
	Cicero Town Police Department
	DeWitt Town Police Department
	Geddes Town Police Department
	Jordan Village Police Department
	Liverpool Village Police Department
	Manlius Town Police Department
	Marcellus Village Police Department
	North Syracuse Village Police Department
	Onondaga County Sheriff's Office
	Skaneateles Village Police Department
	Solvay Village Police Department

Livingston	Avon Village Police Department Caledonia Village Police Department Dansville Village Police Department Geneseo Village Police Department Livingston County Sheriff's Office Mount Morris Village Police Department Nunda Town & Village Police Department
Madison	Canastota Village Police Department Cazenovia Village Police Department Chittenango Village Police Department Hamilton Village Police Department Madison County Sheriff's Office Oneida City Police Department
Monroe	Brighton Town Police Department Brockport Village Police Department East Rochester Village Police Department Fairport Village Police Department Gates Town Police Department Greece Town Police Department Irondequoit Town Police Department Monroe County Sheriff's Office Ogden Town Police Department Rochester City Police Department Webster Town Police Department
Montgomery	Amsterdam City Police Department Canajoharie Village Police Department Fort Plain Village Police Department Montgomery County Sheriff's Office St. Johnsville Village Police Department
Nassau	Centre Island Village Police Department Floral Park Village Police Department Freeport Village Police Department Garden City Village Police Department Glen Cove City Police Department Great Neck Estates Village Police Department Hempstead Village Police Department Kensington Village Police Department Kings Point Village Police Department Lake Success Village Police Department Long Beach City Police Department Lynbrook Village Police Department Malverne Village Police Department Muttontown Village Police Department Nassau County Police Department Nassau County Sheriff's Office

	Fulton County Sheriff's Office
	Gloversville City Police Department
	Johnstown City Police Department
	Northville Village Police Department
Genesee	Batavia City Police Department
	Corfu Village Police Department
	Genesee County Sheriff's Office
	LeRoy Village Police Department
Greene	Athens Village Police Department
	Cairo Town Police Department
	Catskill Village Police Department
	Coxsackie Village Police Department
	Durham Town Police Department
	Greene County Sheriff's Office
	Hunter Town Police Department
	Windham Town Police Department
Hamilton	Hamilton County Sheriff's Office
	Inlet Town Police Department
Herkimer	Dolgeville Village Police Department
	Frankfort Town Police Department
	Frankfort Village Police Department
	Herkimer County Sheriff's Office
	Herkimer Village Police Department
	Ilion Village Police Department
	Little Falls City Police Department
	Mohawk Village Police Department
	Webb Town Police Department
Jefferson	Adams Village Police Department
	Alexandria Bay Village Police Department
	Antwerp Village Police Department
	Black River Village Police Department
	Brownville Village Police Department
	Cape Vincent Village Police Department
	Carthage Village Police Department
	Clayton Village Police Department
	Dexter Village Police Department
	Glen Park Village Police Department
	Jefferson County Sheriff's Office
	Philadelphia Village Police Department
	Sackets Harbor Village Police Department
	Theresa Village Police Department
	Watertown City Police Department
	West Carthage Village Police Department
Lewis	Lewis County Sheriff's Office
	Lowville Village Police Department

	East Fishkill Town Police Department
	Fishkill Town Police Department
	Fishkill Village Police Department
	Hyde Park Town Police Department
	Millbrook Village Police Department
	Millerton Village Police Department
	Pine Plains Town Police Department
	Poughkeepsie City Police Department
	Poughkeepsie Town Police Department
	Red Hook Village Police Department
	Rhinebeck Village Police Department
Erie	Wappingers Falls Village Police Department
	Akron Village Police Department
	Amherst Town Police Department
	Blasdell Village Police Department
	Brant Town Police Department
	Buffalo City Police Department
	Cheektowaga Town Police Department
	Depew Village Police Department
	East Aurora/Aurora Town Police Department
	Eden Town Police Department
	Erie County Sheriff's Office
	Evans Town Police Department
	Gowanda Village Police Department
	Grand Island Town Police Department
	Hamburg Town Police Department
	Hamburg Village Police Department
	Kenmore Village Police Department
	Lackawanna City Police Department
	Lancaster Town Police Department
	North Collins Village Police Department
	Orchard Park Town Police Department
	Springville Village Police Department
	Tonawanda City Police Department
	Tonawanda Town Police Department
Essex	West Seneca Town Police Department
	Essex County Sheriff's Office
	Lake Placid Village Police Department
	Moriah Town Police Department
Franklin	Ticonderoga Town Police Department
	Franklin County Sheriff's Office
	Malone Village Police Department
	Saranac Lake Village Police Department
Fulton	Tupper Lake Village Police Department
	Broadalbin Village Police Department

	Cayuga County Sheriff's Office
	Moravia Village Police Department
	Port Byron Village Police Department
	Weedsport Village Police Department
Chautauqua	Carroll Town Police Department
	Chautauqua County Sheriff's Office
	Dunkirk City Police Department
	Ellicott Town Police Department
	Fredonia Village Police Department
	Jamestown City Police Department
	Lakewood Busti Police Department
	Westfield Village Police Department
Chemung	Chemung County Sheriff's Office
	Elmira City Police Department
	Elmira Heights Village Police Department
	Elmira Town Traffic District # 1
Chenango	Horseheads Village Police Department
	Afton Village Police Department
	Bainbridge Village Police Department
	Chenango County Sheriff's Office
	Greene Village Police Department
	New Berlin Town Police Department
	Norwich City Police Department
	Oxford Village Police Department
Clinton	Sherburne Village Police Department
	Clinton County Sheriff's Office
Columbia	Plattsburgh City Police Department
	Chatham Village Police Department
	Columbia County Sheriff's Office
	Germantown Town Police Department
	Greenport Town Police Department
	Hudson City Police Department
	Philmont Village Police Department
Cortland	Stockport Town Police Department
	Cortland City Police Department
	Cortland County Sheriff's Office
Delaware	Homer Village Police Department
	Colchester Town Police Department
	Delaware County Sheriff's Office
	Delhi Village Police Department
	Hancock Village Police Department
	Sidney Village Police Department
Dutchess	Walton Village Police Department
	Beacon City Police Department
	Dutchess County Sheriff's Office

APPENDIX C

New York State Police Agencies

County	Agency	
Albany	Albany City Police Department	
	Albany County Sheriff's Office	
	Altamont Village Police Department	
	Bethlehem Town Police Department	
	Coeymans Town Police Department	
	Cohoes City Police Department	
	Colonie Town Police Department	
	Green Island Village Police Department	
	Guilderland Town Police Department	
	Menands Village Police Department	
	Watervliet City Police Department	
	Allegany	Alfred Village Police Department
		Allegany County Sheriff's Office
Andover Village Police Department		
Angelica Village Police Department		
Belmont Village Police Department		
Bolivar Village Police Department		
Cuba Town Police Department		
Friendship Town Police Department		
Independence Town Police Department		
Wellsville Village Police Department		
Willing Town Police Department		
Broome		Binghamton City Police Department
		Broome County Sheriff's Office
	Deposit Village Police Department	
	Endicott Village Police Department	
	Johnson City Village Police Department	
	Port Dickinson Village Police Department	
	Vestal Town Police Department	
Cattaraugus	Allegany Village Police Department	
	Cattaraugus County Sheriff's Office	
	Cattaraugus Village Police Department	
	Ellicottville Town Police Department	
	Franklinville Village Police Department	
	Olean City Police Department	
	Portville Village Police Department	
	Salamanca City Police Department	
Cayuga	Auburn City Police Department	

Name

Signature

Title

Date

APPENDIX B

**NEW YORK STATE POLICE REFORM AND REINVENTION COLLABORATIVE
PLAN CERTIFICATION FORM**

Instructions: The Chief Executive of each local government must complete and submit this certification and a copy of their Plan to the Director of the New York State Division of the Budget on or before April 1, 2021 at EO203Certification@budget.ny.gov.

I, _____, as the Chief Executive of _____
(the "Local Government"), hereby certify the following pursuant to Executive Order No. 203 issued by Governor Andrew M. Cuomo on June 12, 2020:

- The Local Government has performed a comprehensive review of current police force deployments, strategies, policies, procedures, and practices;
- The Local Government has developed a plan, attached hereto, to improve such deployments, strategies, policies, procedures, and practices (the "Plan");
- The Local Government has consulted with stakeholders (including but not limited to: membership and leadership of the local police force; members of the community, with emphasis in areas with high numbers of police and community interactions; interested non-profit and faith-based community groups; the local office of the district attorney; the local public defender; and local elected officials) regarding the Plan;
- The Local Government has offered the Plan in draft form for public comment to all citizens in the locality and, prior to adoption of the Plan by the local legislative body, has considered the comments submitted; and
- The legislative body of the Local Government has ratified or adopted the Plan by local law or resolution.

officials, and create a plan to adopt and implement the recommendations resulting from its review and consultation, including any modifications, modernizations, and innovations to its policing deployments, strategies, policies, procedures, and practices, tailored to the specific needs of the community and general promotion of improved police agency and community relationships based on trust, fairness, accountability, and transparency, and which seek to reduce any racial disparities in policing.

Such plan shall be offered for public comment to all citizens in the locality, and after consideration of such comments, shall be presented to the local legislative body in such political subdivision, which shall ratify or adopt such plan by local law or resolution, as appropriate, no later than April 1, 2021; and

Such local government shall transmit a certification to the Director of the Division of the Budget to affirm that such process has been complied with and such local law or resolution has been adopted; and

The Director of the Division of the Budget shall be authorized to condition receipt of future appropriated state or federal funds upon filing of such certification for which such local government would otherwise be eligible; and

The Director is authorized to seek the support and assistance of any state agency in order to effectuate these purposes.

G I V E N under my hand and the Privy Seal of the State in the City of Albany this twelfth day of June in the year two thousand twenty.

BY THE GOVERNOR

Secretary to the Governor

New York, in particular Article IV, section one, I do hereby order and direct as follows:

The director of the Division of the Budget, in consultation with the Division of Criminal Justice Services, shall promulgate guidance to be sent to all local governments directing that:

Each local government entity which has a police agency operating with police officers as defined under 1.20 of the criminal procedure law must perform a comprehensive review of current police force deployments, strategies, policies, procedures, and practices, and develop a plan to improve such deployments, strategies, policies, procedures, and practices, for the purposes of addressing the particular needs of the communities served by such police agency and promote community engagement to foster trust, fairness, and legitimacy, and to address any racial bias and disproportionate policing of communities of color.

Each chief executive of such local government shall convene the head of the local police agency, and stakeholders in the community to develop such plan, which shall consider evidence-based policing strategies, including but not limited to, use of force policies, procedural justice; any studies addressing systemic racial bias or racial justice in policing; implicit bias awareness training; de-escalation training and practices; law enforcement assisted diversion programs; restorative justice practices; community-based outreach and conflict resolution; problem-oriented policing; hot spots policing; focused deterrence; crime prevention through environmental design; violence prevention and reduction interventions; model policies and guidelines promulgated by the New York State Municipal Police Training Council; and standards promulgated by the New York State Law Enforcement Accreditation Program.

The political subdivision, in coordination with its police agency, must consult with stakeholders, including but not limited to membership and leadership of the local police force; members of the community, with emphasis in areas with high numbers of police and community interactions; interested non-profit and faith-based community groups; the local office of the district attorney; the local public defender; and local elected

Trayvon Martin, Michael Brown, Tamir Rice, Laquan McDonald, Walter Scott, Freddie Gray, Philando Castile, Antwon Rose Jr., Ahmaud Arbery, Breonna Taylor, and George Floyd, amongst others,

WHEREAS, these needless deaths have led me to sign into law the Say Their Name Agenda which reforms aspects of policing in New York State; and

WHEREAS, government has a responsibility to ensure that all of its citizens are treated equally, fairly, and justly before the law; and

WHEREAS, recent outpouring of protests and demonstrations which have been manifested in every area of the state have illustrated the depth and breadth of the concern; and

WHEREAS, black lives matter; and

WHEREAS, the foregoing compels me to conclude that urgent and immediate action is needed to eliminate racial inequities in policing, to modify and modernize policing strategies, policies, procedures, and practices, and to develop practices to better address the particular needs of communities of color to promote public safety, improve community engagement, and foster trust; and

WHEREAS, the Division of the Budget is empowered to determine the appropriate use of funds in furtherance of the state laws and New York State Constitution; and

WHEREAS, in coordination with the resources of the Division of Criminal Justice Services, the Division of the Budget can increase the effectiveness of the criminal justice system by ensuring that the local police agencies within the state have been actively engaged with stakeholders in the local community and have locally-approved plans for the strategies, policies and procedures of local police agencies; and

NOW, THEREFORE, I, Andrew M. Cuomo, Governor of the State of New York, by virtue of the authority vested in me by the Constitution and the Laws of the State of

APPENDIX A

No. 203

EXECUTIVE ORDER

**NEW YORK STATE POLICE REFORM AND REINVENTION
COLLABORATIVE**

WHEREAS, the Constitution of the State of New York obliges the Governor to take care that the laws of New York are faithfully executed; and

WHEREAS, I have solemnly sworn, pursuant to Article 13, Section 1 of the Constitution, to support the Constitution and faithfully discharge the duties of the Office of Governor; and

WHEREAS, beginning on May 25, 2020, following the police-involved death of George Floyd in Minnesota, protests have taken place daily throughout the nation and in communities across New York State in response to police-involved deaths and racially-biased law enforcement to demand change, action, and accountability; and

WHEREAS, there is a long and painful history in New York State of discrimination and mistreatment of black and African-American citizens dating back to the arrival of the first enslaved Africans in America; and

WHEREAS, this recent history includes a number of incidents involving the police that have resulted in the deaths of unarmed civilians, predominantly black and African-American men, that have undermined the public's confidence and trust in our system of law enforcement and criminal justice, and such condition is ongoing and urgently needs to be rectified; and

WHEREAS, these deaths in New York State include those of Anthony Baez, Amadou Diallo, Ousmane Zango, Sean Bell, Ramarley Graham, Patrick Dorismond, Akai Gurley, and Eric Garner, amongst others, and, in other states, include Oscar Grant,

Part 3: Appendices

Appendix A: Executive Order No. 203

Appendix B: Plan Certification Form

Appendix C: New York State Police Agencies

maintaining and strengthening the relationship between your police department and your community.

Educate the public: When releasing your draft, think about how you and other key stakeholders involved in the development can explain the proposals to the public. Consider holding events, engaging the media, or publishing an op-ed in the local newspaper.

Revise the plan to Incorporate public comment: Ensure the public comment is addressed in a meaningful way in your final plan. Consider how you will address those comments which are not adopted and those that highlight areas of tension and disagreement among members of the community or between community members and the police.

Ratify the plan: After public comment and finalization of a plan, the Executive Order requires that the local legislature adopt or ratify the proposal. Build in sufficient notice and time for this to occur before the April 1, 2021 deadline.

Certify with New York State: Submit your certification (included here in Appendix B) that your locality has met the requirements of Executive Order No. 203 to the Division of the Budget by April 1, 2021.

Going Forward

After the plan is adopted, there will still be important work to do. You will need to implement the plan and communicate progress reports and metrics to the public. You will need continuously to monitor and respond to community concerns with the police. Public engagement should not end on April 1, 2021. The Collaborative is an important step in your continual process of building,

Phase 3: Draft a Plan (November-December 2020)

Identify areas of focus: After evaluating the current state of your law enforcement agency and getting feedback, identify what issues or areas are in need of change. Breaking down and organizing issues to be addressed allows decisions to be made in a more manageable way.

Identify measurable goals: In the areas identified as needing reform, identify what success will look like in the short- and long-term. Articulating measurable goals will help focus your policy development, allow you and all stakeholders to assess outcomes, and identify needed adjustments in the future.

Draft a reform and reinvention plan: Decide what format your final product will take. You may choose to solicit suggested language from stakeholders to help facilitate drafting and to see different stakeholders' positions in writing. Consider articulating not just the policy changes but your vision for what these changes will accomplish. Make sure to include how you will measure success.

Keep the public engaged: If the public has the opportunity to share proposals and hear deliberations, the public comment period will be more productive.

Phase 4: Public Comment and Ratification (January-March 2021)

Release your draft plan for public comment: Executive Order No. 203 requires that these plans be posted for public comment. Consider diversifying the ways the public can share feedback, in writing and at events.

complaint history, budget, contracts, equipment, etc. Share this information with the public. This self-assessment will help focus the conversation on what you and your community want to change.

Phase 2: Listening and Learning (September-October 2020)

Listening Sessions: Conduct listening sessions with the public. You may want to organize these sessions thematically or focus on meeting with individual stakeholders separately.

Engage Experts: This guidebook provides you with a starting point. Engaging with the experts and resources referenced in this guide may help you consider difficult issues more fully. It may be efficient for neighboring jurisdictions to coordinate in finding research useful for the region.

Request Comments and Information: All localities will release their draft plans for public comment before completing this process. However, you may want to collect public feedback early in your plan development, especially from people unable to or uncomfortable with joining public meetings. Consider posting questions or prompts asking for written comments or suggestions.

Suggested Workplan



Phase 1: Planning (August-September 2020)

Create an operations plan: Create a work plan for your process and identify staff to manage the information gathering and plan development. Many localities have already begun this process. If appropriate, hire any external consultants or facilitators. You can also develop a preliminary list of the critical issues that need to be addressed through the plan development process, based on the material provided in Part 1 of this guidebook.

Coordinate with neighboring localities: Consider whether aspects of this process can be done in conjunction with neighboring localities. In some areas a countywide process may be useful during parts of this process, bearing in mind that each locality will need to solicit meaningful input from its own community and develop an individual plan tailored to its own needs.

Convene key stakeholders: Identify local leaders who can work closely with you to facilitate conversations with key constituencies in the community.

Assess where you are now: Gather information on how your police department currently operates including data, policies, procedures, prior

Run an Open and Transparent Process

In addition to incorporating a diverse group of community members, you should keep the public informed throughout the process. The Police Reform and Reinvention Collaborative was designed to enable all members of the community to participate in reimagining the role of law enforcement. Your process will not be successful if it simply restates the current functions, strategies and operations of the police department, without deep and probing consideration of the perspectives of those who seek reform.

The Collaborative emphasizes transparency. All draft plans must be posted for public comment before finalization. Further, the chief executive must certify that the community was engaged in this process and the local legislative body ratified the plan. Transparency is essential to ensure that the plan reflects a shared vision for the future of law enforcement. Transparency entails:

- Making planning and deliberation meetings public.
- Polling and surveying the public for their views on specific issues, if feasible.
- Providing periodic updates as the planning process moves forward.
- Engaging local media.
- Making all research materials public.
- Having a plan to incorporate public comment feedback in the final plan.

Key Organizing Principles

Bring Your Community to the Table

The Governor's Executive Order specifically requires an inclusive, open and transparent process. The Executive Order identifies some of the key stakeholders who must be involved:

- Membership and leadership of the local police force;
- Members of the community, with emphasis on areas with high numbers of police and community interactions;
- Interested non-profit and faith-based community groups;
- The local office of the district attorney;
- The local public defender; and
- Local elected officials.

Beyond this group, you should ensure that participants bring to your process a broad range of the perspectives, experiences, knowledge and values of your community.

Specifically, you should consider engaging:

- Residents who have had interactions with the police;
- Residents who have been incarcerated;
- Any local police unions;
- Local education officials and educators;
- Local neighborhood, homeless, and housing advocates;
- LGBTQIA+ leaders and advocates;
- The Local Health Department and healthcare leaders and advocates;
- Mental health professionals;
- Business leaders;
- Transportation and transit officials; and
- Legal and academic experts.

Part 2: Developing Your Collaborative Plan

There are over 500 law enforcement agencies across the state. The New York State Police Reform and Reinvention Collaborative (the Collaborative) was designed to bring each community together with its local police agency to chart its individual course forward. Your most important task in this Collaborative is to ensure a healthy, productive engagement and fostering a relationship of mutual trust between the police and the community – including all segments of the community. In some localities, that relationship is already strong. In others, it is frayed or broken. Each community will have to approach this task in a way tailored to its unique experiences and needs, and will come to its own shared vision of the role of law enforcement.

The rest of this guidebook includes information and resources on the best ideas available on developing a modern police force, which will inform your community's discussions and decision making.

While some localities have already started to develop a plan, this Part provides organizing principles that may be helpful in designing your process, along with a suggested 4-phase timeline for bringing stakeholders to the table, facilitating productive conversations, and successfully developing and ratifying a redesigned police force by April 1, 2021, as required by Executive Order No. 203 (reprinted as Appendix A).

formal and informal intervention systems to provide meaningful assistance to those officers in need.²³⁴

²³⁴ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 322. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

recognize early warning signs of mental health problems and/or suicidal behavior. This could include specialized training for supervisors on how effectively to intervene with at-risk personnel.²³³

Officers often feel more comfortable speaking to fellow officers. As such, your agency should also consider creating internal peer support and mentoring programs that can aid officers in expressing their thoughts and concerns about the job with more seasoned officers that “have been where they are.”

Employee Assistance Programs (EAP) are another tool that can provide law enforcement personnel with confidential support in many areas of their personal and professional lives. For example, the New York State Police manages an EAP that can either provide direct assistance to individual employees at local agencies or assist an agency in establishing its own EAPs.

How can you address the well-being of an officer after a traumatic event?

Traumatic events are unavoidable for members of law enforcement. The aftermath of such events can deeply affect those involved and jeopardize their physical and mental well-being.

Following a crisis event, you should consider making sure the personnel involved have the option to access crisis counseling.

Supervisors and peers should monitor employees involved in potentially traumatic incidents for changes in their demeanor and behavior, prepared with

²³³ International Association of Chiefs of Police. (2014). *IACP National Symposium on Law Enforcement Officer Suicide and Mental Health: Breaking the Silence on Law Enforcement Suicides* p.18. https://www.theiacp.org/sites/default/files/Officer_Suicide_Report.pdf

Are there ways to address officer wellness and well-being through smarter scheduling?

Your department should consider how to incorporate concern for wellness and wellbeing into everyday operations, including how shifts are arranged.

Research shows that shift lengths frequently are correlated with officer stress levels.²³⁰ As such, managers may consider limiting maximum shift lengths along with overall limits on an officer's work hours by, for example, limiting back-to-back shifts and overtime that could be staffed by other officers.

Consider staffing patterns and whether tasks can be performed effectively by sworn or civilian staff.

How can you effectively and proactively address the mental health challenges experienced by many police officers throughout their careers?

Rates of death by suicide among law enforcement officers appear to be higher than those within the general U.S. population,²³¹ and deaths by suicide among officers may have outnumbered those caused by fatal line-of-duty incidents in recent years.²³² Your agency should consider providing training to

²³⁰ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 320. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

²³¹ Police Executive Research Forum. (2019). *An Occupational Risk: What Every Police Agency Should Do To Prevent Suicide Among Its Officers*, pp. 11-14. <https://www.policeforum.org/assets/PreventOfficerSuicide.pdf>; *National Officer Safety Initiatives*. (2020). *Preventing Suicide Among Law Enforcement Officers: An Issue Brief*, pp. 4-5.

²³² Police Executive Research Forum. (2019). *An Occupational Risk: What Every Policy Agency Should Do To Prevent Suicide Among Its Officers*, p.11. <https://www.policeforum.org/assets/PreventOfficerSuicide.pdf>.

What steps can you take to promote wellness and well-being within your department?

The members of your department may face different risks and stressors depending on their daily assignments. Well-being, self-care, counseling, and intervention programs are important resources that should be made available to officers starting at the training academy and then continuously thereafter.

In order to understand the issues affecting your officers, seek their input. Surveys, confidential meetings, and assistance programs all provide a means for leadership to understand the concerns of their individual officers.

Law enforcement leadership should consider how officer wellness is incorporated into your department. You should take steps to ensure that support for officer wellness and safety is integrated into all aspects of your department's work, and commitment to officer wellness and safety should be reflected in your policies, practices, attitudes, and behaviors. Department leadership should endeavor to lead by example, as rank and file personnel are likely to model the behavior and attitudes they see in their leaders.

Your department can engage professional organizations (such as Blue H.E.L.P., Valor for Blue, and Blue Wall Institute), that provide mental health and wellness training to police officers and first responders.²²⁹

²²⁹ Blue H.E.L.P., Training and Resources <https://bluehelp.org/resources/training-and-resources/>; Valor for Blue. <https://www.valorforblue.org/>; Blue Wall Institute. <https://www.bw-institute.com/>.

- The critical self-analysis approach used by law enforcement agencies to evaluate incidents involving use of force, searches and seizures, crisis response encounters, and other similar circumstances can also be used to inform the agency's training goals and priorities. For example, agencies that have recently experienced high rates of use-of-force incidents may want to emphasize training courses focused on de-escalation.²²⁷
- Agencies should consider adopting a policy requiring the maintenance of complete, accurate, and up-to-date records of training curricula, materials, and attendance. This will help ensure that officers complete their ongoing training requirements, and will provide communities with an added opportunity to hold departments accountable for insufficient or outdated training.

3. Support Officer Wellness and Well-being

Law enforcement is inherently a physically and emotionally dangerous career. Studies show that people working in law enforcement are at an elevated risk of physical and mental health issues when compared to the general population.²²⁸

Consider how your police department can include in its plan an effective and proactive approach to preparing officers to handle the stress of the occupation and to ongoing support for and promotion of officer wellness.

²²⁷ *Id.* at 301.

²²⁸ *The Canadian Journal of Psychiatry*, "Mental Disorder Symptoms among Public Safety Personnel in Canada.", Carleton, R. Nicholas, et al. vol. 63, no. 1, 2017, pp. 54-64., <https://journals.sagepub.com/doi/pdf/10.1177/0706743717723825>

- Police departments should consider training dispatchers to recognize these types of crises, ask the rights questions, and dispatch the appropriately trained personnel to respond to the scene.²²⁴
- Law enforcement agencies should consider establishing a network of mental health and disability professionals to support and inform the work of officers trained in crisis response.²²⁵

What practices and procedures can you put in place to measure the quality and efficacy of your police department's training programs?

It is important to review periodically your police department's training programs to determine whether they remain up-to-date and whether they are yielding the desired results.²²⁶

- Agencies should consider establishing a periodic review, audit, and assessment of training programs to ensure that they are not teaching outdated practices and/or basing their trainings on outdated understandings of community needs.
- Your community should consider implementing a process through which training outcomes can be measured by assessing post-training officer performance.

²²⁴ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 163. <https://civilrights.org/wp-content/uploads/2019/08/Policing-Full-Report.pdf>.

²²⁵ Civil Rights Coalition On Police Reform. (2014, Aug. 14). *A Unified Statement of Action to Promote Reform and Stop Abuse*. <https://lawyerscommittee.org/wp-content/uploads/2015/08/Civil-Rights-Coalition-on-Police-Reform-Resource-Packet.pdf>.

²²⁶ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 307-309. <https://civilrights.org/wp-content/uploads/2019/08/Policing-Full-Report.pdf>.

judgments and decisions about how to safely resolve such situations,²¹⁸ which too often turn violent. Indeed, the Treatment Advocacy Center has found that individuals with untreated mental health conditions are significantly more likely than members of the general population to be killed during interactions with police.²¹⁹ Appropriate training programs can help prepare police officers to respond to these types of situations safely, effectively, and humanely. Police responding to situations involving a member of the public experiencing a mental health crisis should consider the following best practices:

- Police departments should consider making Crisis Intervention Team (CIT) training a standard component of their training program.²²⁰ CIT has been shown to enhance officers' ability to recognize and respond to mental health emergencies, increase likelihood of jail diversion and treatment for individuals experiencing mental illness, reduce officer injury rates, and reduce police officer use of force in encounters with people experiencing mental health emergencies.²²¹
- Police departments can also ensure that their training programs equip officers to recognize the signs of substance abuse and respond appropriately when interacting with individuals who may be impaired as a result of substance abuse.²²² This may include training and equipping officers with overdose-reversal drugs like Naloxone.²²³

²¹⁸ International Association of Chiefs of Police. (2018). *Responding to Persons Experiencing a Mental Health Crisis*. <https://www.theiacp.org/resources/policy-center-resource/mental-illness>.

²¹⁹ Fuller, D.A., Lamb, H.R., Biasotti, M., & Snook, J. (2015). *Overlooked in the Undercounted: The Role of Mental Illness in Fatal Law Enforcement Encounters*. Treatment Advocacy Center, p. 12.

<https://www.treatmentadvocacycenter.org/storage/documents/overlooked-in-the-undercounted.pdf>.

²²⁰ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 163. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

²²¹ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 56. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf; The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 157. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

²²² United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 57. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

²²³ National Institute on Drug Abuse. (2020). *Opioid Overdose Reversal with Naloxone (Narcan, Evzio)*. <https://www.drugabuse.gov/drug-topics/opioids/opioid-overdose-reversal-naloxone-narcan-evzio>.

- Community-focused trainings can include instruction about the type of language or behavior that may be viewed as offensive by a given community and direct officers to avoid such conduct.
- Training programs focused on communities that have historically viewed police with distrust may benefit from the inclusion of training materials that provide officers with the appropriate historical perspective and context to understand how past policing practices may have contributed to these negative perceptions.
- You may also consider implementing basic training and in-service training requirements that establish a set period of time that officers must spend interacting with individuals and groups within their communities and engaging in meaningful, non-enforcement related conversations. As explained by Professor Seth Stoughton in the Harvard Law Review, this type of “non-enforcement contact” can build trust, reinforce officers’ commitment to community policing, and build communication skills that will be valuable throughout an officer’s career.²¹⁷

How can your training program help officers effectively and safely respond to individuals experiencing mental health crises or struggling with substance abuse?

Responding to circumstances involving people who are under the influence of a substance and/or are experiencing a mental health crisis can be extremely difficult. Initially, this guidebook suggests that the collaborative consider whether and to what extent the police should respond to such calls. If the collaborative has determined that police should be a part of such response, it must recognize that responding officers need to make a series of difficult

²¹⁷ Stoughton, S. (2015). Law Enforcement’s “Warrior” Problem. *Harvard Law Review*, 128(6), pp. 225-234. <https://harvardlawreview.org/2015/04/law-enforcements-warrior-problem/>

awareness allows officers to recognize their own human biases and how implicit biases can affect their perceptions of others and their behavior. This awareness improves policing and has a positive effect on the relationship between police and the community. Finally, implicit bias awareness training develops skills and tactics to reduce the influence of bias on police practice and allows officers to be safe, effective, and just police professionals.²¹³

- Many entities, including the International Association of Police Chiefs and the National Training Institute on Race and Equality, offer implicit bias and cultural competency trainings designed for police departments.²¹⁴ You may consider also partnering with advocacy and community groups that can enhance these trainings by sharing the experience of the community.²¹⁵
- Community-specific implicit bias and cultural competency training programs might focus on groups such as Black communities; Orthodox Jewish, Muslim, Arab, and South Asian communities; individuals with limited English proficiency; LGBTQIA+ individuals; individuals with disabilities; and individuals experiencing homelessness.²¹⁶

²¹³ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 59-60. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

²¹⁴ See, e.g., Kirwan Institute for the Study of Race and Ethnicity. *Implicit Bias Module Series*. <http://kirwaninstitute.osu.edu/implicit-bias-training/>; International Association of Chiefs of Police. (2020). *Bias-Free Policing*. <https://www.theiacp.org/sites/default/files/2020-06/Bias-Free%20Policing%20January%202020.pdf>; The Perception Institute. *Services and Solutions*. <https://perception.org/services-and-solutions/>; Fair and Impartial Policing. *FIP Training Courses*. <https://fipolicing.com/fip-training-courses/>; The National Training Institute on Race and Equity. *Implicit Bias Training*. <https://www.ntire.training/book-a>.

²¹⁵ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 58. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

²¹⁶ Fair and Just Prosecution. (2020). *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>; United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 58. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

also on police officers themselves.

How can your police department use its training programs to avoid potential bias incidents and build stronger connections with communities of color and vulnerable populations?

Awareness of and appreciation for cultural diversity are integral components of a professional police force.²¹⁰ Police forces must understand and appreciate the cultural diversity within the communities they serve. This understanding can help officers to de-escalate specific situations, and also to build ongoing, effective dialogue with community members.

Research suggests that biases, including implicit biases, can affect interactions between communities of color and law enforcement.²¹¹ Implicit bias refers to the attitudes or stereotypes that affect our understanding, actions, and decisions in an unconscious manner. Law enforcement agencies across the country have begun to train police officers in implicit bias.²¹² Implicit bias

²¹⁰ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 58. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf. Gokey, C. & Shah, S. (Eds.). (2016). *How to Support Trust Building in Your Agency. Police Perspectives: Building Trust in a Diverse Nation*, no. 3. Office of Community Oriented Policing Services, 42. https://www.vera.org/downloads/publications/police-perspectives-guide-series-building-trust-diverse-nation-diverse-communities-building-trust_1.pdf.

²¹¹ Clark, A. (2017, Aug. 24.) The Harmful Effects of Implicit Racial Bias in the Police. *Race, Politics, Justice*. <https://www.ssc.wisc.edu/soc/racepoliticsjustice/2017/08/24/the-harmful-effects-of-implicit-racial-bias-in-the-police/>; National Institute of Justice. (2013, Jan. 9). Race, Trust and Police Legitimacy. <https://nij.ojp.gov/topics/articles/race-trust-and-police-legitimacy>; The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 39-40. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; U.S. Commission on Civil Rights. (2018). *Police Use of Force: An Examination of Modern Policing Practices*, pp. 101, 103-105. <https://www.usccr.gov/pubs/2018/11-15-Police-Force.pdf>.

²¹² CBS News. (2019, Aug. 7). We asked 155 police departments about their racial bias training. Here's what they told us. <https://www.cbsnews.com/news/racial-bias-training-de-escalation-training-policing-in-america/>.

trainings utilize scenario-based training practices in interactive training environments.²⁰⁵

- Police departments should consider requiring specialized training programs focused on the use of force against vulnerable groups, including children, individuals with disabilities, people experiencing mental health emergencies, people under the influence of substances, and people who are pregnant.²⁰⁶
- Agencies should consider developing use of force training simulations that include scenarios in which police officers are expected not to resort to using force.²⁰⁷ Leadership within the Oakland, CA police department has attributed a reduction in the agency's use of force incidents to a shift in the design of their training programs to include such circumstances.²⁰⁸
- Agencies should consider developing a training schedule in which use of force training is conducted immediately following de-escalation training so that de-escalation training concepts can be most effectively incorporated into use of force training.²⁰⁹
- Law enforcement agencies should also consider training officers on the effects of violence not only on communities and individual victims but

²⁰⁵ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 143. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

²⁰⁶ Fair and Just Prosecution. (2020). *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>.

²⁰⁷ The Justice Collaboratory at Yale Law School. (2018). *Principles of Procedurally Just Policing*, p. 43. https://law.yale.edu/sites/default/files/area/center/justice/principles_of_procedurally_just_policing_report.pdf.

²⁰⁸ Quattlebaum, M., Meares, T., & Tyler, T. (2018). Principles of Procedurally Just Policing. *The Justice Collaboratory at Yale Law School*, Endnote 148. https://law.yale.edu/sites/default/files/area/center/justice/principles_of_procedurally_just_policing_report.pdf. See also Apuzzo, M. (2015, May 4). Police Rethink Long Tradition on Using Force. *New York Times*. <https://www.nytimes.com/2015/05/05/us/police-start-to-reconsider-longstanding-rules-on-using-force.html>.

²⁰⁹ The Justice Collaboratory at Yale Law School. (2018). *Principles of Procedurally Just Policing*, p. 43. https://law.yale.edu/sites/default/files/area/center/justice/principles_of_procedurally_just_policing_report.pdf.

Police department training programs focused on elements of de-escalation can lead to actual outcomes that achieve police objectives while resolving potentially dangerous scenarios safely and peacefully.²⁰² There is no universal standard model for de-escalation, though the term generally refers to a variety of practices or actions used “during a potential force encounter in an attempt to stabilize the situation and reduce the immediacy of the threat so that more time, options, and resources can be called upon to resolve the situation without the use of force or with a reduction in the force necessary.”²⁰³ De-escalation training can include instruction focused on decision-making, effective verbal and non-verbal communication and social interaction skills, ethics and professionalization, use of force, defensive tactics, and crisis intervention skills.

- Reports issued by the Leadership Conference on Civil and Human Rights and the U.S. Commission on Civil Rights have noted that police departments often devote substantially more time to firearms and defensive tactics training than they do to trainings around de-escalation and nonlethal use of force.²⁰⁴ Your agency should consider requiring officers to undergo use of force and de-escalation training at regular intervals throughout their career. Experts recommend that such

²⁰² Abanonu, R. (2018). De-Escalating Police-Citizen Encounters. *Review of Law and Social Justice*, 27(3), pp. 249-251.

<https://gould.usc.edu/students/journals/rlsj/issues/assets/docs/volume27/Summer2018/3.Abanonu.pdf>

²⁰³ Engel, R.S., McManus, H.D. & Herold, T.D. (2017). *The Deafening Demand for De-Escalation Training: A Systematic Review and Call for Evidence in Police Use of Force Reform*. International Association of Chiefs of Police, p. 6. https://www.theiacp.org/sites/default/files/IACP_UC_De-escalation%20Systematic%20Review.pdf.

²⁰⁴ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p.143. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; U.S. Commission on Civil Rights. (2018). *Police Use of Force: An Examination of Modern Policing Practices*, pp. 101, 114. <https://www.usccr.gov/pubs/2018/11-15-Police-Force.pdf>.

How can leadership training improve community policing and strengthen relationships between your police department and members of the public?

Ongoing leadership training can foster leadership skills, reinforce positive conduct, and strengthen officers' commitment to community standards and procedural justice.¹⁹⁸ Leadership training can also promote diversity at the executive level of law enforcement agencies.¹⁹⁹

- Police departments should consider providing ongoing leadership training to all officers throughout their careers.²⁰⁰ Different standards, programs, and learning goals may be established at each level of leadership within a department.
- Agencies may also consider encouraging officers to engage in cross-discipline leadership training programs.²⁰¹ This can help expose officers to new and valuable knowledge and skills that can complement their own.
- You should consider developing leadership training standards in partnership with academics, non-profit groups, and other community members. These standards should be evidence-based and reflect community values.

How can your police department use its training programs to avoid incidents involving unnecessary use of lethal or nonlethal force?

¹⁹⁸ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 267-268. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

¹⁹⁹ *Ibid.*

²⁰⁰ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 54. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

²⁰¹ *Id.* at 55.

Enforcement Accreditation Program, for example, establishes a standard of at least 21 hours of in-service training per year.¹⁹⁴

- Your community can develop specific goals for police officer in-service training programs and may identify certain topics that are critical to your community and around which all officers must receive recurring in-service training (e.g. implicit bias, de-escalation, and use of force training).
- Education experts advise that adults learn most effectively when they utilize and build on real-world experiences, rather than through the passive consumption of information.¹⁹⁵ As a result, law enforcement trainers have been encouraged to adopt models focused on experiential learning.¹⁹⁶ Your agency should consider developing realistic, scenario-based training programs that reflect circumstances your officers may encounter in their community. For example, this may involve role-playing scenarios or reviewing body camera footage.
- Consider establishing performance-based criteria for selecting personnel who will conduct agency training programs. For example, you may require that training instructors be veteran officers who have demonstrated mentorship skills and who are up-to-date on their in-service training requirements. Your agency may choose to prevent officers with histories of misconduct from serving as training instructors.¹⁹⁷

¹⁹⁴ New York State Law Enforcement Accreditation Program. Standard 33.1: Length and Content.

<https://drive.google.com/file/d/1FvS2MxJJBoCOA3c5h4RYhd3-LGlisOoT/view>

¹⁹⁵ See, e.g., Knowles, M.S. (1988). *The Modern Practice of Adult Education: From Pedagogy to Andragogy*: Revised and Updated, pp. 43-45, 48-51. Cambridge.

<https://pdfs.semanticscholar.org/8948/296248bbf58415cbd21b36a3e4b37b9c08b1.pdf>; The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 304. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

¹⁹⁶ See, e.g., Birzer, M.L. (2003). The theory of andragogy applied to police training. *Policing: An International Journal of Police Strategies and Management*, 26(1), pp. 29-42.

<https://www.researchgate.net/publication/242020962> The theory of andragogy applied to police training

¹⁹⁷ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 304-305. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

engage with the LGBTQIA+ community around training and other policing issues that impact LGBTQIA+ individuals.¹⁹⁰

- When soliciting input from community members around issues relating to training or agency policies, police departments may tend to partner with community groups and individuals with whom they have existing relationships. However, when developing and implementing officer training programs, your agency should seek to engage segments of the community that typically have not had strong and trusting relationships with the police in the past.¹⁹¹ By bringing these perspectives into the conversation, you can show your commitment to procedural justice and to building up relationships of trust.

What training policies can you adopt to ensure that police officers continuously receive high-quality, relevant in-service training sessions?

Continuing education or in-service training requirements help ensure that officers can refresh skills learned in the past, develop new skills, and remain abreast of new information on emerging topics and best practices. Police departments should carefully consider how to identify and select staff who should conduct these and other training sessions.¹⁹²

- Your community should consider your current in-service officer training standards and determine whether more rigorous requirements should be established, including requirements around the number of annual in-service training hours officers must receive.¹⁹³ The New York State Law

¹⁹⁰ Copple, J.E. & Dunn, P.M. (2017). Gender, Sexuality, and 21st Century Policing: Protecting the Rights of the LGBTQ+ Community. *Office of Community Oriented Policing Services*, pp. 22-23. <https://www.iadlest.org/Portals/0/cops%20LGBTQ.pdf>.

¹⁹¹ Office of Community Oriented Policing Services. (2019). *Law Enforcement Best Practices: Lessons Learned from the Field*. , p. 32. <https://cops.usdoj.gov/RIC/Publications/cops-w0875-pub.pdf>.

¹⁹² The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 304. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

¹⁹³ *Id.* at 301.

- You can partner with academic institutions, community organizations, and other relevant experts to create rigorous, evidence-based police officer training programs.
- Police practices around issues like arrests, searches, and public demonstrations continue to be the focus of significant attention from advocates and members of the public. Groups from the Electronic Frontier Foundation to the International Association of Chiefs of Police, for example, have discussed the public's First Amendment right to record police officers in public,¹⁸⁶ and lawsuits have resulted in police departments implementing training programs on this issue.¹⁸⁷ Policies like “stop and frisk” have also led to widespread condemnation and lengthy litigation, and the New York Civil Liberties Union found that the policy in New York City disproportionately impacted communities of color.¹⁸⁸ Your community should review police policies and practices concerning stops, searches, arrests, and public protests/demonstrations and should consider implementing training programs aimed at eliminating bias and unconstitutional conduct in these types of interactions.¹⁸⁹
- If you are preparing training modules that focus on police relationships with specific community groups, you should consider soliciting input from advocacy groups and community members who represent the viewpoint of the community on which the policing is focused. For example, police departments in New York, Los Angeles, Atlanta, Washington, D.C., and elsewhere have established working groups that

¹⁸⁶ Cope, S. & Schwartz, A. (2020, June 8). You Have a First Amendment Right to Record the Police. *Electronic Frontier Foundation*. <https://www.eff.org/deeplinks/2020/06/you-have-first-amendment-right-record-police>; International Association of Chiefs of Police. (n.d.). *Public Recording of Police*. <https://www.theiacp.org/prop>.

¹⁸⁷ American Civil Liberties Union. (2018, Jan. 19). *First Amendment Training for Lafayette PD Included in Settlement with ACLU of Louisiana*. <https://www.aclu.org/press-releases/first-amendment-training-lafayette-pd-included-settlement-aclu-louisiana>.

¹⁸⁸ New York Civil Liberties Union. (n.d.). *Stop-and-Frisk Data*. <https://www.nyclu.org/en/Stop-and-Frisk-data>.

¹⁸⁹ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, p. 59, https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf; The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 101, 174. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

based interactions with the communities they serve.¹⁸² Police departments should assess whether their training models and environments may foster a potentially adversarial relationship with their communities, and should consider redesigning training models as necessary to ensure they align with community policing goals. Agencies may consider developing training models aimed instead at establishing a “guardian” mindset among police officers.¹⁸³

- Reports issued by groups including the President’s Task Force on 21st Century Policing, the Leadership Conference for Civil and Human Rights, and the Justice Collaboratory at Yale Law School suggest that police departments should consider how to build principles of procedural justice into all police officer training programs.¹⁸⁴ This can involve engaging community members in the process of developing training programs and ensuring that trainers actively discuss the importance of procedural justice and integrate these principles into all aspects of their instruction. A recent study published by the Proceedings of the National Academy of Sciences found that procedural justice training can build community trust in police and decrease incidents involving police use of force.¹⁸⁵

¹⁸² See Rahr, S. & Rice, S.K. (2015.) From Warriors to Guardians: Recommitting American Police Culture to Democratic Ideals. *U.S. Department of Justice, National Institute of Justice.*

<https://www.ncjrs.gov/pdffiles1/nij/248654.pdf>; Stoughton, S. (2015). Law Enforcement’s “Warrior” Problem. *Harvard Law Review*, 128(6), pp. 225-234. <https://harvardlawreview.org/2015/04/law-enforcements-warrior-problem/>

¹⁸³ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 262-263. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

¹⁸⁴ United States Department of Justice. (2015.) *Final Report of the President’s Task Force on 21st Century Policing*, pp. 51-52. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf; The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 17. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Van Craen, M. & Hennessy, C.L. (2014). Training Police for Procedural Justice. *Journal of Experimental Criminology*, 11, pp. 319-334. https://www.researchgate.net/publication/269723704_Training_police_for_procedural_justice; Quattlebaum, M., Meares, T., & Tyler, T. (2018). Principles of Procedurally Just Policing. *The Justice Collaboratory at Yale Law School.* https://law.yale.edu/sites/default/files/area/center/justice/principles_of_procedurally_just_policing_report.pdf

¹⁸⁵ Wood, G., Tyler, T.R., & Papachristos, A.V. (2020). Procedural justice training reduces police use of force and complaints against officers. *Proceedings of the National Academy of Sciences*, 117(18), pp. 9815-9821. <https://www.pnas.org/content/117/18/9815>.

This section will pose questions that you should consider in developing a training program that advances your community's policing goals.

How can you develop officer training programs that reflect your community values and build trust between police officers and the communities they serve?

It is important to engage both internal and external stakeholders in the development and implementation of your police department's training materials and curricula.¹⁷⁹ Incorporating members of the community in this process can strengthen the overall quality of your training program while reinforcing public trust and ensuring that your training and education programs reflect the values of your community.¹⁸⁰

- A number of subject matter experts have found that police training academies are sometimes modeled after military boot camps.¹⁸¹ This environment, they argue, contributes to the development of a “warrior” mentality among police officers that can translate to hostile and fear-

¹⁷⁹ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 301-304. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Gokey, C. & Shah, S. (Eds.). (2016). *How to Support Trust Building in Your Agency*. Police Perspectives: Building Trust in a Diverse Nation, no. 3. Office of Community Oriented Policing Services, 41. https://www.vera.org/downloads/publications/police-perspectives-guide-series-building-trust-diverse-nation-diverse-communities-building-trust_1.pdf

¹⁸⁰ The Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 301. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; U.S. Department of Justice. (2019). *Law Enforcement Best Practices: Lessons Learned from the Field*, pp. 11-12, 15. <https://cops.usdoj.gov/RIC/Publications/cops-w0875-pub.pdf>

¹⁸¹ See Rahr, S. & Rice, S.K. (2015.) From Warriors to Guardians: Recommitting American Police Culture to Democratic Ideals. *U.S. Department of Justice, National Institute of Justice*. <https://www.ncjrs.gov/pdffiles1/nij/248654.pdf>; Stoughton, S. (2015). Law Enforcement's “Warrior” Problem, *Harvard Law Review* 128(6), pp. 225-234. <https://harvardlawreview.org/2015/04/law-enforcements-warrior-problem/>.

leadership training for new recruits. For example, DCJS' Municipal Police Training Council (MPTC) holds frequent trainings and seminars appropriate for officer development.

- The same community partnerships that your agency uses during recruitment and hiring, can remain helpful in the context of retention. Stakeholders can aid in retention of officers of color and women by addressing and understanding the unique challenges these groups face in the law enforcement profession. These partnerships allow agencies to diagnose the barriers in their practices, policies, or systems that often prevent or discourage officers from staying on the job.

2. Training and Continuing Education

Smart and effective policing starts with smart and effective training. Training should not end at recruitment; officers should be encouraged to continue to grow and learn throughout their career. Training should incorporate and reinforce best practices while emphasizing values such as accountability, transparency, and fairness in all aspects of policing.

There is no universal standard of police training, and individual jurisdictions must make important decisions around the types of training and education that should be required of the police officers who will serve and protect their communities. Your approach to police officer training and education can have a significant impact on the way those officers engage in real world policing. Your agency should consider research relating to effective adult learning techniques and law enforcement training environments as you decide how to achieve your police officer training objectives.

school students to participate, but are typically less comprehensive.¹⁷⁴ Upon completion of a Police Cadet program, participants are often eligible to become police officers, subject to testing and other requirements.¹⁷⁵ These programs are useful recruiting tools because they engage young community members who have not yet settled on a specific vocation.¹⁷⁶

What actions can your agency take to foster the continued development and retention of diverse officers?

Beyond recruitment and hiring, law enforcement agencies – like other employers – must focus on retention. Retaining all employees, but especially diverse officers, comes with its own set of challenges. Research has shown that many members of underrepresented demographics in law enforcement may struggle with adjusting to the organizational and culture of law enforcement¹⁷⁷. Additionally, officers belonging to historically under-represented groups often face obstacles to promotion, ranging from outright bias and discrimination to less insidious but no less harmful factors such as a lack of transparency about the promotion process, or inadequate mentoring relationships and professional development opportunities.¹⁷⁸

- Consider supporting your new officers, especially those from underrepresented populations, by establishing mentoring programs and

¹⁷⁴ See, for example, Seattle Police Explorers, <https://www.seattle.gov/police/community-policing/police-explorers>.

¹⁷⁵ *Id.*

¹⁷⁶ Leland R. Devore, The Purpose and Function of Police Cadet Programs in Medium Sized Police Agencies by the Year 2000, <https://www.ncjrs.gov/pdffiles1/Digitization/124727NCJRS.pdf>.

¹⁷⁷ *Id.* at 30. See also: Rand Center On Quality Policing, Identifying Barriers To Diversity In Law Enforcement 3 (2012), http://www.rand.org/content/dam/rand/pubs/occasional_papers/2012/RAND_OP370.pdf

¹⁷⁸ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 274. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

Consider eliminating selection criteria and written or physical tests that do not relate directly to actual job-duties, and which often disproportionately eliminate underrepresented individuals from the process.

Consider whether you should offer assistance or preparation materials to help prospective officers prepare for the application testing and process. Even short of affirmative research shows that mere transparency in the hiring process can be helpful to applicants from diverse backgrounds who may not be familiar with the, often, complex law enforcement hiring process. Creating a short but comprehensive tool describing the process can lead to higher passage rates for these individuals. Creating test preparation materials and offering coaching or other assistance will be even more helpful in increasing minority recruitment.

How can you encourage youth in your community to pursue careers in law enforcement?

Police Cadet programs offer law enforcement apprenticeships to young people, typically between the ages of 18 and 20 years old.¹⁷² They provide the opportunity for a young person to explore a career in law enforcement and obtain relevant training and skills.¹⁷³ Many programs offer a salary or tuition benefit, have work requirements, and are targeted towards college students. These benefits can help departments recruit students who otherwise would not have considered a career in law enforcement. Some programs allow high

¹⁷² Leland R. Devore, The Purpose and Function of Police Cadet Programs in Medium Sized Police Agencies by the Year 2000, <https://www.ncjrs.gov/pdffiles1/Digitization/124727NCJRS.pdf>.

¹⁷³ See NYPD, Cadet Corps Requirements & Benefits, <https://www1.nyc.gov/site/nypd/careers/cadets/police-cadets-program.page>; San Francisco Police Cadet, <https://www.sanfranciscopolice.org/your-sfpd/careers/civilian-job-openings/san-francisco-police-cadet-program>.

What are ways in which you can re-evaluate hiring practices and testing to remove barriers in hiring underrepresented communities?

Most law enforcement agencies use very similar processes to select, screen, and hire potential employees, relying heavily on some combination of medical and psychological exams, background investigations, and criminal and driver records checks, fitness tests, written aptitude tests, and credit history checks.¹⁶⁹ However, agencies in New York State have wide discretion in their hiring criteria, so long as they comply with the minimum qualification standards set by the Municipal Police Training Council (MPTC).

Research has consistently shown that traditional hiring practices too often leave underrepresented populations at a disadvantage. These practices frequently exclude those who come from communities without a history of members working in law enforcement. Screening tools, such as fitness and cognitive tests, and background checks also have been found to have disparate impacts on underrepresented communities.¹⁷⁰

The Department of Justice recommends that agency leadership be prepared to “re-evaluate employment criteria, standards, and benchmarks to ensure that they are tailored to the skills needed to perform job functions, and consequently attract, select, and retain the most qualified and desirable sworn officers.”¹⁷¹

¹⁶⁹ *Ibid.*

¹⁷⁰ *Ibid.*; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 274. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

¹⁷¹ *Ibid.*

with community organizations and religious institutions to showcase the human face of law enforcement.¹⁶⁷

- The Sacramento, California Police Department, for example, routinely holds free hiring workshops where they explain their recruitment and testing process. You might consider holding community workshops to educate the public on the process and answer questions about how to become an officer. Consider whether you should create an internship or community mentorship program through partnerships with educational institutions. This provides young people a way to experience law enforcement as a profession first-hand and creates a pipeline of future potential applicants.
- “Behind the scenes” looks at policing, can help improve the historically negative experiences that many minority communities have with law enforcement.
- Be active on social media, and use these tools as a form of communication to connect with all members of the community. Your online presence can be both a great recruitment strategy, and a way to directly communicate with underrepresented populations.
- Examine the number of female officers in your workforce. Research shows that increasing the number of women officers has tangible, positive benefits for both agencies and the communities they serve. Women are more likely to use community-oriented policing techniques focusing on cooperation and de-escalation. Also, when handling domestic violence calls, female officers have been shown to be more effective, and are often the main contact for women and youth victims of domestic violence.¹⁶⁸

¹⁶⁷ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 274. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

¹⁶⁸ United States Department of Justice, (2016) “Advancing Diversity in Law Enforcement Report.” p 19. <https://www.justice.gov/crt/case-document/file/900761/download>

female officers are just as capable as their male colleagues in carrying out law enforcement functions, but they are more likely to both rely on an interpersonal style of policing, and to use less physical force.

What are ways in which your agency recruits diverse candidates that better represent the demographics of the communities you serve?

Many law enforcement agencies may find difficulties in recruiting and retaining appropriate numbers of applicants that represent the diversity of the communities they serve. These challenges may stem from multiple factors such as individuals from underrepresented communities (1) lacking trust in law enforcement; (2) being dissuaded by law enforcement's reputation or operational practices; or (3) being unaware of employment opportunities in law enforcement.¹⁶⁶

In assessing how to attract a more diverse workforce, law enforcement agencies should consider their current recruitment strategies and assess what role they play in advancing or hindering the process.

Additionally, law enforcement agencies should consider how to both leverage existing community ties and create new ones that will support their efforts to expand their applicant pools.

- To encourage diverse populations to apply to your agency, consider proactive and targeted community outreach efforts. Studies show success in recruiting people of color, women, and other members of underrepresented populations where police departments have worked

¹⁶⁶ United States Department of Justice, (2016) "Advancing Diversity in Law Enforcement Report" - <https://www.justice.gov/crt/case-document/file/900761/download>

Does your agency reflect the diversity of the community it serves?

It is essential that local law enforcement agencies reflect and represent the diversity of the communities they serve. President Obama's Task Force on 21st Century Policing recognized that diverse law enforcement agencies foster trust in the community.¹⁶³ This trust, in turn, aids in easing community tensions, reducing and solving crime, and creating a system where residents have positive views of law enforcement as fair and just.

The recent protests and civil unrest that swept the nation following several law-enforcement involved civilian deaths, has brought to light the stark imbalance between the demographics of many law enforcement agencies and the communities they serve. A report by the Washington Post found that "many major police forces are still much whiter than the communities where they work."¹⁶⁴ This holds true for communities throughout New York State. In Syracuse, New York, for example, 89% of the police are white, compared with about 55% of the population.¹⁶⁵ New York City has been more successful than most police agencies in its minority recruitment efforts where 67% of New York City residents self-identify as members of a racial or ethnic minority (Black, Hispanic or Asian) and 47% of the police force is white.

Increasing diversity of your workforce can have tangible benefits for both your agency and the communities you serve. For example, research shows that

¹⁶³ *Ibid.*

¹⁶⁴ Keating, D., Uhrmacher, K. (2020) "In urban areas, police are consistently much whiter than the people they serve." *The Washington Post*. <https://www.washingtonpost.com/nation/2020/06/04/urban-areas-police-are-consistently-much-whiter-than-people-they-serve/?arc404=true>

¹⁶⁵ United States Census Bureau. *Quick Facts: Syracuse, NY - New York, NY*. (2019). <https://www.census.gov/quickfacts/fact/table/syracusecitynewyork,newyorkcitynewyork/PST045219>
AND New York State Division of Criminal Justice Services, data

IV. Recruiting and Supporting Excellent Personnel

Staffing and personnel management is one of the most critical responsibilities of law enforcement leaders and the communities which they protect and serve.¹⁶² Each of the State's more-than 500 county and local law enforcement agencies must therefore have robust strategies for recruitment, hiring, and retention of officers whose diversity reflects the communities they serve. Law enforcement agencies should also design and oversee training and wellness programs that aim to ensure the safety of officers and the public while reinforcing relationships of trust between police departments and their communities.

1. Recruiting a Diverse Workforce

In setting out to address these issues, it may be helpful to first assess your current law enforcement workforce:

- What are the demographics of your agency?
- What are the demographics of your community?
- Are those demographics aligned?
- What steps, if any, has your agency taken to increase diversity in the workforce?
- Can my officers and my community relate in terms of socio-economic background? Life experiences? Any other metrics?

¹⁶² United States Department of Justice. (2015). Final Report of the President's Task Force on 21st Century Policing. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

document their time on duty. This legislation also requires law enforcement to retain footage of these interactions. Some law enforcement entities are hesitant to adopt BWCs because they are costly; one estimate suggests BWCs cost approximately \$1,000 per user per year.

In 2017, the National Criminal Justice Reference Service (NCJRS) funded a cost-benefit analysis of BWC usage at the Las Vegas Metropolitan Police Department.¹⁶¹ The cost-benefit analysis estimated that BWCs saved over \$6,200 in office time spent investigating an average complaint against an officer and decreased the overall number of complaints. Ultimately, the study found that BWCs generate a net annual savings between \$2,909 and \$3,178 per year per user. The results of a cost-benefit analysis may be different depending on how a department uses BWCs and how common complaints or controversial interactions are. If a department decides to implement BWCs, it should consider what policies govern the use of BWCs. Below is a list of considerations for discussion.

- When should officers be required to turn on their BWCs? When interacting with members of the public? When conducting a law enforcement investigation?
- When should officers be required to notify members of the public that BWCs are on? In private settings? In public settings?
- What should the penalties be for non-compliance?
- How long should the department maintain footage?
- Under what conditions should footage be accessible to officers, the public, or investigators?

¹⁶¹ National Criminal Justice Reference Service. "The Benefits of Body-Worn Cameras: New Findings from a Randomized Controlled Trial at the Las Vegas Metropolitan Police Department" 2017, <https://www.ncjrs.gov/pdffiles1/nij/grants/251416.pdf>.

including San Francisco and Seattle, require departments to disclose which technologies it is using. New York City recently passed similar legislation called the Public Oversight of Surveillance Technology (POST) Act.

Should your police department leverage video cameras to ensure law enforcement accountability and increase transparency?

In-car and body-worn cameras (BWCs) are frequently recommended, and are mandated for some police forces, as monitoring mechanisms to ensure accountability.¹⁵⁸ In particular, BWC usage has increased significantly in the past few years in response to controversial policing incidents. According to one study, over one-third of law enforcement agencies in the U.S. use BWCs in some capacity.¹⁵⁹

Governor Cuomo recently signed legislation requiring New York State Police patrol officers to use BWCs while on patrol.¹⁶⁰ Officers are required to record immediately before exiting a patrol vehicle to interact with a person or situation, all uses of force; all arrests and summonses; all interactions with individuals suspected of criminal activity; all searches of persons and property, any call to a crime in progress; investigative actions involving interactions with members of the public; any interaction with an emotionally disturbed person; and any instances where an officer feels any imminent danger or the need to

¹⁵⁸ United States Department of Justice. *The Civil Rights Division's Pattern and Practice Police Reform Work 1994-Present*. ("Policing experts and empirical studies strongly support the positive effects of in-car cameras on accountability and officer safety.") (<https://www.justice.gov/crt/file/922421/download>)

¹⁵⁹ Reaves, R. U.S. Department of Justice, Bureau of Justice Statistics, *Local Police Departments*, 2013: Equipment and Technology, <https://www.bjs.gov/content/pub/pdf/lpd13et.pdf>.

¹⁶⁰ New York State Press Release. "Governor Cuomo Signs Legislation Requiring New York State Police Officers to Wear Body Cameras and Creating the Law Enforcement Misconduct Investigative Office." June 2020. Available at: <https://www.governor.ny.gov/news/governor-cuomo-signs-legislation-requiring-new-york-state-police-officers-wear-body-cameras-and>

- Does your department have policies or procedures for training officers on how properly to use new technologies? New technologies can be difficult to understand and there are documented instances of technologies being used improperly or in contexts for which they have not been validated. This is a particular risk for technologies that allow users wide discretion in deployment and allow users to select acceptable tool accuracy.
- Does your department have policies in place to ensure that vendor contracts do not interfere with transparency? Many new technologies are considered proprietary and have audit and/or disclosure restrictions.¹⁵⁷ Some experts recommend that departments implement policies to refrain from signing vendor contracts that restrict auditing of technologies or that prevent the public disclosure of basic information regarding how each technology system works, including any agreements that restrict defense attorneys from understanding how a technology system was used in a criminal investigation or prevent compliance with oversight legislation or public-records requests. These restrictions significantly reduce transparency, making law enforcement less accountable, and interfering with procedural justice.
- Does your department have a process through which residents can register feedback on a certain technology or request information on any personal data it has collected about them without their knowledge?
- Does your department have a policy for maintaining sensitive data or information? Many new technologies involve handling sensitive data. Experts recommend that privacy and security safeguards are included in departmental policies to ensure proper handling of data.
- Is your department required to disclose the technologies its uses to the public? If so, does this disclosure requirement extend to technologies that were given to the police department (i.e., not procured)? Several cities,

¹⁵⁷ Rashida Richardson, ed., "Confronting Black Boxes: A Shadow Report of the New York City Automated Decision System Task Force," AI Now Institute, December 4, 2019, <https://ainowinstitute.org/ads-shadowreport-2019.html>.

research organizations, public technologists, and researchers when evaluating new technologies to ensure selection of low-risk, effective technologies. Law enforcement may also want to consider any racial-equity impact assessments--systematic examinations of how different racial and ethnic groups will be affected by the proposed technology usage--in an effort to identify interventions that will minimize adverse consequences. This approach should also apply to technologies leveraged by third parties to assist law enforcement investigations. Below are some questions your department may want to consider:

- What process does your department have in place for the adoption of new technologies? Does the process include the solicitation of stakeholder and researcher input? Is there a process for community input? Does the process include the creation and publication of clear policies that articulate how the technology works, how it can be audited, and how, where, when, and why it is used?
- Does your department perform a cost-benefit analysis when adopting new technologies?¹⁵⁵ Does your department consider the risks of using a novel technology (unstudied effectiveness, potential biases and intrusion on civil liberties), as well as the ability of the technology to solve an existing problem?
- What is your department's process for procuring or using a new technology? Does your department study the effectiveness of the technology and analyze potential biases?¹⁵⁶

¹⁵⁵ First Report of the Axon AI & Policing Technology Ethics Board, June 2019, https://static1.squarespace.com/static/58a33e881b631bc60d4f8b31/t/5d13d7e1990c4f00014c0aeb/1561581540954/Axon_Ethics_Board_First_Report.pdf.

¹⁵⁶ New York City, Automated Decision Systems Task Force Report, November 2019, <https://www1.nyc.gov/assets/adstaskforce/downloads/pdf/ADS-Report-11192019.pdf>.

systems with unstudied effectiveness and pose a risk of bias or interfering with civil liberties.¹⁵⁰

For example, as discussed earlier in Section II on policing strategies, researchers from MIT and Stanford have found that facial recognition technology can be ineffective, especially for certain skin colors and genders.¹⁵¹ In the previous section, we discussed assessing if a new technology is an effective policing tool. Before employing a new technology, experts recommend that departments carefully consider the potential risks posed by the technology, pursue mechanisms to audit the performance of the technology prior to use, and properly train all users of the technology so that it is appropriately used.¹⁵²

Unlike other policing resources, new technologies have not had decades of established practice to refine attendant policies and procedures.¹⁵³ For this reason, many experts suggest departments take a special approach to establishing policies and procedures, and solicit community input prior to deploying a new technology.¹⁵⁴ Law enforcement may want to review resources from or consult with civil liberties and privacy experts, non-profit

¹⁵⁰ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

¹⁵¹ Hardesty, L., MIT News Office. *Study Finds Gender and Skin-Type Bias in Commercial Artificial-Intelligence Systems*. MIT News, 11 Feb. 2018, news.mit.edu/2018/study-finds-gender-skin-type-bias-artificial-intelligence-systems-0212.

¹⁵² United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf; Algorithmic Accountability Policy Toolkit, AI Now, October 2018, <https://ainowinstitute.org/aap-toolkit.pdf>; First Report of the Axon AI & Policing Technology Ethics Board, June 2019, https://static1.squarespace.com/static/58a33e881b631bc60d4f8b31/t/5d13d7e1990c4f00014c0aeb/1561581540954/Axon_Ethics_Board_First_Report.pdf.

¹⁵³ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf. ("We live in a time when technology and its many uses are advancing far more quickly than are policies and laws.")

¹⁵⁴ New York City, Automated Decision Systems Task Force Report, November 2019, <https://www1.nyc.gov/assets/adstaskforce/downloads/pdf/ADS-Report-11192019.pdf>.

developed model policy guidance for law enforcement agencies to use as an initial framework in their own policy development.

Topic areas include but are not limited to: Body Worn Cameras, Identification Procedures, Investigation of Hate Crimes, Recording of Custodial Interrogations, and Use of Force. All MPTC model policy guidance issued by the Council is available to law enforcement and may be requested by contacting DCJS at OPS.GeneralPolicing@dcjs.ny.gov.

- Does your department have a process for developing or revising its policies and procedures? Does this process include the solicitation of community and/or stakeholder input?
- How can your department make its policies and procedures accessible (format, language, ADA-compliant) and understandable to the public?
- Are your policies and procedures evidence-based? Do they reflect current peer-reviewed research?
- Do your policies consider disparate impact and potential biases?

How can your police department ensure adequate transparency in its use of automated systems and “high-risk” technologies?

New technologies including biometric technologies, surveillance systems, unmanned aerial systems, data mining tools, geofencing tools, and resource allocation tools may provide significant value to police departments. However, reports suggest that these technologies may rely on obscured

member of the public. Departments can also require that officers provide a business card following an interaction. To instill confidence in the public following substantial criticism of its “stop-and-frisk” program, in 2018 the NYPD began offering business cards to individuals who were subject to a “stop-and-frisk,” but were not arrested.¹⁴⁹ The business cards include officer information and information on how to obtain body camera footage.

Law enforcement agencies can also consider requiring officers to inform individuals of their rights in certain scenarios even when not legally required. For example, an officer seeking to conduct a consent search could be required as a matter of policy to advise the subject that he or she has the right to refuse to consent to the search.

As a general matter, policies aimed to enhance transparency will be most effective if they include enforcement mechanisms to ensure compliance with these policies and procedures.

How can your police department make its policies and procedures more transparent?

Does your department have comprehensive policies and procedures in place to address common and controversial forms of police activity? The Municipal Police Training Council (MPTC) – created under Executive Law §837 to establish training standards and guidelines – is comprised of law enforcement officials and academics appointed by the Governor. The MPTC has

¹⁴⁹ New York City Civilian Complaint Review Board. “What is the Right to Know Act?”, Available at: <https://www1.nyc.gov/site/ccrb/complaints/right-to-know-act.page>

policy. Data collection and utilization can be enhanced by employing crime analysts to inform decision-making and support policing operations.

It is important that departments are aware that data-driven findings can be unreliable if the underlying data is of poor quality or is biased.¹⁴⁷ For example, law enforcement agencies may be inclined to deploy more resources to an area because it has a high number of arrests. However, the high number of arrests may be related to over-policing in the area.

Agencies should consider formally partnering with their regional NYS Crime Analysis Center which can assist with data-driven and intelligence-led policing efforts, as well as provide specific investigatory support. DCJS partners with local law enforcement agencies to support a network of 10 regional Crime Analysis Centers (CACs) that provide investigative support and information to help police and prosecutors more effectively solve, reduce and prevent crime. Using data-driven processes, the CACs coordinate, expand, and enhance investigative services and provide real-time investigative support to law enforcement agencies.¹⁴⁸

How can your police department demonstrate a commitment to transparency in its interactions with the public?

Police Departments can consider policies that require officers to state explicitly their name, badge number, and purpose before interacting with a

¹⁴⁷ Andrew Guthrie Ferguson, Policing Predictive Policing, 94 Wash. U.L. Rev. 1109 (2017). https://openscholarship.wustl.edu/cgi/viewcontent.cgi?article=6306&context=law_lawreview.

¹⁴⁸ For more information on the DCJS Crime Analysis Center network, please visit: <https://www.criminaljustice.ny.gov/crimnet/ojsa/impact/CACCL.pdf>.

target (race, gender, age, etc.). Analysis of stop data can inform the effectiveness of policing and identify potential biases or disparate impact.

In determining how your agency should collect and use data, consider the following questions:

- What policing activity data should be collected by your department? This could include data regarding shootings by officers, firearm discharges, civilian injuries, use of force incidents, and officer stops, searches, and/or arrests.
- Should this data include demographic data, which can be used to detect racial disparities and biases?
- Beyond disclosures required under state and federal law, what other policing data should be disclosed? Made public? Should this data be aggregate data or individualized data? Should individualized data be anonymized or redacted? How frequently should data be disclosed?
- Should the department make available to the public aggregate data on its review of use of force incidents, such as number of incidents reviewed, number found to be inconsistent with department policy or number referred for prosecution?
- Should the department make available to the public aggregate data about the number and disposition of citizen complaints, including the nature of any discipline imposed?

How should your law enforcement agency leverage data to drive policing strategies?

Data can be useful for informing policing strategies. For example, data can be used to shape decisions on resource allocation, personnel deployment, and

Local law enforcement data increases transparency by providing a snapshot of police conduct. Collection of police activity data can be useful to evaluate if policing practices are effective, ensure compliance with the law, and identify potential biases and disparities. Data reporting and analysis are key components of many DOJ consent decrees with law enforcement agencies.¹⁴² Data analysis has been critical in identifying disparate and biased policing of minorities. Reports also suggest that transparent use-of-force data builds community trust and increases accountability within law enforcement departments.¹⁴³

Governor Cuomo recently signed legislation (Police Statistics and Transparency Act) requiring courts to compile and publish racial and other demographic data for low-level offenses.¹⁴⁴ This legislation also requires departments to report arrest-related deaths to DCJS. Many law enforcement agencies collect and publish other types of data regarding policing activity. For example, the NYPD publishes an annual report with use-of-force statistics.¹⁴⁵

Other legislatures are adopting laws requiring departments to collect “stop data” regarding traffic and pedestrian stops.¹⁴⁶ Stop data typically includes information regarding the stop (rationale, outcome, etc.) and the

¹⁴² The Civil Rights Division’s Pattern and Practice Police Reform Work 1994-Present, Civil Rights Division, U.S. Department of Justice. <https://www.justice.gov/crt/file/922421/download>.

¹⁴³ *Ibid.*

¹⁴⁴ The Laws of New York, Article 7-A: Judicial Administration, Section 212, <https://www.nysenate.gov/legislation/laws/JUD/212>; Press Release: Governor Cuomo Signs Policing Reform Legislation, <https://www.governor.ny.gov/news/governor-cuomo-signs-policing-reform-legislation>.

¹⁴⁵ Use of Force Report 2017, New York City Police Department, <https://www1.nyc.gov/assets/nypd/downloads/pdf/use-of-force/use-of-force-2017.pdf>.

¹⁴⁶ Passage of “Traffic Stop Data Collection Act” in Illinois is Key “First Step” to End of Racial Profiling, ACLU Says, <https://www.aclu.org/press-releases/passage-traffic-stop-data-collection-act-illinois-key-first-step-end-racial-profiling>.

Does Your Police Department Do an Annual Community Survey to Track Level of Trust?

An annual survey that measures the community's level of trust in the police department, the community's view on the effectiveness of certain policing strategies, as well as one that collects any negative feedback may be a helpful tool in gaging the community's satisfaction with the police department. The 21st Century Policing Report recommends such an annual community survey, advising that it should be conducted by zip code, so as to delineate the responses from each neighborhood.¹⁴¹

5. Data, Technology and Transparency

Transparency is one of the four pillars of procedural justice and is critical to ensuring accountability. Without a full picture of law enforcement policies, procedures, and activity, the public cannot meaningfully evaluate the performance of law enforcement. Even a well-functioning department risks losing public confidence when it does not engage in meaningful transparency. Departments should consider various ways to make law enforcement practices more transparent to the public.

Data is an important tool for improving accountability because it provides the public with insight into police activity and can be leveraged to inform data-driven policies.

What police incident and complaint data should be collected? What data should be available to the public?

¹⁴¹ United States Department of Justice. (2015.) *Final Report of the President's Task Force on 21st Century Policing*, pp. 16. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

Accreditation Program, which provides structure and guidance for police agencies to evaluate and improve overall performance in areas such as administration, training, and operational standards. The program encompasses four principal goals:

1. To increase the effectiveness and efficiency of law enforcement agencies utilizing existing personnel, equipment and facilities to the extent possible;
2. To promote increased cooperation and coordination among law enforcement agencies and other agencies that provide criminal justice services;
3. To ensure the appropriate training of law enforcement personnel; and
4. To promote public confidence in law enforcement agencies.

Accredited agencies must meet minimum standards, considered “best practices” in the field, which promote a high degree of professionalism and public confidence. The standards of the NYS Law Enforcement Agency Accreditation Program can be found in the Standards and Compliance Verification Manual.¹⁴⁰ Please refer to the “Becoming an Accredited Law Enforcement Agency” section of the Manual for information on how to apply. For any questions regarding the application process or for general Accreditation related inquiries, please contact NYS Law Enforcement Accreditation Program staff at ops.accreditation@dcjs.ny.gov. This program is available at no cost to localities and participation should be considered as part of your community policing plan.

¹⁴⁰ NYS Division of Criminal Justice Services Law Enforcement Agency Accreditation Council. *Standards and Compliance Verification Manual*. September 5, 2019.
https://www.criminaljustice.ny.gov/ops/docs/accred/standards_compliance_verification_manual.pdf.

Does your local legislature engage in formal oversight of the police department? Should any changes be made in the legislature's oversight powers or responsibilities?

While uncommon, legislative oversight of police departments can be a helpful tool.¹³⁸ Legislatures often have the power to conduct investigations and learn about local policing practices through hearings and other means. This investigative authority allows legislatures to access more information regarding policing practices than the general public. Further, if legislatures identify practices that pose concerns, they have the power to address those concerns through legislation.

Some experts believe legislative oversight is critical to ensuring democratic accountability in policing because it provides clear authority for policy and makes the legislature accountable to the public for police functions at the “front-end” instead of relying on uncertain “back-end” procedures, such as Constitutional analyses by courts.¹³⁹

Is your police department accredited by any external entity?

Accreditation is a useful tool that enables external review of agency policies, procedures, and practices to improve the standards of your police department and quality of your policing services. The Division of Criminal Justice Services administers the New York State Law Enforcement Agency

¹³⁸ Mary M. Cheh, Legislative Oversight of Police: Lessons Learned from an Investigation of Police Handling of Demonstrations in Washington, D.C., 32 J. Legis. 1 (2005). Retrieved from: scholarship.law.gwu.edu/cgi/viewcontent.cgi?referer=&httpsredir=1&article=1467&context=faculty_publications

¹³⁹ Friedman, Barry and Ponomarenko, Maria, Democratic Policing (November 23, 2015). New York University Law Review, Vol. 90, 2015; NYU School of Law, Public Law Research Paper No. 15-53. Available at SSRN: <https://ssrn.com/abstract=2694564>

Newly enacted legislation authorizes the New York State Attorney General to investigate allegations of misconduct against local police agencies.¹³⁶

Are investigation outcomes reported to the complainant? Are they reported to the public? Should the department or the citizen complaint review entity, if any, accept anonymous complaints?

Disclosing the outcome of investigations to complainants and the public increases transparency and can increase confidence in law enforcement. Some departments choose to disclose this information in aggregate reports instead of sharing individualized data.

Accepting anonymous complaints may assuage citizen fears of police retaliation. However, anonymous complaints can be less reliable and are difficult to investigate because the investigator cannot ask follow-up questions or interview the complainant, and they can be retaliatory. New Era of Public Safety recommends that departments review anonymous complaints fully, but disclose during intake that anonymity can hinder the review process.¹³⁷

¹³⁶ Governor Cuomo Signs Legislation Requiring New York State Police Officers to Wear Body Cameras and Creating the Law Enforcement Misconduct Investigative Office, <https://www.governor.ny.gov/news/governor-cuomo-signs-legislation-requiring-new-york-state-police-officers-wear-body-cameras-and>.

¹³⁷ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. Retrieved from: civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

If you consider establishing an entity outside the police department to review citizen complaints of excessive force or other police misconduct, here are some relevant questions:

- What level of review should it conduct? Should it review the findings of an Internal Affairs Bureau or conduct its own investigation?
- What power should it have to interview officers or other witnesses, to compel officers or other witnesses to be interviewed, and to review documents, recordings, interviews conducted by Internal Affairs or other evidence?
- Should it be empowered to impose disciplinary action, recommend disciplinary action, or simply to substantiate complaints?
- Should it be authorized to formally refer cases to the Attorney General or District Attorney?
- What mechanisms are in place to ensure subject and witness officers fully cooperate with civilian oversight investigations?

There are a number of existing oversight entities to look to as models.¹³¹

- The New York City board is composed of 13 members: five appointed by the Mayor, five appointed by the City Council, and three appointed by the Police Commissioner. The board has power to investigate complaints, including subpoena power, and can recommend discipline. However, the Police Commissioner has final authority over the imposition of discipline.¹³²

¹³¹ U Ofer, Udi (2016) "Getting It Right: Building Effective Civilian Review Boards to Oversee Police," Seton Hall Law Review: Vol. 46 : Iss. 4 , Article 2, p.1053. Available at: <https://scholarship.shu.edu/shlr/vol46/iss4/2>.

¹³² For more information on the NYC Civilian Complaint Review Board please visit its website at <https://www1.nyc.gov/site/ccrb/index.page>.

- The Chicago board is composed of nine members appointed by the Mayor with the consent of the City Council. The board has investigative and subpoena power, and power to impose disciplinary measures.¹³³
- The Baltimore board is composed of nine voting members nominated by the Mayor and confirmed by the City Council, along with five non-voting members from community stakeholders. The board does not have power to investigate or impose discipline. It may simply review complaints.¹³⁴

Is there an easy, accessible and well-publicized process for members of the public to report complaints about police misconduct?

To encourage citizen feedback, the 2019 report “New Era of Public Safety” recommends that departments make claim filing processes easy and accessible. Some considerations include language and disability accessibility, formats supported for filing (email, phone, in-person, Internet, etc.) and length of intake process.¹³⁵ Law enforcement agencies should also seek feedback on these processes from the public through many of the outreach avenues discussed in this report. Listening to feedback regarding the complaint process and incorporating that feedback into process reform will improve the complaint review process, improving confidence in the system and encouraging citizen complaints.

¹³³ For more information on the Chicago Civilian Office of Police Accountability please visit its website <https://www.chicagocopa.org/>.

¹³⁴ For more information on the Baltimore Civilian Review Board please visit its website at <https://civilrights.baltimorecity.gov/civilian-review-board>.

¹³⁵ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

these entities may have the power to review investigative findings of Internal Affairs bureaus, to conduct their own investigations, to leverage various investigative tools, including subpoenas, and/or to impose discipline.¹²⁵ Some entities also have the power to weigh in on key policy decisions.¹²⁶

Police and reform groups often advocate different approaches to civilian oversight. For example, the Equal Justice Initiative recommends that civilian oversight entities reflect the entire community, observing that “[s]tudies show that white Americans are far more likely than Black Americans to believe that the police use an appropriate amount of force.”¹²⁷ The New Era of Public Safety also recommends empowering such review boards by giving them the necessary resources to fully evaluate complaints.¹²⁸ This includes giving oversight entities the power to weigh in on pertinent policy, the requisite financial resources, and access to investigative information.¹²⁹ Effective oversight requires full cooperation of subject and witness officers in investigations.

By contrast, the National Association for Civilian Oversight of Law Enforcement (NACOLE) recommends that police departments select the least intrusive civilian oversight entity that is able to accomplish its desired goal.¹³⁰

¹²⁵ “Oversight Models: Is one model better than another?” National Association for Civilian Oversight of Law Enforcement. https://www.nacole.org/oversight_models.

¹²⁶ *Ibid.*

¹²⁷ Equal Justice Initiative, Reforming Policing in America 2020, <https://eji.org/issues/policing-in-america/>

¹²⁸ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 222. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

¹²⁹ *Ibid.*

¹³⁰ National Association for Civilian Oversight of Law Enforcement, Civilian Oversight of Law Enforcement, A Review of the Strength and Weaknesses of Various Models (https://d3n8a8pro7vhm.cloudfront.net/nacole/pages/161/attachments/original/1481727977/NACOLE_short_doc_FINAL.pdf?1481727977).

from termination and suspension to administrative duty. For example, an off-duty police officer in Missouri was removed from his official duties and placed on administrative duty after he was seen verbally abusing a man following a car accident. The incident was caught on video and quickly went viral. In the video the officer, who was not in uniform, threatened and cursed at the citizen, all while a uniformed officer was also at the scene.¹²² In another example, an officer in Savannah, Georgia, was fired as the result of a social media post. This post violated one of the department's conduct policies, which reads in part, "Employees shall not engage in offensive or harassing conduct, verbal or physical, towards fellow employees, supervisors or the public during work hours or off-duty hours."¹²³

4. Citizen Oversight and Other External Accountability

Does or should your department have some form of civilian oversight over misconduct investigations or policy reform?

Many larger law enforcement entities have some form of civilian oversight entity.¹²⁴ Unlike citizen advisory boards discussed in Section II - which are broad committees to encourage dialogue and community connection - civilian oversight entities have formal duties and authorities. For example,

¹²² Gstalter, M. (2020, June 24). Off-duty officer in Trump hat relieved of official duties for threatening man in viral video. Retrieved from <https://thehill.com/blogs/blog-briefing-room/news/504335-off-duty-officer-in-trump-hat-placed-on-leave-for-threatening>

¹²³ Staff, W. (2020, June 26). Savannah officer fired after making controversial Facebook post about privilege. Retrieved from <https://www.wtoc.com/2020/06/26/savannah-officer-fired-after-making-facebook-post-about-privilege/>

¹²⁴ "Police Oversight by Jurisdiction (USA)." National Association for Civilian Oversight of Law Enforcement. <https://www.nacole.org/police-oversight-by-jurisdiction-usa>.

General. This new legislation provides an independent review in situations where local relationships and pressures can hamper thorough review and impede necessary corrective action. This law also expands the permissible scope of the Attorney General's oversight to cases where an individual was known to be armed at the time of death.

The community must have confidence that such cases are handled fairly and without partiality either for or against the officers involved. In light of the permanent working relationship between a police department and the District Attorney, maintaining public confidence requires an independent review. Beyond cases that are currently referred to the Office of the Attorney General, consider how best to establish a disciplinary review process that gives the whole community confidence that misconduct will be fairly and impartially reviewed.

Does your department expect leaders and officers to uphold the department's values and culture when off-duty?

Behavior of officers when they are off-duty can reinforce a lack of trust in police officers and the justice system as a whole. While you cannot control the behavior of officers while they are off-duty, it is important to acknowledge the impact their off-duty conduct may have on the community members' faith in your department, and consider measures you can implement to ensure off-duty conduct does not undermine the community relationship-building work of the department.

Many police departments hold officers to certain standards of conduct even when they are out of uniform, including imposition of sanctions ranging

Does your department have a continuum of responses to misconduct? Supervisors and department leadership should not be in the position of having to either ignore misconduct or impose harsh penalties that may be disproportionate.

What procedures are in place to ensure that substantiated complaints of misconduct and settlements or adverse verdicts in lawsuits are used to reduce the risk of future misconduct?

Review of misconduct and adverse legal actions can be helpful in evaluating a department's policing activities. In particular, the discovery and trial processes can provide evidence that is more comprehensive than what is typically available to departments.¹²¹

What controls are in place to ensure impartiality when reviewing potential misconduct or complaints? When appropriate, are cases referred to either the District Attorney or another prosecutor?

In 2015, Governor Cuomo issued Executive Order 147 requiring a special prosecutor's office within the Office of the Attorney General to investigate killings of unarmed citizens and prosecute when appropriate. The Executive Order also permitted the special prosecutor's office to investigate and prosecute killings of citizens where there was a significant question as to whether a citizen was armed and dangerous at the time of his or her death.

In 2020 the Executive Order was codified into state law, creating a permanent Office of Special Investigation within the Office of the Attorney

¹²¹ Schwartz, Joanna C., What Police Learn from Lawsuits (December 2, 2010). *Cardozo Law Review*, Vol. 33, p. 841, 2012, Available at SSRN: <https://ssrn.com/abstract=1640855>.

General, the District Attorney, a civilian oversight agency or the EEOC? Are these procedures well understood by department personnel?

Does the department have robust anti-retaliation policies to ensure that officers are willing to report misconduct by others? Does and should the department accept anonymous internal complaints?

Does your department have a clear and transparent process for investigating reports of misconduct?

Misconduct investigations must ensure both community trust in the department and fairness to officers. Do the department's procedures achieve these goals? Does the department have an appropriate timetable in which to complete misconduct investigations in light of these goals?

In a 2019 report by The Leadership Conference on Civil and Human Rights, the authors recommend that all misconduct reports be investigated, even if they occur when disciplinary actions can no longer be imposed.¹²⁰ Should your department adopt this practice?

Does your department respond to officer misconduct with appropriate disciplinary measures?

Do officers in your department believe that misconduct will result in appropriate discipline, or do they believe that it will be overlooked?

¹²⁰ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 255. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

Understanding what went wrong in these events can help prevent the same issue from recurring.

The National Institute of Justice (NIJ) recently studied the use by three large departments of peer review of sentinel incidents.¹¹⁷ The NIJ study found that a practice of regular peer review, intended to create an opportunity for learning rather than punishment, can promote a culture of excellence.¹¹⁸

3. Internal Accountability for Misconduct

What does your department expect of officers who know of misconduct by another officer?

Some law enforcement agencies, such as the LAPD, have imposed on their officers a duty to report misconduct by other officers. Within the New York State Police, there is an obligation to report the misconduct of another trooper.¹¹⁹ Similarly, some law enforcement agencies have imposed on their supervisors a duty to respond to reports of possible misconduct. Should your department adopt such policies?

Does your police department have clear procedures for reporting misconduct to the department and/or to outside agencies such as the Attorney

¹¹⁷ National Institute of Justice, Mending Justice: Sentinel Event Reviews, <https://www.ncjrs.gov/pdffiles1/nij/247141.pdf>.

¹¹⁸ Similarly, many hospitals conduct regular peer review of surgeries with poor outcomes.

¹¹⁹ New York State Police Members Manual, Article 9B1(C) "Even if no allegation or complaint is received, Division Members must report to the Troop/Detail Commander any incident that, in their judgment, indicates that an official Complaint Against Personnel Investigation is necessary."

Does your department leverage Early Intervention Systems (EIS) to prevent problematic behavior?

An EIS is a system that electronically tracks officer performance in an attempt to identify abnormal patterns indicative of problematic behavior.¹¹⁴ EIS's are used across many disciplines, to identify potential issues before they fully manifest.

In the law enforcement context, these systems can help departments identify officers that may need intervention before a major problem occurs. Behavior that can suggest the need for corrective action includes a high number of use-of-force incidents or citizen complaints, or misuse of sick leave. An EIS can help prevent future misconduct, which in turn results in a more just law enforcement system, reduced complaints, and reduced litigation risk.¹¹⁵

Does your department review “sentinel” or “near-miss” events? Does the department respond to questionable uses of force with non-punitive measures designed to improve officer performance?

The Final Report of the President’s Task Force on 21st Century Policing recommends that law enforcement entities review “sentinel” or “near miss” events.¹¹⁶ Sentinel review consists of non-punitive peer review of critical incidents that resulted in or came close to undesirable outcomes.

¹¹⁴ *Id.* At 198.

¹¹⁵ Early Warning Systems: Responding to the Problem Police Officer, National Institute of Justice, <https://www.ncjrs.gov/pdffiles1/nij/188565.pdf>.

¹¹⁶ United States Department of Justice. (2015). Final Report of the President’s Task Force on 21st Century Policing. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

As one example, the NYPD reviews all use-of-force incidents, with varying levels of scrutiny, ranging from review by an individual supervisor to review by an independent review board, depending on the severity of the incident.¹¹²

Does your department review officers' use of force and/or misconduct during performance reviews?

Regular attention by supervisors to officers' use of force may promote adherence to departmental policy. Does department policy direct them to review the officer's history of use of force and other forms of misconduct, including under prior supervisors? Other flags such as sexual misconduct or a high number of complaints or lawsuits may signal potential for future misconduct.¹¹³

Does your department use external, independent reviewers to examine uses of force or misconduct?

Impartiality is one of the four pillars of procedural justice and could help instill confidence in determinations regarding potential abuse of force or other misconduct.

¹¹² Use of Force Report 2017, New York City Police Department, <https://www1.nyc.gov/assets/nypd/downloads/pdf/use-of-force/use-of-force-2017.pdf>

¹¹³ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 204. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

2. Tracking and Reviewing Use of Force and Identifying Misconduct

When should officers be required to report use of force to their supervisor?

Building on prior use-of-force reporting reforms, Governor Cuomo recently signed legislation requiring that law enforcement officers report all firearm discharges in circumstances where a person could have been injured, whether or not any injury occurred.¹⁰⁸ Beyond this requirement, police departments should have clear policies regarding documenting the use of force.¹⁰⁹ Consider what other incidents, including other uses of force, your department should require be reported.

What internal review is required after a use of force?

The authors of the 2019 report “New Era of Public Safety” recommended reviewing all uses of force.¹¹⁰ These incidents can be reviewed by a supervisor, other individuals in the officer’s chain of command, a dedicated review board within the department, or an outside entity. Multiple levels of supervisory review can ensure that all supervisors carefully review use of force reports because they will receive scrutiny from their own supervisors.¹¹¹

¹⁰⁸ Governor Andrew M. Cuomo, Governor Cuomo Signs Policing Reform Legislation, <https://www.governor.ny.gov/news/governor-cuomo-signs-policing-reform-legislation#:~:text=This%20new%20law%20requires%20that,a%20written%20report%20within%2048>.

¹⁰⁹ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 144. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

¹¹⁰ *Id.* at 146.

¹¹¹ Samuel E. Walker & Carol A. Archbold, *The New World of Police Accountability*, Chapter 3, https://us.sagepub.com/sites/default/files/upm-assets/99450_book_item_99450.pdf

of evaluating new hires' community policing skills; providing developmental training in progressive policies; and keeping officers in their positions long enough that they could understand how to deal with challenges in the community. Chief Magnus also shifted the department's focus to issues other than crime to help show that crime is not the police's only priority. For example, Richmond's police helped reduce the number of abandoned cars, in part by moving code enforcers into the department.¹⁰⁵

It is possible that more comprehensive changes may be required where police/community relations have deteriorated beyond the point where then can be repaired through policy reform. For example, in 2013 Camden, New Jersey "eliminated its city police department and established a new one under county control."¹⁰⁶ Previously the community-police relationship was undermined by lack of trust, high crime rates and corruption within the police department. Supporters say that the Camden restructuring made police officers a more regular presence in the community, and rebooted the culture of policing in the city. Since the reforms, violent crime rates have fallen, including a sharp decrease in the homicide rate, and excessive use of force has also decreased.¹⁰⁷

¹⁰⁵ Palladian Partners, Inc. (2014). Strengthening the Relationships between Law Enforcement and Communities of Color: Developing an Agenda for Action. Washington, DC: Office of Community Oriented Policing Services. Retrieved from https://www.nnscommunities.org/wp-content/uploads/2017/10/StrengtheningtheRelationshipBetweenLE_CommunitiesofColor-DevelopinganAgendaforAction.pdf

¹⁰⁶ Landergan, K. (2020, June 12). The City that Really Did Abolish the Police. Retrieved from <https://www.politico.com/news/magazine/2020/06/12/camden-policing-reforms-313750>

¹⁰⁷ Landergan, K. (2020, June 12). The City that Really Did Abolish the Police. Retrieved from <https://www.politico.com/news/magazine/2020/06/12/camden-policing-reforms-313750>

qualifications other than exam scores that can be woven into criteria for leadership positions?¹⁰³ Other questions to consider include: Do applicants have specialized training or additional education? What are the culture, values and mission the applicant envisions for the agency? Has this person shown clear commitment to community engagement?

What is your strategy to ensure that your department's cultural-norms and informal processes reflect your formal rules and policies?

Policy makers and law enforcement organizations can create policies and set rules and guidelines, but if policies conflict with institutional culture these policies will not become part of the institutional fabric and may not effectively guide individual behavior.¹⁰⁴ Leadership must take an active role in demonstrating commitment to the values important to the department and the community. It is not sufficient for chiefs and department leaders to talk the talk without walking the walk.

On April, 2014, the Office of Community Oriented Policing Services (COPS Office) hosted a conference with law enforcement officials, civil rights activists, academic experts, community leaders and policymakers. At this meeting Chief Chris Magnus, of the Richmond California Police Department, led a discussion on engaging communities of color. He described using a multipronged approach to change policies in his own department. These policies included: engaging all officers, not just a subset; using up-to-date evaluation tools capable

¹⁰³The Leadership Conference Education Fund. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. Retrieved from https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf

¹⁰⁴ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. pp. 12. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

What incentives does your department offer officers to advance policing goals?

Departments may want to consider offering awards, prizes, or other recognition to officers who advance reform goals. Such incentives can change officer behavior and department culture. Some departments invite community members to nominate officers for community policing awards.¹⁰¹ This incentivizes officers to establish a positive relationship with community members and engages the community.

Does your hiring and promotion process help build an effective and diverse leadership team?

Diversity in leadership is a persistent problem for law enforcement agencies. For example, 80 percent of the NYPD's chiefs, deputy inspectors, and inspectors who hold a rank above captain are classified as "non-Hispanic white."¹⁰² In addition to hiring diversity, promoting leaders within the department who reflect a broad range of diversity, including race, gender, sexual orientation, gender identity, language, life experiences, and cultural background, will improve understanding and effectiveness in policing and community relations.

Consider how your police agency uses cognitive, written and physical performance tests for promotion and hiring into leadership roles. Are there

¹⁰¹ U.S. Department of Justice, Bureau of Justice Assistance, Understanding Community Police: A Framework for Action, <https://www.ncjrs.gov/pdffiles/commmp.pdf>.

¹⁰² Despite diversity gains, top NYPD ranks fall short of reflecting communities. (2019, September 03). Retrieved from <https://brooklyneagle.com/articles/2019/09/03/nypd-diversity-top-ranks/>

selection process.⁹⁷ In Tallahassee, Florida for example, a panel comprised of law enforcement, non-profit groups, and religious leaders was formed to participate in the process of selecting a new chief of police for the city.⁹⁸ Your community should consider whether a similar approach that includes a well-balanced and representative search committee, might yield a broader array of candidates.

Does your officer evaluation structure help advance your policing goals?

CompStat has been an enormously valuable tool for many communities to enhance their level of public safety. However, in many instances localities still rely on mechanisms for promotions based on “the annual reports that chiefs of police submit to city councils and mayors emphasizing the number of arrests they’ve made, even if the crime rate continues to climb.”⁹⁹ Such cases can be a powerful indicator that arrest is being misused as a tool and is not helping to achieve public safety.

Jurisdictions may need to modify their CompStat implementations and other incentive and promotion structures to ensure their officer evaluation metrics reduce crime and promote public safety while promoting larger reform goals including improved community relationships and police legitimacy.¹⁰⁰

⁹⁷ *Selecting a police chief*. (1999). Retrieved from https://icma.org/sites/default/files/308762_E-42370.pdf page 5.

⁹⁸ Etters, K. (2019, July 01). Meet the individuals who will help pick the new Tallahassee police chief. Retrieved from <https://www.tallahassee.com/story/news/2019/07/01/meet-people-who-help-pick-new-tallahassee-police-chief/1618663001/>

⁹⁹ Mentel, Z. (2012). *Racial reconciliation, truth telling, and police legitimacy*. Retrieved from https://s3.truandjustice.org/misc/Racial-Reconciliation_Truth-Telling_and-Legitimacy.pdf

¹⁰⁰ *Ibid*.

1. Leadership and Culture

Is your leadership selection process designed to produce the police-community relationship you want?

The process of selecting your chief of police, as well as other department leaders, should be based upon the characteristics and needs of your jurisdiction and should be structured in a manner that is legitimate and fair. Recruitment of a law enforcement leaders, whether by internal promotion or hiring an external candidate, needs to begin with an analysis of the needs of the local community.⁹⁶

The process for conducting a search and identifying candidates can incorporate input from a variety of community groups and stakeholders, even if the ultimate appointment authority resides with the chief executive of the jurisdiction. This will produce more meaningful results and candidates better able to uphold specific community values than if the search is conducted solely through the office of the chief executive.

Does your selection process ensure consideration of a diverse group of candidates? Does it take into account applicants' views on and experience with police-community relations?

In some jurisdictions, citizen committees are established to conduct an applicant search and provide advice and recommendations regarding the

⁹⁶ *Selecting a police chief*. (1999). Retrieved from https://icma.org/sites/default/files/308762_E-42370.pdf

III. Fostering Community-Oriented Leadership, Culture and Accountability

Reforms cannot succeed or be sustained without commitment from strong and effective leadership – in other words – without a supportive institutional culture. Culture determines behavior much more powerfully than policies and rules. The ultimate goal of reform is to ensure an institutional culture consistent with your goals for community-police relations.

Accountability is essential for a strong institutional culture and for mutual trust between the community and the police. Your department must engage in meaningful review of officer conduct, including use of force, to give the community confidence that misconduct is identified and the conduct is appropriately addressed. Much of the current unrest across the country is rooted in a belief that some police departments tolerate abuse of authority, including excessive force and other misconduct or adhere to practices that are inconsistent with community values. In the long run, this belief will harm police officers as well as degrade public safety.

In developing your plan, consider whether improvements are needed in your leadership selection process, community oversight structures, accountability mechanisms or other efforts to shape and maintain a healthy and productive institutional culture.

greater community. Such groups can provide early positive encounters with law enforcement that benefit both the police and the community at large. Similar to the creation of citizen advisory boards, it is important that youth councils in communities be diverse and inclusive and reflect the makeup of the community.

3% reported sexual harassment, and 2% reported physical assault at the hands of law enforcement officers. Police abuse, neglect, and misconduct were consistently reported at higher frequencies by respondents of color and transgender and gender-nonconforming respondents.⁹² One example of a positive policy change is the LAPD's 2012 policy requiring officers to refer to transgender individuals by the name and gender they prefer and precluding officers from searching transgender individuals solely for the purpose of determining their biological sex.⁹³ For more examples and guidance please review *Gender, Sexuality, and 21st Century Policing: Protecting the Rights of the LGBTQIA+ Community*.⁹⁴

- **Immigrant Communities:** To begin to bridge the gap between immigrant communities and law enforcement, in 2017 Governor Cuomo signed Executive Order 170 which prohibits New York State agencies and officials from asking a person's immigration status. This rule also prohibits officials from disclosing a person's immigration status to federal authorities, except in certain situations such as a law enforcement investigation.⁹⁵ You should look for opportunities to integrate members of immigrant communities into community discussions on policing. Engaging with communities is the best way to continue to get feedback that can shift police culture and values, and foster community trust.

Involving youth in discussions on the role of law enforcement agencies

Creating avenues to reach youth, such as youth leadership councils, can assist law enforcement in building trust and forming relationships with the

⁹² Mallory, C., Hasenbush, A., & Sears, B. (2015, March). *Discrimination and Harassment by Law Enforcement Officers in the LGBT Community*. Retrieved from <https://williamsinstitute.law.ucla.edu/publications/lgbt-discrim-law-enforcement/>

⁹³ Copple, James E., & Dunn, P. (2017). *Gender, Sexuality, and 21st Century Policing: Protecting the Rights of the LGBTQ+ Community*. Washington, DC: Office of Community Oriented Policing Services Retrieved from <https://www.iadlest.org/Portals/0/cops%20LGBTQ.pdf>

⁹⁴ *Ibid.*

⁹⁵ Governor Cuomo Signs Executive Order Prohibiting State Agencies from Inquiring About Immigration Status. (2017, September 20). Retrieved from <https://www.governor.ny.gov/news/governor-cuomo-signs-executive-order-prohibiting-state-agencies-inquiring-about-immigration>

Attention to Marginalized Communities

Your department may need to devote special training and management attention to interaction with marginalized communities.

- **Limited English Proficiency (LEP) Citizens:** For citizens who have Limited English Proficiency, communication with law enforcement is more difficult and could result in law enforcement perceiving these citizens as noncompliant. Law enforcement agencies must ensure reasonable and equitable language access for all persons who have encounters with police or who enter the criminal justice system. Statewide language access requirements discussed in New York State Executive Order No. 26 (E.O. 26), require New York State executive agencies to provide interpretation services in any language and translation services in the top six most commonly spoken non-English languages in the state. Consider adopting elements of E.O. 26 as part of your plan, and requiring law enforcement agencies to provide appropriate resources to community members.
- **Citizens with communication disabilities.** Similarly, citizens who are deaf, hard-of-hearing or have sensory and stimulation sensitivities can be perceived by officers as noncompliant. It is important that officers are able to recognize when a community member may have a disability that could affect the way they communicate. Consider working with experts and members of the disability community to create training programs to educate officers to identify and work with disabled community members.
- **The LGBTQIA+ Community:** Law enforcement agencies must take steps to eradicate discriminatory policing based on a community member's perceived gender identity or sexual orientation. For example, a 2014 report on a national survey of LGBT people and people living with HIV found that 73% of respondents had face-to-face contact with the police in the past five years. Of those respondents, 21% reported encountering hostile attitudes from officers, 14% reported verbal assault by the police,

study found that “this lack of trust stemmed from three primary concerns: being stopped for low-level offenses, feeling the police were not addressing serious crime and violence, and sensing a lack of care for people in the community.⁸⁹” When these issues are addressed openly, new and different understandings can emerge, and a profound transformation in community-police relations becomes possible.⁹⁰ While engaging in discussion with communities of color on how historical and current police practices affect their communities, consider:

- Discussing how policies, culture, engagement, and other mechanisms can change to create a more transparent system built on trust.
- Communicating a willingness to improve as well as acknowledge past and continuing harm.
- Following through on changes to demonstrate commitment to the reconciliation process.
- Acknowledging that the intention is to create new practices in recognition of historical harm (in tandem with the announcement and implementations of new initiatives adopted by the collaborative). Not only will this reinforce the message of reconciliation in the department, it will help in educating the general population.⁹¹

https://www.courtinnovation.org/sites/default/files/media/document/2020/Report_GunControlStudy_08052020.pdf

⁸⁹ *Id.*

⁹⁰ Mentel, Z. (2012). *Racial reconciliation, truth telling, and police legitimacy*. Retrieved from https://s3.trustandjustice.org/misc/Racial-Reconciliation_Truth-Telling_and-Legitimacy.pdf

⁹¹ Kuhn, S., and Lurie, S. (2018). *Reconciliation between Police and Communities: Case Studies and Lessons Learned*. New York: John Jay College.

spend time with them at recess. Students are encouraged to engage with and ask questions of the officers. The goal is to cultivate a mentoring relationship from these interactions, with the officers serving as community role models.⁸⁶ Unlike School Resource Officers (discussed above in Part I), officers in adopt-a-school programs are *not* intended to enforce student discipline or address truancy issues, nor should they be used for such purposes. Rather, adopt-a-school programs are designed to have students engage with officers in a relaxed, non-adversarial fashion, fostering positive connections that carry through the students' academic and personal lives, into adulthood.⁸⁷

Police-community reconciliation

The police-community reconciliation process seeks to improve strained relationships between police and communities of color. The goal is to strengthen and build a community perception that law enforcement is a trustworthy partner with which it can collaborate in achieving public safety.

In many communities of color, a history both of violence unaddressed by law enforcement and police misconduct has left a legacy of grievance and distrust between these communities and the law enforcement agencies that serve them. A 2020 Center for Court Innovation survey of New York City youth at high risk of gun violence found a deep distrust of law enforcement, with only 19% believing that the police want to understand their community needs.⁸⁸ The

⁸⁶ See e.g., Valparaiso Police Department. <https://www.ci.valparaiso.in.us/366/Adopt-a-School>;

⁸⁷ See e.g., Rancho Cordova Police Department. <https://www.ranhocordovapd.com/programs/adopt-a-school>.

⁸⁸ Center for Court Innovation. "'Gotta You're your Own Heaven' Guns, Safety, and the Edge of Adulthood in New York City." Pg. 13

reviewing and addressing items to reinvigorate the relationship between community members and APD.⁸³

Partnership with community organizations and faith communities

It is important to work within the community in order to build trust and nurture legitimacy. Law enforcement agencies can proactively increase public trust by initiating positive non-enforcement activities to engage communities that typically have high rates of investigative and enforcement involvement with government agencies.⁸⁴

Can your law enforcement agency develop programs that create opportunities for patrol officers to interact regularly with neighborhood residents, faith leaders, and business leaders? Some communities have developed Police Athletic Leagues (PALs) which provide an opportunity for the police to engage with youth in the community and build enduring beneficial relationships. Communities are often more willing to assist law enforcement when they have forged positive relationships through non-law enforcement-related community engagement.⁸⁵

Partnering with students and schools

Adopt-a-school programs seek to build a rapport between a school, its students and a local police department. In such programs, police officers visit the school at regularly scheduled intervals, have lunch with the students and

⁸³ Albany, C. O. (n.d.). Retrieved from <https://www.albanyny.gov/Government/Departments/PoliceDepartment/AlbanyCommunityPoliceAdvisoryCommittee.aspx>

⁸⁴ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. pp. 14. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

⁸⁵ *Id.* at 15

Citizen advisory boards and committees

Community engagement emphasizes working with residents to promote public safety. Law enforcement agencies can work with community residents to identify problems and collaborate on implementing solutions that produce meaningful results for the community.⁸¹ A community advisory board/committee is a group that meets regularly to provide advice and perspectives to executive staff in law enforcement agencies. Membership should reflect and represent the different voices and needs in the community, meaning a board should be as diverse as the community in which it functions.⁸²

The goal should be the creation of an inclusive space designed to accommodate the community's diverse needs. An advisory board can play a continuing and meaningful role in the decision-making process and keep the community informed of developments and achievements made by law enforcement. This provides a sustained communication structure between the community and law enforcement leadership.

For example, the Albany Police Department (APD) has had a positive experience using such a mechanism for continued community policing and engagement. The department sought assistance from the city's Common Council to ensure all 15 wards of the city were equally represented on the Community Policing Advisory Committee. This committee was charged with

⁸¹ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. pp. 48. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

⁸² IACP. (2019, May 6). Promoting Community Involvement in Law Enforcement: Community Advisory Boards. Retrieved from <https://www.theiacp.org/news/blog-post/promoting-community-involvement-in-law-enforcement-community-advisory-boards>

community can play in policing.⁷⁷ Community-oriented policing seeks to address the causes of crime and to reduce fear of social disorder through problem-solving strategies and police-community partnerships.⁷⁸

Consider whether any of the following tools would help you to achieve these goals.

Community outreach plans

A number of law enforcement agencies have developed plans for institutionalizing community engagement.⁷⁹ For example, in 2018 the Seattle Police Department released a report outlining their Community Engagement Program, what was done, and where the program saw success.⁸⁰ Seattle developed and articulated specific ways in which it engages with communities, including but not limited to: appointing community liaison officers, fostering police community partnerships, holding regular community meetings, and tracking and rewarding positive interactions between officers and community groups.

By deepening their contact and collaboration with the community, agency efforts in engaging with citizens are more likely to be successful.

⁷⁷ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf

⁷⁸ Community Policing. (n.d.). <https://www.bjs.gov/index.cfm?ty=tp&tid=81>

⁷⁹ For examples please see: The Ohio Collaborative Community-Police Advisory Board's suggestions at <https://www.ocjs.ohio.gov/ohiocollaborative/links/Examples-Community-Police%20Engagement.pdf> Or the New Orleans Police Department's Community Engagement Manual at [https://www.nola.gov/getattachment/NOPD/NOPD-Consent-Decree/Community-Engagement-Manual-\(3\).pdf/](https://www.nola.gov/getattachment/NOPD/NOPD-Consent-Decree/Community-Engagement-Manual-(3).pdf/)

⁸⁰ For example please view report at <http://www.seattle.gov/documents/departments/police/reports/communityengagementreport2018.pdf>

decent in Manhattan, Governor Cuomo directed the New York State Police to investigate the incident and stated: “No one in this state should ever feel intimidated or threatened because of who they are or how they look. Diversity is our greatest strength – it’s one of the things that makes New York great – and in difficult times we need to band together even tighter.”⁷⁵ Local law enforcement agencies are required to submit Hate Crime Incident Report forms to the Division of Criminal Justice Services each month.⁷⁶ You should ensure your police department is complying with these reporting requirements and has the capacity to effectively identify and investigate hate crime and bias incidents.

Evaluate your practices concerning the identification and investigation of hate crimes. Are members of your department trained to investigate hate crimes and interview members of minority communities and groups? What additional training and community resources can you draw on to enhance hate crime prevention and response?

3. Community Engagement

Community engagement is imperative to forming trust between officers and the citizens in the neighborhoods they police. The concept of community policing can, however, often be misunderstood and misapplied. Many applications of this concept do not capture the deeper, sustained role a

⁷⁵ Governor Andrew M. Cuomo. (March 11, 2020). Governor Cuomo Directs State Police Hate Crimes Task Force to Assist in Investigation of Assault Against Asian Woman. <https://www.governor.ny.gov/news/governor-cuomo-directs-state-police-hate-crimes-task-force-assist-investigation-assault-against>.

⁷⁶ For more information on reporting Hate Crimes to the Division of Criminal Justice Services, please visit: <https://www.criminaljustice.ny.gov/crimnet/ojsa/crimereporting/forms/hatecrime.pdf>.

They got out of their cars and walked the streets in high-crime areas. Rookies were taught to avoid deadly confrontations if at all possible, even with armed individuals. The Madison Model remains a de-escalation model that departments and law enforcement consultants still reference when formulating a de-escalation policy of their own.⁷¹

Although de-escalation training is increasingly used in police departments, there is limited knowledge regarding its actual effectiveness in successfully decreasing volatile situations. One group of researchers examined sixty-four de-escalation training programs across all professions over a forty year period and found some early promising results and few adverse consequences, but noted the weaknesses in methodological controls of such studies.⁷² Regardless, de-escalation training has become one of the most frequently requested types of police trainings as proponents advocate it reduces violence across the board and protects both civilians and officers.⁷³

Can Your Community Effectively Identify, Investigate and Prosecute Hate Crimes?

Hate crimes against individuals in protected classes are an attack not only on the individual, but also on the whole community.⁷⁴ On March 11, 2020, in response to a physical assault on the basis of race against a woman of Asian-

⁷¹ *Ibid.*

⁷² Engel, R., McManus, H. and Herold, T. (January 31, 2020). Does De-Escalation Training Work? *Criminology & Public Policy*. <https://onlinelibrary.wiley.com/doi/full/10.1111/1745-9133.12467>.

⁷³ McKenna, S. (June 17, 2020). Police Violence Calls for Measures Beyond De-Escalation Training. *Behavior & Society*. <https://www.scientificamerican.com/article/police-violence-calls-for-measures-beyond-de-escalation-training1/>.

⁷⁴ Fair and Just Prosecution. Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>.

In the same 2018 report, the National Academies of Science, Engineering and Medicine also found that focused deterrence policing is more likely to target minority offenders. Since non-White individuals are more likely to live in areas with more police per capita, they will have higher rates of prior contact with police in their lifetime. This increases the probability of a prior arrest record, and thus makes them a target for focused deterrence policing practices.

De-Escalation Strategies

De-escalation has proven effective in certain circumstances to diffuse what would otherwise be a dangerous encounter. Body position and stance, tone of voice and word choice, can either calm a situation or inflame an already tense situation. De-escalation tactics, including both verbal and non-verbal communication strategies, can slow down an evolving situation and reduce the risk that a situation will become violent. De-escalation is tied to the principle that an officer should exhaust all available methods of resolving a situation before using force.⁶⁹

The former longtime Chief of Police of Madison, Wisconsin developed a de-escalation policy beginning in the 1970's in what is now referred to as the "Madison Model." Under this model, police officers operate under the theory that they are "social workers in blue."⁷⁰ When this was introduced in Madison, officers wore shorts and blazers and marched with Vietnam War protesters.

⁶⁹ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 10, 121, 143. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁷⁰ The Marshall Project. *The Madison Model: A Shooting Death Spotlights Wisconsin's Legacy of Police Reform*. <https://www.themarshallproject.org/2015/05/14/the-madison-model>.

Hot spot and deterrence policing may have both public safety and community benefits; reducing crime and targeting crime drivers. Indeed, focused deterrence policing has been found to have some beneficial impact on reduction in crime, particularly those programs that target gangs or groups.⁶⁶ However, a locality employing these techniques must ensure that they are supported by the community, that the police department can and will implement them in a race-neutral and transparent fashion, and that the local government itself will vigilantly monitor their use.

Analysts have criticized the “hot spot” and “focused deterrence” techniques on the ground that they are used disproportionately in minority communities. According to a 2018 report on proactive policing by the National Academies of Science, Engineering and Medicine,⁶⁷ the high rate at which individuals of color are stopped, cited, arrested or injured by the police is associated with police deployment based on intensive presence in particular areas. For example, if residents of areas with higher levels of reported crime are disproportionately people of color or disadvantage, placing greater police resources in these areas will increase the probability of law enforcement contacts with minorities and loss of liberty through stops, searches and arrests.⁶⁸

⁶⁶ Campbell Collaboration. American Institutes for Research (2019).

https://campbellcollaboration.org/media/k2/attachments/0110_Braga_Focused_deterrence_PLS_EN.pdf.

⁶⁷ National Academies of Science, Engineering, and Medicine. (2018). *Proactive Policing: Effects on Crime and Communities*. The National Academies Press. p. 251. <https://www.nap.edu/read/24928/chapter/9>.

⁶⁸ Weisburd, David. Does Hot Spots Policing Inevitably Lead to Unfair and Abusive Police Practices, or Can We Maximize Both Fairness and Effectiveness in the New Proactive Policing? University of Chicago Legal Forum (2016), pp. 671-672.

<https://chicagounbound.uchicago.edu/cgi/viewcontent.cgi?article=1578&context=uclf>

Community-Based Outreach and Violence Interruption Programs

Community based outreach and violence interruption programs aim to curb violence by working with high-risk individuals and connecting them with services, programs, and other community engagement initiatives, so as to interrupt the cycle of crime. For example, the NYS Street Outreach Program (SNUG) discussed earlier employs street outreach workers who live in the communities where they work to reach at-risk youth.

Adopting a strategy where you work with trusted messengers with existing credibility within a community can facilitate better community relationship with law enforcement and be a positive force for change.

Hot-Spot Policing and Focused Deterrence

Some departments have used data analysis to identify crime spikes or “hot spots” in specific neighborhoods or even particular street blocks and increased the visible police presence in these areas, with the purpose of deterring crime. Relatedly, some departments have implemented a strategy of “focused deterrence,” in which officers engage directly with offenders or groups of offenders based on their prior history, sometimes in partnership with community members.⁶⁵

⁶⁵ Center for Problem-Oriented Policing. <https://popcenter.asu.edu>; National Institute of Justice. Hot Spot Policing Can Reduce Crime. <https://nij.ojp.gov/topics/articles/hot-spot-policing-can-reduce-crime>; National Institute of Justice. Gun Violence Prevention Strategy: Focused Deterrence. <https://nij.ojp.gov/topics/articles/gun-violence-prevention-strategy-focused-deterrence>.

For youthful or first time offenders, or those with mental health issues or problems with substance abuse, incarceration is either unnecessary or counterproductive and will not address the underlying behavior. Even apart from unnecessary incarceration, saddling an individual with a criminal record in these circumstances can have broad-ranging, unwarranted consequences. Strategies for diversion programs include warn-and-release, programs requiring violence prevention or aggression management, substance abuse treatment and testing, and court check-ins.⁶³

Restorative Justice Programs

Restorative justice programs offer people a meaningful chance to respond to a conflict outside of the traditional courtroom process. Restorative justice brings the affected parties together, mediated by a trained community member, allowing the aggrieved parties to vocalize hurt and encouraging accountability. The aim is healing rather than punishment, and it requires cooperation between all sides of a conflict, such as between a perpetrator and a victim, to achieve an understanding of what occurred and arrive at a mutually acceptable resolution.⁶⁴

⁶³ See: Law Enforcement Assisted Diversion (LEAD) Program. <https://www.leadbureau.org>; Office of Juvenile Justice and Disciplinary Prevention, Diversion Programs. <https://www.ojjdp.gov/mpg-iguides/topics/diversion-programs/>; Corrective Solutions. <http://correctivesolutions.org/diversion-programs>.

⁶⁴ See: Common Justice. https://www.commonjustice.org/common_justice_model; Center for Court Innovation. <https://www.courtinnovation.org/areas-of-focus/restora> National Network for Safe Communities at John Jay College. Reconciliation Between Police and Communities: Case Studies and Lessons Learned. https://nnscommunities.org/wp-content/uploads/2017/10/Reconciliation_Full_Report.pdf.

warning or issuance of a ticket and a fine.⁶¹ The conversation became increasingly contentious between the officer and Ms. Bland when she refused to extinguish her cigarette. Ms. Bland was arrested and charged with a Class C misdemeanor, the lowest level of crime in Texas, and for which the punishment carried a fine but no jail term. She was unable to meet a \$5,000 bond for her release. Ms. Bland was found dead in her cell three days later. Her death was ruled a suicide.⁶²

You should consider whether your police department should encourage the issuance of summonses rather than conducting warrantless arrests for specified offenses or under specified circumstances.

Diversion Programs

Diversion programs may occur at various stages in the criminal justice process. Diversion programs recognize that incarceration or establishment of a criminal record may not be the most appropriate mechanism to address certain conduct, and that education, drug or mental health treatment may provide a better alternative for the individual and the community.

⁶¹ Connelly, C. (May 9, 2019). At Texas Capitol, Sandra Bland's Legacy Looms. *Kera News*. <https://www.keranews.org/post/texas-capitol-sandra-blands-legacy-looms>; Montgomery, D. (May 17, 2019). Sandra Bland, It Turns Out, Filmed Traffic Stop Confrontation Herself. *The New York Times*. <https://www.nytimes.com/2019/05/07/us/sandra-bland-video-brian-encinia.html>; Wickham, D. (July 27, 2015). Wickham: Sandra Bland's Fate Sealed By Bad Policing. *USA Today*. <https://www.usatoday.com/story/opinion/2015/07/27/sarah-bland-suicide-death-texas-trooper/30705659/>.

⁶² Connelly, C. (May 9, 2019). At Texas Capitol, Sandra Bland's Legacy Looms. *Kera News*. <https://www.keranews.org/post/texas-capitol-sandra-blands-legacy-looms>; Montgomery, D. (May 17, 2019). Sandra Bland, It Turns Out, Filmed Traffic Stop Confrontation Herself. *The New York Times*. <https://www.nytimes.com/2019/05/07/us/sandra-bland-video-brian-encinia.html>; Wickham, D. (July 27, 2015). Wickham: Sandra Bland's Fate Sealed By Bad Policing. *USA Today*. <https://www.usatoday.com/story/opinion/2015/07/27/sarah-bland-suicide-death-texas-trooper/30705659/>.

error rates attributable to race and gender can be factored into the application of such technology, and what safeguards can be applied to protect privacy interests.

2. Law Enforcement Strategies to Reduce Racial Disparities and Build Trust

Law enforcement experts have also suggested that various policing and criminal justice strategies can reduce racial disparities and build trust between police departments and the community. You should consider which, if any, of these strategies would help your department achieve these important goals.

Using Summonses Rather than Warrantless Arrests for Specified Offenses

Police officers have broad discretion to choose between treating certain incidents as misdemeanor crimes and making warrantless arrests, or treating such incidents as a civil infractions or violations and issuing appearance tickets or summonses. Advocates for policing reform contend that this latitude has often resulted in people of color disproportionately entering the criminal justice system, harming these individuals and contributing to distrust of the police.⁶⁰

For example, in 2015, Sandra Bland, a 28-year old African American woman, was pulled over in Texas pursuant to a traffic stop for failure to signal a change of lanes, a minor traffic violation that typically results in either a

⁶⁰ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 82, 105. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Fair and Just Prosecution. *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>.

Chemical agents, including pepper spray, are another less-than-lethal method used by some law enforcement. But, while less lethal, it can still cause serious harm and has minimal accuracy, especially when used from a distance or under windy conditions.⁵⁷ Consider additional training of such less-than-lethal weapons by your law enforcement officers.

Facial Recognition Technology

Some law enforcement agencies have used facial recognition systems to assist with investigations. Civil libertarians have raised privacy concerns pertaining to the collection of images. Further, users of facial recognition technology have reported accuracy problems as these systems have a more challenging time recognizing the faces of certain segments of the population, such as African Americans and women.⁵⁸ A recent study looked at three commercial facial recognition systems and found they exhibit higher error rates for darker-skinned women than any other group, with the lowest error rates for light skinned men.⁵⁹

As you consider the use of facial recognition technology, you should take into account whether checks and balances can minimize false positive hits, how

Naked New York City Man, Who Falls and Dies. *ABA Journal*.

https://www.abajournal.com/news/article/police_taser_naked_new_york_city_man_who_falls_and_dies.

⁵⁷ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 139. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁵⁸ Goodwin, G. (June 4, 2019). Testimony Before the Committee on Oversight and Reform, *U.S. House of Representatives. Face Recognition Technology: DOJ and FBI Have Taken Some Actions in Response to GAO Recommendations to Ensure Privacy and Accuracy, But Additional Work Remains*. <https://www.gao.gov/assets/700/699489.pdf>.

⁵⁹ Buolamwini, J. and Gebru, T. (2018). *Gender Shades: Intersectional Accuracy Disparities in Commercial Gender Classification. Proceedings of Machine Learning Research, Conference on Fairness, Accountability and Transparency*, pp. 8, 12. <http://proceedings.mlr.press/v81/buolamwini18a/buolamwini18a.pdf>.

believing intruders were in the apartment, called 911, and fired his lawfully permitted weapon at the officers.⁵³ The officers returned fire, hitting Ms. Taylor, who was unarmed, eight times. The officers were executing a search warrant for drugs. No drugs were found. Law enforcement knew prior to entering her home that the main suspect who was the center of the investigation had already been taken into police custody.⁵⁴

Less-Than-Lethal Weaponry such as Tasers and Pepper Spray

Increasingly, tasers are being used by law enforcement as an alternative to firearms. The electric shock in tasers induces muscle contraction which can sometimes result in serious injury or even death.⁵⁵ In 2008, the NYPD was called to an apartment building in Brooklyn after a mentally disturbed man, Iman Morales, did not answer his apartment door and his mother asked someone to call 911. After police arrived Mr. Morales, who was naked, left his third-floor apartment through the fire escape descended to the second floor landing and ultimately jabbed at another officer with a fluorescent light tube. An officer on the street then fired his taser at Mr. Morales, who fell head first to the ground and died from his head injuries.⁵⁶

⁵³ Burke, M. (June 13, 2020). Breonna Taylor's Death Ignites Debate on No-Knock Warrants as Louisville Becomes Latest City to Ban Them. *NBC News*. <https://www.nbcnews.com/news/us-news/breonna-taylor-s-death-ignites-debate-no-knock-warrants-louisville-n1208156>. Opper, R. and Taylor, D. (June 28, 2020). Here's What You Need to Know About Breonna Taylor's Death. *New York Times*. <https://www.nytimes.com/article/breonna-taylor-police.html>.

⁵⁴ Burke, M. (June 13, 2020). Breonna Taylor's Death Ignites Debate on No-Knock Warrants as Louisville Becomes Latest City to Ban Them. *NBC News*. <https://www.nbcnews.com/news/us-news/breonna-taylor-s-death-ignites-debate-no-knock-warrants-louisville-n1208156>; Waldrop, T., Sanchez, R. and Joseph, E. (June 23, 2020). Officer Fired in Shooting Death of Breonna Taylor, Louisville Police Say. *CNN.com*. <https://www.cnn.com/2020/06/23/us/breonna-taylor-shooting-officer-fired/index.html>.

⁵⁵ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 135-36. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁵⁶ Fahim, K. and Hauser, C. (Sept. 25, 2008). Taser Use in Man's Death Broke Rules, Police Say. *The New York Times*. <https://www.nytimes.com/2008/09/26/nyregion/26taser.html>; Neil, M. (Oct. 25, 2008). Police Taser

nonviolent offenses.⁴⁹ Experts have proposed either prohibiting shooting at a moving vehicle or permitting the tactic only when the driver or a passenger poses an imminent risk of lethal force against an officer or another individual.⁵⁰ Whether to engage in a high speed pursuit in the first instance is also a decision point for this collaboration. It may be prudent to only engage in such activities for certain offenses, or at certain times of day or locations where harm to bystanders can be minimized.

Use of SWAT Teams and No-Knock Warrants

Historically, SWAT teams were designed to handle high risk situations, such as hostage rescues and terrorist attacks. Today, SWAT teams are increasingly used to execute even routine search warrants. Although some search warrants are inherently high risk to the executing officers, others may not be, and use of a SWAT team may not be necessary or advisable.⁵¹

The use of no-knock warrants has also been criticized. Consider if such a tactic should only be used when there is a specific threat to officer or civilian life.⁵² Recently, Breonna Taylor, a Louisville, Kentucky resident and EMT worker, was killed by officer gunfire when three plain-clothed officers executed a no-knock warrant at her home in the middle of the night. Her boyfriend,

⁴⁹ Gross, J. (2016). Unguided Missiles: Why the Supreme Court Should Prohibit Police Officers From Shooting at Moving Vehicles, pp. 135, 142. *U. Pa. Law Rev. Online*.
https://scholarship.law.upenn.edu/cgi/viewcontent.cgi?article=1163&context=penn_law_review_online.

⁵⁰ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 125. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Campaign Zero. #8CantWait. <https://8cantwait.org>.

⁵¹ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 132. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁵² *Ibid.*; Fair and Just Prosecution. Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System, p.11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>.

summonses should still be cause for great concern. Failure to pay the fine for a traffic violation can result in suspension of a driver's license or motor vehicle registration, causing a cascade of additional harm that is disproportionate to the underlying offense. The harm is particularly severe for individuals who either drive to work or have driving as a job requirement, as they can be arrested and prosecuted if they drive with a suspended license. As part of the Say Their Name agenda, New York enacted the STAT Act, which requires the collection of demographic information on violations. This should shed light on any disparities in the imposition of such lower level violations, which can then be properly addressed. As part of this exercise, local governments should inform themselves to better address this issue at the outset. Local governments should further examine whether any informal quotas exist for tickets, summons, stops or arrests, and if so, eliminate such quotas.

Shooting at Moving Vehicles and High Speed Pursuits

Shooting at a moving vehicle risks injury to unintended targets, including to innocent bystanders. Hitting the driver can turn a moving car into a high-speed, out of control weapon.⁴⁸

Many experts also believe that shooting at a moving vehicle has low utility as a tactic for neutralizing threats, as shooting at a car rarely stops it. Moreover, one study found that 91% of police pursuits were the result of

⁴⁸ Herman, P. (May 1, 2018). Police in D.C., New York Revise Shooting Policies in Response to Vehicle Ramming Attacks. *The Washington Post*. https://www.washingtonpost.com/local/public-safety/police-in-dc-new-york-revise-shooting-policies-in-response-to-vehicle-ramming-attacks/2018/05/01/9561d1ee-418b-11e8-ad8f-27a8c409298b_story.html; Gross, J. (2016). Unguided Missiles: Why the Supreme Court Should Prohibit Police Officers From Shooting at Moving Vehicles, pp. 135, 139-141. *U. Pa. Law Rev. Online*. https://scholarship.law.upenn.edu/cgi/viewcontent.cgi?article=1163&context=penn_law_review_online.

rate. Specifically, African Americans comprised 67% of the population but received 90% of the tickets. In fact, in 2013, Ferguson – with a population of approximately 21,135 people – had issued 32,975 warrants for nonviolent offenses – more warrants than it had actual residents. Most of these were for driving violations. The collection of high court fines and fees⁴⁵

The resulting fees and fines can have a crippling effect on citizens who cannot afford to pay them. In Ferguson, where an individual was not able to pay the fine, an arrest warrant was issued, effectively creating a debtors' prison and prioritizing revenue-generation measures at the expense of civil rights and the minority communities disproportionately targeted and affected. In addition to jail time, the direct and indirect consequences that flowed from failure to pay included loss of driver's licenses, housing and employment.⁴⁶

The use of "police officers as municipal debt collection agents," as described by DOJ, has a corrosive effect on the relationship between officers and communities of color.⁴⁷

While New Yorkers do not face the prospect of arrest for delinquency in paying a traffic violation fine, any discriminatory practice of issuing

⁴⁵ Shapiro, J. (August 25, 2014). In Ferguson, Court fines and Fees Fuel Anger. *NPR*. <https://www.npr.org/2014/08/25/343143937/in-ferguson-court-fines-and-fees-fuel-anger>; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 88-89. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; U.S. Dept. of Justice. (January 2017). *The Civil Rights Division's Pattern and Practice Police Reform Work: 1994-Present*. pp. 7, 13, 33-34, 46. <https://www.justice.gov/crt/file/922421/download>.

⁴⁶ Shapiro, J. (August 25, 2014). In Ferguson, Court fines and Fees Fuel Anger. *NPR*. <https://www.npr.org/2014/08/25/343143937/in-ferguson-court-fines-and-fees-fuel-anger>; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 88-89 (2019). https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; U.S. Dept. of Justice. (January 2017). *The Civil Rights Division's Pattern and Practice Police Reform Work: 1994-Present*. pp. 7, 13, 33-34, 46. <https://www.justice.gov/crt/file/922421/download>.

⁴⁷ U.S. Dept. of Justice. (January 2017). *The Civil Rights Division's Pattern and Practice Police Reform Work: 1994-Present*. p. 13. <https://www.justice.gov/crt/file/922421/download>.

As noted by the Leadership Conference on Civil Rights, the wide latitude officers have to conduct a pretextual stop can contribute to the distrust between the community and officers.⁴¹

Informal Quotas for Summonses, Tickets or Arrests

New York State has banned formal quotas for issuance of tickets or summonses, stops of individuals suspected of criminal activity, and arrests.⁴² Quotas erode community-police relations as they encourage officers to make arrests in situations that otherwise would be unnecessary or unwise so as to meet a mandated numeric threshold. Historically, minority communities are often targeted to meet such quotas.⁴³ Moreover, “the knowledge that quotas exist, and therefore that illegitimate arrests exist, casts an untrusting shadow onto all police activity.”⁴⁴

Unlike formal quotas, informal quotas are more difficult to ferret out, but are just as damaging to the individual and the community. For example, a civil rights investigation by the US Department of Justice in Ferguson, Missouri found that the city’s police department had informal quotas for tickets and summonses and that African Americans were targeted at a disproportionate

⁴¹ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 80-81. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁴² N.Y. Labor Law 215-a(1); Bronstein, N. (2015). Police Management and Quotas: Governance in the CompStat Era, pp. 545, 557-558. *Columbia J. of Law and Social Probs.* <http://jlsplaw.columbia.edu/wp-content/uploads/sites/8/2017/03/48-Bronstein.pdf>.

⁴³ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 82, 105. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Bronstein, N. (2015). Police Management and Quotas: Governance in the CompStat Era, pp. 545-55. *Columbia J. of Law and Social Probs.* <http://jlsplaw.columbia.edu/wp-content/uploads/sites/8/2017/03/48-Bronstein.pdf>.

⁴⁴ Bronstein, N. (2015). Police Management and Quotas: Governance in the CompStat Era, p. 555. *Columbia J. of Law and Social Probs.* <http://jlsplaw.columbia.edu/wp-content/uploads/sites/8/2017/03/48-Bronstein.pdf>.

Use of Force for Punitive or Retaliatory Reasons

The potential for abuse of the use of force for retaliatory or punitive reasons exists. The U.S. Department of Justice has found that such situations have arisen generally where an individual is fleeing, resisting arrest or showing disrespect for the officer.³⁹ In several instances, DOJ has required police departments to adopt formal policies prohibiting the use of force for retaliatory or punitive reasons.⁴⁰

Pretextual Stops

Pretextual stops are those where the officer uses a minor violation as a pretext to stop, question, or search someone suspected of involvement in, or having knowledge of, a more serious crime. Pretextual stops have been used in a targeted fashion to question individuals relevant to an ongoing investigation in a setting that may facilitate disclosure of information valuable to that investigation, and in a broader fashion by officers on patrol to question or search individuals based on the officer's observations at that time.

Pretextual stops are distinct from Stop and Frisk policing as the former involves stopping an individual purportedly on one stated basis, when the real purpose is to investigate the individual for an entirely different and usually more serious crime. The latter, namely Stop and Frisk, when conducted legally, is based on the suspicion of a minor crime without a secondary motive by law enforcement.

³⁹ U.S. Department of Justice Civil Rights Division. (January 2017). *The Civil Rights Division's Pattern and Practice Police Reform Work: 1994-Present*. p. 28. <https://www.justice.gov/crt/file/922421/download>.

⁴⁰ *Ibid.*


that law enforcement has engaged in biased-based stops, searches and arrests, even if unintentionally. If there is such evidence, determine what steps should be taken to eliminate or address such practices.

Chokeholds and Other Restrictions on Breathing

New York State has criminalized the use of chokeholds by police in the immediate aftermath of George Floyd's shocking death by asphyxiation. In that case, the arresting officer applied continual pressure to his neck while Mr. Floyd was handcuffed on the ground. Governor Cuomo signed this measure into law on June 12, 2020 in the presence of Gwen Carr - the mother of Eric Garner, another individual killed after use of a chokehold in 2014 by a New York City police officer. The legislation creates the crime of aggravated strangulation where a police officer uses a chokehold and causes serious physical injury or death.³⁷

Other forms of force that may not currently be banned can also restrict breathing. For example, positioning someone on their stomach while in restraints restricts breathing. So too does the application of pressure on someone's back while restrained face down. Use of force against individuals who are already handcuffed increases risk of injury.³⁸

³⁷ Eric Garner Anti-Chokehold Act, NY Senate Bill S6670B. (June 12, 2020). <https://www.nysenate.gov/legislation/bills/2019/s6670>.

³⁸ U.S. Department of Justice Civil Rights Division. (January 2017). *The Civil Rights Division's Pattern and Practice Police Reform Work: 1994-Present*. p. 28. <https://www.justice.gov/crt/file/922421/download>; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 125. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Campaign Zero. #8CantWait. 

of weapons and contraband, arrests made or summonses issued, from African Americans and Latinos was actually 8% lower than that for Whites.³³

As Professors David Rudovsky and David Harris noted in a 2018 legal analysis, “Blacks and Latinos were more likely to be frisked than Whites, even though Whites were more likely to be found in possession of weapons.”³⁴ Indeed, 88% of all stops resulted in no law enforcement action at all. The court also cited the role of Stop and Frisk in deteriorating the relationship between the NYPD and communities of color.³⁵

Discriminatory or Bias-Based Stops, Searches and Arrests

Racial and ethnic profiling erodes trust in the police and unfairly targets minority communities. Bias based upon race, sex, national origin, gender identity and expression and sexual orientation, among other factors, is fundamentally unjust, and damages the targeted individuals, their communities, and the relationship with law enforcement that is necessary to build trust and effective policing.³⁶

You should consider analyzing the demographics of the individuals stopped and ticketed in your community to determine if there is any evidence

³³ *Floyd et al. v. City of New York*, 959 F. Supp.2d 540 (S.D.N.Y. 2013); Rudovsky, D. and Harris, D. Terry Stops-and-Frisks: The Troubling Use of Common Sense in a World of Empirical Data, pp. 33-35. *Ohio State Law J.* <https://www.law.upenn.edu/live/files/7898-rudovskyoslj>; Goldstein, J. (August 12, 2013). Judge Rejects New York’s Stop and Frisk Policy. *The New York Times*. <https://www.nytimes.com/2013/08/13/nyregion/stop-and-frisk-practice-violated-rights-judge-rules.html>.

³⁴ Rudovsky, D. and Harris, D. Terry Stops-and-Frisks: The Troubling Use of Common Sense in a World of Empirical Data, p. 35. *Ohio State Law J.* <https://www.law.upenn.edu/live/files/7898-rudovskyoslj>.

³⁵ *Floyd et al. v. City of New York*, 959 F. Supp.2d 540 (S.D.N.Y. 2013); Rudovsky, D. and Harris, D., Terry Stops-and-Frisks: The Troubling Use of Common Sense in a World of Empirical Data, pp. 33-35. *Ohio State Law J.* <https://www.law.upenn.edu/live/files/7898-rudovskyoslj>.

³⁶ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. p. 96. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

commit them are stopped at the outset, then the conditions that create more serious crimes can be avoided.²⁹

“Stop and Frisk” was an offshoot of the “Broken Windows” policing theory. Under “Stop and Frisk,” police departments adopted a policy of temporarily detaining individuals on the street based upon a “reasonable suspicion” of minor crimes and performed a pat-down search of these individuals looking for more serious criminal conduct, such as possession of contraband or weapons.³⁰ A 2019 report by the Leadership Conference on Civil Rights found that Stop and Frisk contributes to racial disparities in policing due to the wide latitude officers have in stopping for “reasonable suspicion” and its use as a primary enforcement tactic in communities with higher rates of crime.³¹

Indeed, the NYPD’s use of Stop and Frisk was determined to be unconstitutional by a federal judge in 2013.³² The court found that the practice had a vastly disproportionate impact on minorities. A total of 83% of stops based on “reasonable suspicion” were of African Americans and Latinos. Further, the empirical data presented at trial showed that a relatively low percentage of NYPD searches of African Americans and Latinos resulted in the recovery of contraband. Moreover, the “hit rate,” as measured by the recovery

²⁹ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*. pp. 20-22. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

³⁰ *Id.* at 79-86.

³¹ *Id.* at 81.

³² *Floyd et al. v. City of New York*, 959 F. Supp.2d 540 (S.D.N.Y. 2013).

These best practices offer a promising framework for local governments to begin planning, but you should form your community policing plan around the individual circumstances and desired outcomes of your community. A successful community policing model must be locally-driven and requires buy-in and support from community members and local stakeholders.

Specific policing strategies that have raised concerns among the public.

Advocates, experts and some in the law enforcement community have drawn attention to a number of specific policing strategies that they believe pose an undue risk of harm to the public.

Your police department may use some or all of these strategies. As discussed here, some strategies that are currently in use may have a record of causing harm to individuals in your community.

You should examine the practices of your police department to determine which, if any, of these strategies are in use, and you should consider whether any of them need to be reformed, curtailed or discontinued.

“Broken Windows” and “Stop and Frisk”

“Broken Windows” policing rests on the theory that minor offenses committed in public, such as turnstile jumping or disorderly conduct, contribute to a degradation of society that, in turn, incubates more serious crimes. Under this theory, where these minor crimes and the individuals who

partnership; transparency and accountability to communities and democratic government; and decentralized, proactive, community-based solutions to community public safety priorities.”²⁷

Community policing also emphasizes cultural change within law enforcement agencies. The success of this culture change requires the support and leadership of the local government chief executive and the head of the police department. The goal is to establish a culture of community service and problem solving throughout the organization.

For your consideration, the U.S. Department of Justice’s Office of Community Oriented Policing Services recommends the following best community policing practices:²⁸

1. Create a comprehensive community policing strategic plan.
2. Train all personnel on community policing – including overcoming distrust.
3. Foster an atmosphere of openness and transparency.
4. Adopt procedural justice as a guiding principle.
5. Prioritize law enforcement personnel safety and wellness.
6. Engage the community in a true partnership to address crime and disorder issues.
7. Treat every contact as an opportunity to engage positively with a community member.
8. Measure social cohesion and work to develop relationships.
9. Reevaluate metrics of community policing success.
10. Incorporate community policing measures into the performance evaluation process.

²⁷ U.S. Department of Justice Civil Rights Division. (January 2017). *The Civil Rights Division’s Pattern and Practice Police Reform Work: 1994-Present*. <https://www.justice.gov/crt/file/922421/download>.

²⁸ U.S. Department of Justice Office of Community Oriented Policing Services. *Law Enforcement Best Practices: Lessons Learned from the Field*. 2019. <https://cops.usdoj.gov/RIC/Publications/cops-w0875-pub.pdf>.

II. Employing Smart and Effective Policing Standards and Strategies

Effective policing requires standards and strategies that advance the goals of protecting the community, engaging with the citizenry in a meaningful and multi-faceted way and demonstrating respect for individuals during law enforcement interactions. Policing is a partnership with the community, requiring a foundation of positive, trust-based relationships with all segments of the population. It is critical that the strategies employed and the manner by which law enforcement interacts with the population are in line with the community's expectations for its police force.

1. Procedural Justice and Community Policing

Section I described the concept of procedural justice and how to examine whether your police department uses those principles to inform its interactions with the public. As discussed above, those principles encourage you to always consider how the police will interact with the public – the process – instead of focusing solely on the law enforcement outcomes of your decision making.

Moreover, the concept of community policing provides another set of organizing principles for establishing a successful policing model. The premise of community policing is that community participation and assistance are crucial for maintaining public safety and building a police force responsive to the public. It focuses on “strong relationships and collaboration between police and the communities they serve; the application of modern management practices and organizational structures to create a culture of community

- Does your community want to limit the use of military equipment and other tactics such as use of water cannons, rubber pellets, acoustic weapons and tear gas for crowd control purposes?
- Does your community want to limit the acquisition of military equipment altogether?
- Does your community want to have its police officers trained in de-escalation techniques specifically designed to engage with protesters and large crowds?

The policies and procedures police agencies employ for crowd control should minimize the appearance of a military operation and use of force, prioritize citizens' First Amendment rights and effective communication with demonstrators, avoid the use of provocative tactics and equipment that undermine civilian trust, utilize "soft look" uniforms and open postures instead of riot gear and military formation when it is safe to do so, and employ a layered response that prioritizes de-escalation.²⁶

²⁶ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. p. 25. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

community relations. You should consider whether your current police department staffing should be adjusted to include fewer uniformed officers and more civilians.

How Should the Police Engage in Crowd Control? Should the Police be “Demilitarized”?

Police departments have acquired surplus military equipment from the federal government, including body armor, armored vehicles, grenade launchers, and bayonets. The rationale for these acquisitions is that the equipment will assist local law enforcement in combatting terrorism and drug trafficking.

This military equipment, however, has also been used for crowd control, along with other tactics such as the use of water cannons, rubber pellets, acoustic weapons, and tear gas to subdue or move a crowd. Civil rights advocates, among others, have criticized the militarization of crowd control arguing that not only has it failed to increase public safety but it actually results in escalation and increases the risk that excessive force will be used.²⁵ Consider the following:

- How should your police force conduct crowd control activities?

²⁵ Fair and Just Prosecution. *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 8. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 185. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Congressional Research Service. (June 25, 2020). *Congress and Police Reform: Current Law and Recent Proposals*, p. 5. <https://crsreports.congress.gov/product/pdf/LSB/LSB10486>.

keeping students and teachers safe through a more moderated police presence or through alternatives to law enforcement altogether.

If you have SROs in your schools, consider the creation of a memorandum of understanding or agreement between the police department and the school district so as to clearly establish their roles and responsibilities. For example, these provisions could limit or eliminate altogether police involvement in student discipline matters, or require special training for SROs in conflict resolution and alternatives to arrest when dealing with youth truancy in a school setting.²⁴

2. Staffing, Budgeting, and Equipping Your Police Department

Once you have identified the role of the police in your community and the functions you want them to perform, those factors should inform the review, development, and implementation of the staffing levels, budget, and equipment you want your police department to have.

What are the Staffing Needs of the Police Department the Community Wants? Should Components of the Police Department Be Civilianized?

Some stakeholders have suggested that functions currently performed by uniformed officers could instead be assigned to civilian employees in the police or other departments, particularly functions that involve interactions with citizens and that do not call for an arrest or potential use of force. These stakeholders contend that civilianization would lead to improved police-

²⁴ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. p. 48. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

Should Law Enforcement Have a Presence in Schools?

Schools districts often have agreements in place with their police department to station uniformed School Resource Officers (SROs) in their schools. School districts may employ SROs for a number of reasons, but most SRO programs are intended to increase the safety of schools and their students and teachers. Organizations like Fair and Just Prosecution argue that the presence of police in the schools results in student arrests and unnecessary contact between youth and the criminal justice system for what otherwise would be considered truancy or teenage misbehavior.²² Particularly for students of color, these early interactions with the criminal justice system for disciplinary issues has led some to coin this phenomena the “school to prison pipeline.”²³

If applicable, you should examine your community’s use of SROs and determine if their deployment in schools best serves the needs of the students and reflects the needs and values of the community. Consider how police officers are deployed in your schools and any policies or agreements you have in place with respect to student discipline; e.g. are they acting in a manner that supports safety or are they used in disciplinary matters that are better handled through engagement with parents, conflict resolution strategies, or other disciplinary measures? Examine whether your community can meet its goals of

²² Fair and Just Prosecution. *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>; Nelson, L. and Lind, D., *The School to Prison Pipeline, Explained*. <http://www.justicepolicy.org/news/8775>.

²³ Nelson, L. and Lind, D., *The School to Prison Pipeline, Explained*. *Justice Policy Institute*. <http://www.justicepolicy.org/news/8775>; Fair and Just Prosecution. *Blueprint for Police Accountability and Reform: A New Vision for Policing and the Justice System*, p. 11. <https://fairandjustprosecution.org/wp-content/uploads/2020/06/Policing-Roadmap-FINAL.pdf>.

“88% had had someone close to them shot, most commonly a close friend, cousin, or sibling.” These young people, who almost universally have experienced violence in their lives, do not feel served or protected by the status quo. Reforming and reinventing policing should address not just the relationship between police and these communities, but how best to provide protection for these communities.

What function should 911 call centers play in your community?

It is important for communities to evaluate the functions of their 911 call centers as well as the roles and responsibilities of the individuals who serve as call-takers. Since 911 largely serves as the catalyst to police involvement in most instances, communities should consider how those calls are received, evaluated, and triaged for resolution to determine if any changes could be made to more effectively improve public safety. Consider the following:

- Who currently staffs your 911 call centers?
- Are all calls routed to law enforcement, fire, or EMS?
- Are there other social services that should be more fully integrated into 911 call centers and the triage process?
- Would call-takers need new training if your community wanted to shift response functions toward social services?
- Should 911 call centers be operated by law enforcement, other social service agencies, or a combination of agencies?

York City Council and the Department of Probation that focuses on life skills and educational advancement.¹⁸

- **Youth Development:** Programs relying on mentors from the community to teach young people skills such as photography, computer programming and entrepreneurship may help young people find a stable career path. For example, the Brownsville Learning Lab located at the Brownsville Community Justice Center offers young people additional assistance with their educational work and provides peer mentors and resume guidance.¹⁹
- **Addressing Trauma and Preventing Violence at Home:** Family counseling may help children avoid foster care and manage trauma caused by violence at home, giving the child better prospects for successful development. There are programs that create a collaborative approach to help families manage trauma. For example, Strong Starts Court Initiative is an example of a program that creates a network of community based services required for family stability.²⁰
- **Design of Public Spaces:** Some localities have sought to reduce crime by identifying features of the built environment that create opportunities for crime, such as lighting, landscaping or the design of public spaces, and modifying those features.

In a 2020 Center for Court Innovation survey, New York City youth at high risk of gun violence reported that violent victimization is a pervasive experience in their lives.²¹ Eighty-one percent had been shot or shot at, and

¹⁸ For more information on the Staten Island Justice Center, please visit:
<https://www.courtinnovation.org/programs/staten-island-justice-center>

¹⁹ For more information on the Brownsville Community Justice Center, please visit:
<https://www.courtinnovation.org/programs/brownsville-community-justice-center>.

²⁰ For more information on the Strong Starts Court Initiative, please visit:
<https://www.courtinnovation.org/programs/strong-starts-court-initiative>

²¹ Center for Court Innovation. "Gotta You're your Own Heaven' Guns, Safety, and the Edge of Adulthood in New York City." Pg. 13

- **Community Based Outreach and Violence Interruption:** Community based outreach and violence interruption programs are derived from public health models of gun violence, that treat gun violence like a disease by identifying its causes and interrupting its transmission. The NYS Division of Criminal Justice Services (DCJS) administers a Street Outreach Program, called SNUG, that uses an evidence-based model to identify individuals at a high risk of engaging in gun violence. SNUG addresses the issues that may prompt those individuals to use a gun, and aims to change community norms and attitudes that accept violence as a part of life. The program employs street outreach workers who live in the communities where they work, many of whom had previously been engaged in street-level crime and served terms of incarceration. These credible messengers have legitimacy within the community and can be a positive force for change and crime reduction in neighborhoods with historically high levels of crime. SNUG programs are active in Albany, Bronx, Buffalo, Hempstead, Mount Vernon, Poughkeepsie, Rochester, Syracuse, Troy, Wyandanch, and Yonkers. DCJS recently implemented the SNUG Social Work and Case Management program at all of its SNUG sites. This program employs social workers to work with program staff, youth in the community, and crime victims to address long-term trauma and connect individuals with social and victim service agencies.¹⁷ You should engage with SNUG and other violence prevention programs active in your community and consider implementing programs of your own to improve public safety.
- **Parent Support:** There are times when parents or caregivers who are unable to control or guide a wayward child, reach a point of desperation and call the police. Support programs run by social workers help to mediate family conflict and may prevent the need for law enforcement. For example, Justice Community Plus is a job-readiness program within the Staten Island Justice Center undertaken in collaboration with the New

¹⁷ For more information on SNUG and the Social Work and Case Management program, please visit: <https://www.youtube.com/watch?v=eHFdAG5IEtA&feature=youtu.be>.

for mental health professionals to work at its 911 call center to ensure the EMCOT team is able to divert and respond to these calls immediately.¹⁶

You should consider whether your community should follow these or similar examples:

- Do you want police to respond to mental health calls?
- Do you want police to respond to substance abuse/overdose calls?
- Do you want police to respond to calls regarding the homeless?
- Are there other matters for which the community currently turns to its police for assistance that might be better addressed by others with different skills and expertise?

In each of these situations, consider:

- Does a law enforcement response or response from another agency better promote public safety?
- Which does more to further another governmental objective?
- Would it be useful for social service providers to work alongside the police in these circumstances, or separately?

Can Your Community Reduce Violence More Effectively by Redeploying Resources from Policing to Other Programs?

Some stakeholders have suggested that investment in social services may yield better results for enhancing public safety and reducing patterns of violence than investment in policing. Proposals include:

¹⁶ For more information on the EMCOT program, please visit:
<https://www.austintexas.gov/edims/document.cfm?id=302634>

program found that it provided patients with a “safe environment” and an “open and safe place for dialogue.”¹¹

In Eugene and Springfield, Oregon, local police and social service providers collaborate to reroute calls that do not involve a legal issue or extreme threat of violence or risk to the individual or others.¹² In these instances, officials send a team comprised of a medic and a crisis worker to respond to these calls. The responders assess the situation, assist the individual, and connect that individual with a higher level of care or services as needed. City officials estimate that this program, named CAHOOTS, has saved Eugene more than \$15 million a year.¹³ The program is also safe, as police backup was called 150 times out of a total of about 24,000 calls, only 0.6% of calls.¹⁴

Other cities – such as Denver and Austin – utilize social workers and health professionals to divert 911 calls relating to non-violent situations. Denver recently launched a six-month pilot program named Support Team Assisted Response (STAR) which deploys teams of these professionals to respond to 911 calls for people experiencing mental health crises, homelessness, or drug addiction.¹⁵ Since 2013, Austin's Expanded Mobile Crisis Outreach Team (EMCOT) has similarly handled crisis calls and behavioral health situations directly instead of the police. The city recently added funding

¹¹ Karla Adam and Rick Noack. The Washington Post. *Defund the Police? Other countries have narrowed their role and boosted other services.* June 14, 2020. https://www.washingtonpost.com/world/europe/police-protests-countries-reforms/2020/06/13/596eab16-abf2-11ea-a43b-be9f6494a87d_story.html;

¹² Crisis Assistance Helping Out On the Streets at <https://whitebirdclinic.org/services/cahoots/>

¹³ For more information on CAHOOTS, please visit: <https://whitebirdclinic.org/services/cahoots/>

¹⁴ National Public Radio. *‘CAHOOTS’: How Social Workers and Police Share Responsibilities in Eugene, Oregon.* June 10, 2020. <https://www.npr.org/2020/06/10/874339977/cahoots-how-social-workers-and-police-share-responsibilities-in-eugene-oregon>.

¹⁵ For more information on the STAR program, please visit: <https://caring4denver.org/>

Consider what grievances your community has had with its police force in the past and what you can learn from those instances.

- How often are complaints made about the police?
- Do particular units or assignments draw an outsized share of complaints?
- Do complaints come from a particular portion or portions of the community?
- What conduct is commonly complained about?

Should you deploy social service personnel instead of or in addition to police officers in some situations?

Some jurisdictions are utilizing agencies other than the police to address situations that fall more squarely within the expertise of other professionals. Your community may be relying on police to respond to calls involving individuals with mental illnesses or substance abuse issues, for instance. Officials in Stockholm, Sweden created a program for mental health professionals to respond to mental health calls instead of police.¹⁰ Under this model, teams of two trained nurses and a driver respond to these calls in an emergency vehicle without police officers. This not only frees up police resources to focus on criminal activity, but also is more effective at de-escalating scenarios involving a person with mental illness. An analysis of this

¹⁰ Lindström V, Sturesson L, Carlborg A. (2020) Patients' experiences of the caring encounter with the psychiatric emergency response team in the emergency medical service-A qualitative interview study. Health Expectations: An International Journal of Public Participation in Health Care and Health Policy. <https://europepmc.org/article/med/31967699>

in turn, is more likely to follow the law because it has trust in the criminal justice process and feels that it shares common values with law enforcement.⁹

1. Determining the Role of the Police

Stakeholders critical of the current scope of police responsibilities have largely proposed two types of reforms. First, some argue that the police should not be involved in responding to non-criminal conduct. Second, some propose curtailing police activities that pose a risk of overreaction to minor offenses.

What role do the police currently play in your community?

To ensure a fact-based dialogue, all participants should understand the current role of the police department in your community, as well as the level of satisfaction or dissatisfaction with policing felt by residents. Different parts of the community may feel differently about the police's role within the community, and it is important to take all perspectives into account.

- What are the primary activities of police officers in your community?
- Why are people calling 911?
- In what situations do police self-initiate interventions in the community?

⁹ *Id.* at 9-12; Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, pp. 94-96.; Johnston, R., Gripp, C., O'Brien, T., Sarnoff, C. and Cox, A. *A Study of Procedural Justice & Criminal Justice System Legitimacy*. NYC Mayor's Office of Criminal Justice and The Justice Collaborative, Yale Law School, pp. 73-75.
https://law.yale.edu/sites/default/files/area/center/justice/document/justice_collaboratory_mocj_report_0701_2.pdfhttps://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf; Johnston, R., Gripp, C., O'Brien, T., Sarnoff, C. and Cox, A. *A Study of Procedural Justice & Criminal Justice System Legitimacy*. NYC Mayor's Office of Criminal Justice and The Justice Collaborative, Yale Law School, pp. 73-75.
https://law.yale.edu/sites/default/files/area/center/justice/document/justice_collaboratory_mocj_report_0701_2.pdf.

How should the police and the community engage with one another?

Even before considering the specific functions your community expects its police force to perform, you should consider broader questions about the relationship between the police and the community. The concept of procedural justice is useful to guide this process. Procedural justice focuses on the manner in which law enforcement interacts with the public, and how these interactions shape the public's trust of the police. The premise, according to the Leadership Conference on Civil and Human Rights, is that citizens judge the police "based on *how they are treated* rather than on the outcomes of interactions,"⁵ and the mandate is to retool the rules of engagement for police officers from that of "warrior" against segments of the population to that of "guardian" to protect the entire population.⁶ President Obama's Task Force on 21st Century Policing adopted procedural justice as the principle that should guide law enforcement interactions both internally with their colleagues and externally with the citizens they serve.⁷

The Task Force on 21st Century Policing outlined the four pillars of procedural justice: treating individuals with dignity and respect; giving individuals a voice during law enforcement interactions; being neutral and transparent in decision making; and conveying trustworthy motives.⁸ Implementing procedural justice principles helps the community trust that officers are honest and acting with just and lawful intentions. The community,

⁵ Leadership Conference on Civil and Human Rights. (2019). *New Era of Public Safety: A Guide to Fair, Safe, and Effective Community Policing*, p. 17. https://civilrights.org/wp-content/uploads/Policing_Full_Report.pdf.

⁶ *Id.* at 36 and 262-63

⁷ United States Department of Justice. (2015). *Final Report of the President's Task Force on 21st Century Policing*. pp. 9-12. https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

⁸ *Id.* at 10.

I. What Functions Should the Police Perform?

A relationship of trust and respect between law enforcement and the community must be based on a shared understanding of the functions each community wants its police force to perform.

The protests following the death of George Floyd have raised important questions about the appropriate role of the police, size of police departments and resources devoted to policing. Some protesters have called for “defunding the police.”^{3,4} In Minneapolis – where the death of Mr. Floyd occurred – a majority of City Council members pledged to dismantle their police department and create a new public safety system for the city. Stakeholders in other communities have suggested adjusting the scope of responsibilities assigned to the police department and adjusting its budget correspondingly, shifting resources to social services, community programs, housing, and education to focus on crime reduction.

All communities should be asking what goals they want their police department to accomplish. Meaningful reform will require honest and thoughtful discussion about these goals among local government leaders, law enforcement officials and community members. All participants should recognize that funds are limited, that personnel must be trained and managed for the tasks they are given, and that organizational change is rarely swift.

³ Scottie Andrew. CNN. *There's a growing call to defund the police. Here's what it means.* June 17, 2020. <https://www.cnn.com/2020/06/06/us/what-is-defund-police-trnd/index.html>.

⁴ Dionne Searcey and John Eligon. The New York Times. *Minneapolis Will Dismantle Its Police Force, Council Members Pledge.* June 7, 2020. <https://www.nytimes.com/2020/06/07/us/minneapolis-police-abolish.html>.

- **Discovery Reform:** New York was previously one of only 10 states that enabled prosecutors to withhold basic evidence until the actual day a trial begins. Under the previous system, people accused of a crime could be denied access to information that makes it possible for them to make decisions about their case and build an adequate defense. We reformed the discovery process, requiring the sharing of information well before a trial takes place, restoring fairness and equality before the law.
- **Speedy Trial Reform:** Defendants are too often held in custody for excessive periods of time before their day in court while the court system remains overburdened with an overwhelming number of cases. These delays have a disparate impact on low-income and minority communities. Governor Cuomo signed into law a guarantee that criminal cases proceed to trial without undue delay.
- **Raised the Age and Juvenile Justice Reform:** New York raised the age of criminal responsibility to 18-years-old, ensuring that young people in New York who commit non-violent crimes receive the intervention and evidence-based treatment they need. We have also invested millions to support this reform through a continuum of effective prevention, diversion, treatment, re-entry and supervision services for youth at the state and local level.
- **Required Videotaping of Interrogations and Permitted Photo Identifications into Evidence:** We now require law enforcement to video-record custodial interrogations for serious offenses and set out a procedure to allow properly-conducted witness identification of suspects using photo arrays into evidence at trial.

This Collaborative will continue New York's progress on criminal justice reform.

- **Prohibited Race-Based 911 Calls:** In recent years, there has been an increase in the number of frivolous or false calls to 911 due solely to the caller's bias toward certain individuals rather than any particular threat to public safety. This law makes it a civil rights violation to call 911 to report a non-emergency incident involving a member of a protected class without reason to suspect a crime or imminent threat.
- **Appointed the Attorney General as Independent Prosecutor for Police Involved Deaths:** In 2015, Executive Order 147 designated the Attorney General as a special prosecutor for cases where police officers are involved in deaths of unarmed civilians. This year, legislation created a permanent Office of Special Investigation within the Office of the Attorney General, empowered to investigate and, where appropriate, prosecute cases where the death of a person follows an encounter with a law enforcement officer.
- **Reduced the Prison Population and Lowered Crime Rates:** Since Governor Cuomo took office, New York State has closed 26 adult and juvenile detention facilities – more than any other administration in state history. These prison closures eliminated approximately 6,600 beds. We have also decreased the prison population by nearly 19,000 people – a 33% reduction – from 56,419 to 38,312 people, as of July 5, 2020. At the same time, New York State has remained the safest large state in the country with the lowest index crime rate among the most populous states.
- **Bail Reform:** New York overhauled our bail and pre-trial detention system. The previous bail system failed to recognize that freedom before trial should be the rule, not the exception, and by tying freedom from incarceration to money, it created a two-tiered system that puts an unfair burden on the economically disadvantaged. Among other reforms, the law eliminated cash bail for misdemeanors and non-violent felonies.

and 88% reported that a family member or friend had been shot.² These staggering numbers underscore that mutual trust and respect is essential both for the legitimacy of police forces and for their effectiveness.

The Collaborative is not the first step in New York State's journey of criminal justice reform. Over the past decade, we have enacted meaningful changes to reform our criminal justice system and end mass incarceration, including:

- The **"Say Their Name" Reform Package**: These landmark reforms include:
 - **Repealed 50-a**: Section 50-a of Civil Rights Law previously prohibited disclosure, except under very limited circumstances, of personnel records for police officers, corrections officers, firefighters, and paramedics employed by the State or political subdivisions. This privilege, not granted to other public employees, shielded records from being disclosed that involved serious misconduct or disciplinary actions to the detriment of transparency and accountability for law enforcement officers. The repeal of 50-a will increase transparency by allowing the disclosure of personnel records involving serious misconduct or criminality and building trust between law enforcement and the communities they serve.
 - **Banned Chokeholds**: This law bans the practice of using chokeholds by law enforcement, by making use of a chokehold a criminal offense if it causes serious physical injury or death.

² Center for Court Innovation. "Gotta You're your Own Heaven' Guns, Safety, and the Edge of Adulthood in New York City." Pg. 13
https://www.courtinnovation.org/sites/default/files/media/document/2020/Report_GunControlStudy_08052020.pdf

Part 1: Key Questions and Insights for Consideration

The purpose of the New York State Police Reform and Reinvention Collaborative is “to foster trust, fairness and legitimacy” within communities throughout our State and “to address any racial bias and disproportionate policing of communities of color.” The United States Department of Justice has emphasized the need for “trust between citizens and their peace officers so that all components of a community are treating one another fairly and justly and are invested in maintaining public safety in an atmosphere of mutual respect.”¹

All public officials and community leaders understand the critical importance of police departments’ core mission. Government must ensure residents’ sense of personal security in order for communities to thrive and prosper. Police-community relationships must facilitate, rather than impede, law enforcement’s success in protecting the public against violence and other criminal behavior.

The work of this Collaborative will be particularly valuable in communities that through bitter experience have come to mistrust law enforcement. A recent study found that in neighborhoods with a high incidence of gun violence, only 35% of young people aged 16-24 said they believe that police officers “try to protect the public from violent crime.” At the same time, 81% of these young people reported having themselves been shot or shot at,

¹ U.S. Department of Justice, Office of Community Oriented Policing Services. *Final Report of the President’s Task Force on 21st Century Policing*. May 2015.
https://cops.usdoj.gov/pdf/taskforce/taskforce_finalreport.pdf.

Note: The guidebook presented here is not intended to be the plan for any given community. Rather, it includes critical questions, information, and resources to frame and guide each community's dialogue. There are references provided to give participants access to a range of ideas and research on every topic to delve deeper into the issues.

Local elected officials are the natural position to convene the process. If the local electeds are unable or unwilling to manage the collaborative, the state can select an appropriate convener for that jurisdiction.

Change is hard. But change is necessary if we are to grow. The tension must be resolved. Order and public safety must be ensured. I am excited by the possibilities and I am hopeful that this time of crisis will evolve into a moment of creativity and progress. It is normal to make adjustments to fit changing values and circumstances.

We are addressing the COVID crisis by acknowledging the problem, having productive dialogue and by working together. Let's do the same here.

This is an opportunity to reinvent law enforcement for the 21st century.

Governor Andrew M. Cuomo

August 2020

diverse state, there is no “one size fits all” solution. To rebuild the police-community relationship, each local government must convene stakeholders for a fact-based and honest dialogue about the public safety needs of their community. Each community must envision for itself the appropriate role of the police. Policies must be developed to allow the police to do their jobs to protect the public and these policies must meet with the local communities’ acceptance.

“Collaborative” is the key word. It would be a mistake to frame these discussions as an adversarial process or an effort to impose top-down solutions. Issues must be aired but solutions must be crafted. The collaborative process should:

- Review the needs of the community served by its police agency, and evaluate the department’s current policies and practices;
- Establish policies that allow police to effectively and safely perform their duties;
- Involve the entire community in the discussion;
- Develop policy recommendations resulting from this review;
- Offer a plan for public comment;
- Present the plan to the local legislative body to ratify or adopt it, and;
- Certify adoption of the plan to the State Budget Director on or before April 1, 2021.

I urge everyone to begin these discussions immediately. Restoring the relationship between the community and the police is in everyone's best interest, and conversation may be required to enable each stakeholder to understand others’ points of view. Time is short.

A Message from Governor Cuomo

Many communities all across the country are dealing with issues concerning their police departments. The millions of people who gathered in protest, even in the midst of a public health crisis, made that clear. The situation is unsustainable for all.

Maintaining public safety is imperative; it is one of the essential roles of government. In order to achieve that goal, there must be mutual trust and respect between police and the communities they serve. The success and safety of our society depends on restoring and strengthening mutual trust. With crime growing in many cities, we must seize this moment of crisis and turn it into an opportunity for transformation.

While the conflict is real and the issues are complicated, we know in New York that denial or avoidance is not a successful strategy. To that end, on June 12, 2020, I signed an Executive Order requiring each local government in the State to adopt a policing reform plan by April 1, 2021. The Order authorizes the Director of the Division of the Budget to condition State aid to localities on the adoption of such a plan.

To ensure these plans are developed through an inclusive process, I called for the New York State Police Reform and Reinvention Collaborative. With more than 500 law enforcement agencies in our large and

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**New York State
Police Reform and Reinvention
Collaborative**

Resources & Guide for Public Officials and Citizens

August 2020

**NEW YORK STATE
POLICE REFORM
AND REINVENTION
COLLABORATIVE**

**RESOURCES & GUIDE FOR
PUBLIC OFFICIALS AND CITIZENS**

AUGUST 2020

APPENDIX

- GOVERNOR'S MISSION STATEMENT
- COMMUNITY COMMENTS

IMPLEMENTING PROCEDURAL JUSTICE

BY: Jimmy Buff

METRICS FOR SUCCESS

Success may be hard to define in a year; building trust takes time and one wrong move along the way can be a big setback. Additionally, quantifying with data may be difficult for the same reasons. What could be used a gauge for success is how the community feels about the efforts of law enforcement. That could be best ascertained by regular community conversations around how law enforcement and the public they serve are relating. Is the culture changing with law enforcement? Is the community responding? Does it feel better and is there data to suggest a more collaborative process between the community and law enforcement?

IMPLEMENTATION IN YEARS ONE THROUGH FIVE

Simply, the community feels safer from both crime and from onerous police presence and behaviors. In addition, the statistics back that up with less need for police response and, in the cases where that is needed, a trust that all are working for the betterment of the community.

IMPLEMENTING PROCEDURAL JUSTICE

BY: Jimmy Buff

People want an opportunity not only to understand what is happening but also to feel they have an opportunity for a voice to ensure their side of the story is heard. No one likes to feel their future is being decided upon at another person's whim; rather, people want a voice or representation in decisions that may directly affect them. We all want decision making to be guided by impartiality, ensuring that biases did not influence the decision and ultimately the outcome.

Procedural justice focuses on the way law enforcement—particularly front-line officers—and other legal authorities interact with the public. It's our understanding that the Kingston Police Department has indeed received some "one off" procedural justice training meaning it's a one-time thing, with the course being just 8 hours long and with no consistent follow up. It is our suggestion that this training be ongoing, with regular maintenance so it's a continuing process, with regular evaluation. Community trust is not a slogan or achieved with a certificate of completion of a mandated course - it's an action, an imperative and a true conviction from all involved that this a desired path forward for improving public safety for all parties.

HOW TO OVERCOME IDENTIFIED BARRIERS?

Potential barriers include the police union, who may claim that this type of training isn't covered in a Kingston police officer's scope of work. Another barrier may be in the form of reluctance of the rank and file themselves.

Education in the form of presentations from other law enforcement in other municipalities where PJ has worked is one suggestion. Identifying those within KPD who are on board with the idea and can be ambassadors of the idea is another possibility. Of course, funding is an issue but just as grants are available for license plate readers, so too are grants available for Procedural Justice training and continuing support. The city budget can also support this too.

In the short term, before a complete PJ initiative begins, community meetings between law enforcement and community members and community organizations can be held to help articulate the challenges faced by the way public safety is practiced in Kingston and to hear from law enforcement about their role in that and how they think they could better serve the community.

IMPLEMENTING PROCEDURAL JUSTICE

BY: Jimmy Buff

THE PROBLEM

Encounters with police are too often oppressive to the people being stopped, ticketed or questioned by law enforcement. The attitude of law enforcement during these encounters can greatly influence the outcome of the interaction, with aggressive, command presence behavior leading to a hostile and tension filled response for all involved. This method of policing leads to an inequity in the relationship between law enforcement and the communities they are charged with serving, leading to a distrust between community members and police.

RECOMMENDED SOLUTIONS

One aspect of better and right relationship between the police and the community they serve is Procedural Justice training, which focuses on the way front line police officers interact with civilians. It emphasizes treating people with dignity and respect and **giving people a voice during encounters, which underscores equal footing and promotes community trust.**

From the Office of Community Oriented Policing Services (COPS), A component of the United States Department of Justice, created in 1994:

“Procedural justice is a framework with which officers can build effective policing efforts in their communities as they interact with the public. It refers to the practice of treating the public in all encounters fairly, providing them with a voice during the interaction, and ensuring the process is transparent and impartial, thus demonstrating a level of respect. When the principles of procedural justice cooperate with are applied—in all interactions—there is a greater willingness by the public to voluntarily obey the law and the police, consequently decreasing overall crime rates and enhancing officer safety. Procedural justice also leads to relationships in which the community has increased trust and confidence in the police and believes there are shared interests, goals, and values between the community and police.”

Procedural Justice is policing from a peripheral vs. a top-down view: we the people are all equals in most encounters with police. Again, from the Office of Community Oriented Policing Services: “

The process of decision making in police interactions requires transparency and openness: decisions should unfold out in the open as much as possible, and the reasoning behind decision making should be explained clearly when appropriate.

GREATER POLICE TRANSPARENCY

BY: Manuel Blas

All these requirements are for a better and more comfortable and safe interaction between the community and the police officer. This achievement depends exclusively on how each of these police officers interacts. The police can have done everything technically correct, but if the detained citizen views the interaction differently, there is a possibility that the error will be measured. Body cams must be a tool to capture these interactions.

Executive Order 203, given by the Governor is clear. People of color are disproportionately suffering the consequences of an interaction based on racial biases or interactions founded in fear by one or both of the parties involved. It is necessary to keep in mind that an improvement in interactions between officers and communities of color will improve the quality and the way these interactions will take place in the future.

We can start from individual interactions to generate a general report on the perception between the community and each of the police officers.

IMPLEMENTATION IN YEAR ONE

- Ensure business card printing is done and provide guidance to officers.
- By the end of 2021, close to 95% of the time, officers have offered their cards and 70% of the individuals report a good interaction between the police and the individuals on the survey.
- Fewer complaints are seen against the police department.
- The police commission has completed its first report and the first results of the survey on the perception of the value of the police department in the community.

IMPLEMENTATION IN YEAR FIVE

- Each police officer is better known in the community and 90% of the individuals report a good interaction between the police and themselves.
- There are far fewer complaints against the police department.
- The semiannual surveys continue to be carried out and show favorable results on the assessment between citizens and the police force.

GREATER POLICE TRANSPARENCY

BY: Manuel Blas

How we managed to overcome the barriers

Not having the necessary information or not knowing how the interaction between the detained person and the officer occurs, it is impossible to obtain basic demographic data on the people, for that reason we need to implement a way to monitor these interactions, could be an exit survey in which, we would ensure that every time there is an interaction, the basic guidelines are met, and we would ensure that people have been informed of their rights. Provide the necessary follow-up to be able to see the results and the impact of this new policy.

Making real opportunities

There are increased opportunities for engagement among existing responsible agents such as the Kingston Police Commission. Since it already meets periodically, the KPC offer additional information to both the community and the police department. Therefore, having a more complete report from the KPC on interactions between the police and community would make the recommendations more plausible and more concrete.

For mutual interest between the community and the police department, the interactions will favor both, improvements in behavior make the police department healthier. And a healthier department makes the community safer and with greater availability of work in the future.

The commission would obtain a public record of these interactions, both good and bad, so when an officer is seeking promotion or is about to receive a reprimand, these interaction records should be part of their annual job review.

The response to improving the quality of interactions between society and police officers can be measured in the rates of approval or rejection by both parties.
How can these measurements be given?

A random and blind survey among the entire population would be conducted and would give a fair and neutral assessment of the police force.
This survey will measure only the interactions between police officers and the community.
Nothing else.

Questions to consider in the survey

- Has the police officer has offered you a business card?
- If and only if, you get arrested or detained, has the police officer will explain what is occurring?
- Did you feel comfortable and safe with the interaction?
- How many times have you had an interaction with a police officer?
- Rate your interactions with police.
- Rate the police force.

GREATER POLICE TRANSPARENCY

BY: Manuel Blas

THE PROBLEM

The problem is that we do not have data, information, or the necessary tools to give an accurate assessment to understand the quality of interactions between the police and citizens. By not knowing or not having indicators to measure these interactions, it's almost impossible to make a fair judgment, there are no complete reports on the quality of interactions between our community and the police department.

We are aware of complaints about interactions that occur between people of color and the police department, all within our community. Recently, we have heard the case testified in front of the police commission, between a citizen and a police officer, where a representative of the police department ended in a wrong investigation. In this case, in particular, the necessary information was omitted from the person who was being investigated, he was not given a reason why the questions are being asked and how he became a suspicious person.

These interactions are neither healthy nor sustainable, for a long-term relationship. For a healthy relationship between the community and our police department, it is necessary to have mutual respect, where they let us know what the reason for being detained is. We cannot demand respect from the authority without also offering it. This gives us the opportunity for us the citizens to know about how we should interact in case of being pulled over or detained. What is the necessary information we must provide and obtain at the time of interactions with the police? The lack of reports, data, or information of the interactions between police officers and citizens, cannot offer an objective assessment. But we can create a citizen report on the interaction between the police officer and the individual.

RECOMMENDED SOLUTIONS

Proceed completely with the introduction of the business cards. The City of Kingston implemented the Right to Know Act, in which each police officer must give their name and badge number, as well as offer an explanation of why the person is being pulled over or detained every time or provide with the reason to be taken into custody by the police. This offers an opportunity for the community to have an informed interaction, and this would result in a mutually responsible future interaction.

Once officers have presented who they are and informed the reason for the arrest, and if it is necessary to give a deeper explanation, also allows to give necessary information such as their rights, responsibilities, and obligations, that explanation must be based on verifiable facts, for example, "We will do a search of this car because it has been reported as stolen."

CREATING COMMUNITY AMBASSADORS

BY: Naimah Muhammad

METRICS FOR SUCCESS

How trustworthy are the Community Ambassadors?

Random surveys of the community's trust toward police
Random surveys of the community's trust toward Ambassadors -the quality and the effectiveness of the Ambassadors
-Quarterly reporting from CA's will reflect increased comfort with police from the community.
(Community surveying too) - I would suggest going to the homes in lieu of mailing.

IMPLEMENTATION IN YEAR ONE

Please identify specific outcomes you would expect to see in year one.

- Setting up program
- Surveys regarding ambassadors and police interaction
- Formal communication channels have been set up between police and the Ambassadors.
- 4 meetings between the black community and the police
- Community residents know about the Ambassador program measured by surveying
- Ambassadors presented four seminars on citizen rights.
- Documented evidence that officers presented business cards during interactions with citizens 50% of the time.
- Citizen complaints of police interactions dropped by 30%

IMPLEMENTATION IN YEAR FIVE

What are the elements of a successful ongoing implementation of the solution look like?

Examples:

- Survey data indicate positive interactions between the Police and Ambassadors increased
- Police officers are presenting business cards in 95% of interactions with citizens.
- Police officers can identify better with the community and vice versa
- Better knowledge of police procedure and protocol
- Better knowledge of law enforcement
- Better knowledge of citizen rights.

CREATING COMMUNITY AMBASSADORS

BY: Naimah Muhammad

THE PROBLEM

There is a lack of trust between police and the community.

Majorities of both Black and white Americans say Black people are treated less fairly than whites in dealing with the police and by the criminal justice system as a whole. In a 2019 Center survey, 84% of black adults said that, in dealing with police, blacks are generally treated less fairly than whites; 63% of whites said the same. Similarly, 87% of Blacks and 61% of whites said the U.S. criminal justice system treats Black people less fairly. (Desilver, 2019).

RECOMMENDED SOLUTIONS

In some California cities: The Community Ambassadors Program (CAP) is a community safety and neighborhood engagement job training program.

Developed and operated by the Office of Civic Engagement & Immigrant Affairs (OCEIA), CAP hires and trains neighborhood residents to provide a visible, street-smart safety presence in targeted neighborhoods. These ambassadors act as a helpful presence on the streets, provide information and referrals, offer general assistance, and report hazards and emergencies to city agencies.

Community Ambassadors will have quarterly reporting about their assigned area and number of contacts and reason for contact. The community would have an opportunity to participate in a survey about Ambassadors and police interaction.

Have CAP in high demand areas and extend the offer to all wards.

HOW TO OVERCOME IDENTIFIED BARRIERS?

The people may not trust the Ambassadors because they would work closely with the police. I suggest the community members vote these people in based on the person's experience and their efforts to win the vote.

HOW TO LEVERAGE IDENTIFIED OPPORTUNITIES?

- Ambassadors must be from the city or neighborhood they are serving and familiar with the community.
- Offer training that provides information on police protocol and procedures to all who want to be educated. This would make them qualified
- Are trained in safety, crime prevention, cultural sensitivity and community outreach
- Ambassadors will be walking the streets with unique garments to be easily identified.

USING STUDENT RESOURCE OFFICERS IN PUBLIC SCHOOLS

By: Amy Shapiro

This data must be disaggregated by race and should include things like arrests and suspensions, but also small-scale interactions by both SROs and School Safety Agents.

OR

The contract with the KPD has been discontinued and the money spent has been diverted into alternative programs in the school system like restorative justice and threat assessment programs.

IMPLEMENTATION IN YEAR FIVE

Data reviewed annually shows that the revised memorandum is working in practice and that SROs are only playing the role of protecting the students, not surveillance of the students.

OR

More than one alternative program is up and running.

Finally, the KHS Code of Conduct should be amended to make restorative justice a mandatory outcome for all levels of misbehavior. According to a [policy brief by the National Education Policy Center](#) research shows that restorative justice programs have helped reduce exclusionary discipline and narrow the glaring racial disparities in how discipline is handed out in schools.

Developing a restorative culture requires a transformation of school climate. Restorative justice initiatives must encompass not only student behaviors, but also the behaviors of staff, pedagogical choices, and school policies. In order to make a transformation like this sustainable, educators must be supported with ongoing professional development, including coaching, peer mentoring, and professional learning communities. The professional development and coaching could be provided by the RJCEC.

Resources Cited:

<https://ocrdata.ed.gov/search/district>

<https://csgjusticecenter.org/publications/breaking-schools-rules/>

<https://www.fbi.gov/about-us/office-of-partner-engagement/active-shooter-incidents/a-study-of-active-shooter-incidents-in-the-u.s.-2000-2013>

https://www.nyclu.org/sites/default/files/field_documents/mou_recommendations_for_schools_and_police_0.pdf

<https://everytownresearch.org/report/a-plan-for-preventing-mass-shootings-and-ending-all-gun-violence-in-american-schools/>

https://nepc.colorado.edu/sites/default/files/publications/Revised%20PB%20Gregory_0.pdf

USING STUDENT RESOURCE OFFICERS IN PUBLIC SCHOOLS

By: Amy Shapiro

RECOMMENDED SOLUTION(S)

The current agreement between the school district and the police department must be amended to state that children will not be subject to criminal prosecution for offenses that represent developmentally appropriate adolescent behavior, even if those behaviors are technically crimes. The language in the current agreement states this generally but is not specific enough. The language in the agreement should include additional specifics around restraints and student privacy. The NYSCLU has provided a [sample agreement](#) that should be used to modify the current agreement here in Kingston. Finally, steps need to be taken regarding training, the collection of data, and the development of a shared understanding to ensure that the agreement is followed in practice. Currently there is no centralized mechanism for collecting and monitoring data and it is only by reviewing a variety of sources that someone can pull together macro statistics, like the total number of arrests of students within the KCSO during a period of time, while no micro data is being collected, like interactions between SROs, admin and students that don't result in arrests. Additionally, only formal complaints are being collected, without a mechanism for tracking informal complaints.

SROs should be plain clothed and should not bring firearms to school.

If such an MOU cannot be agreed upon and cannot be implemented in practice, then SROs should not be in the KCSO schools. SROs are not a NYS requirement; only the safety plan is required, and a safety plan does not have to include SROs. However, it would be irresponsible to remove the SROs without providing alternative solutions. These solutions could include making restorative justice a mandatory outcome for misbehavior in KCSO and the ability to call a mobile mental health team in a moment of crisis. However, some of the alternative solutions fall outside of the scope of this Task Force because they require the KCSO to transform its culture to provide students with support in conflict navigation and restorative justice.

Finally, it is worth noting that Everytown for Gun Safety, the largest gun violence prevention organization in the United States, has developed a [proactive, research-informed intervention plan](#) to prevent active shooter incidents that would actually make our schools safer from violence. The plan calls for establishing evidence-based threat assessment programs in schools to identify students who may be in crisis, assess the risk, and intervene without relying on the criminal justice system but rather by expanding access to mental health services. The plan does not call for police in schools.

IMPLEMENTATION IN YEAR ONE

A revised agreement is signed by all relevant parties. The agreement contains specific language explaining the roles of the SROs in schools, modeled after the sample agreement provided by the NYSCLU. Data is being collected to determine if the agreement is being followed in practice.

USING STUDENT RESOURCE OFFICERS IN PUBLIC SCHOOLS

By: Amy Shapiro

THE PROBLEM

The push to remove police, or School Resource Officers (SROs), from schools, centers on concerns about racism, brutality and misallocation of community resources.

A major criticism of SROs is that they often become involved in routine student discipline, despite the fact that the current intermunicipal agreement explicitly states:

THE SRO SHALL NOT SERVE AS A SCHOOL DISCIPLINARIAN, AS AN ENFORCER OF SCHOOL REGULATIONS, OR IN PLACE OF SCHOOL-BASED MENTAL HEALTH PROVIDERS, AND THE SRO SHALL NOT USE POLICE POWERS TO ADDRESS TRADITIONAL SCHOOL DISCIPLINE ISSUES.

When talking to members of the Kingston community you will hear numerous stories involving trauma to students at the hands of the SROs. These stories imply that the current agreement isn't being followed and that SROs are serving as school disciplinarians. However, there seems to be a misunderstanding in the community about the difference between an SRO and a School Safety Agent (Security Guard). A School Safety Agent is not employed by the KPD in their capacity in the school, although they are often off duty police officers or corrections officers. It seems that these two positions are conflated in the perceptions in the community and this situation deserves greater investigation to get a better understanding of the current situation.

Research shows that discipline in schools disproportionately affects our Black and brown children and that students who are disciplined by schools are more likely to end up in the juvenile justice system. According to the [Department of Education's Office of Civil Rights Data Collection Division](#), in survey year 2015 Black students represented only 14.5% of the population in KCSD, but they represented 31.9% of In-School Suspensions, 36.1% of Out-Of-School Suspensions and 56.2% of expulsions. Furthermore, a study out of the Public Policy Research Institute titled "[Breaking Schools' Rules](#)" demonstrated that students who are suspended or expelled have a greater likelihood of contact with the juvenile justice system, entrenching the school-to-prison pipeline. In short, in the Kingston City School District we are disproportionately disciplining students of color and this discipline is tied to the criminalization of Black children.

Finally, there is a misconception that police in schools make schools safer and deter mass shootings. A study of [Active Shooters Incidents in the United States between 2000 and 2013](#) conducted by the FBI found that in 27 mass shootings that occurred in schools, only one was brought to an end by an armed school security guard. The rest were brought to an end by responding police officers, school employees or suicide.

COMMUNITY POLICING

ADDRESSING UNCONSCIOUS BIAS

By: Anthony Davis

SUCCESS METRICS

1. An increase in positive interaction between the police department staff and members of the general public
2. I believe that changes are possible with some different methods of policing. And although, even with training, incidents of racial bias may not be eliminated - but it can be managed. As Eberhardt stated in her research "We can use evidence-based approaches to improve police-community interactions and to maintain justice."

IMPLEMENTATION IN YEAR ONE

1. Documented evidence that arrests of people of color has dropped by 10-15%
2. Documented evidence that stops have dropped by 10-15%
3. Citizens' complaints of police interactions dropped by 30%

IMPLEMENTATION IN YEAR FIVE

1. Documented evidence that arrests of people of color has dropped by 15-20%
2. Documented evidence that stops have dropped by 15-20%
3. Citizens' complaints of police interaction dropped by 50%

Sources:

- (1) https://www.youtube.com/watch?v=tkpUyB2xgTM&ab_channel=DixonFuller2011
- (2) Race, Gender, and Arrest 2017-2019 Kingston Police Department Lieutenant Michael Bonse
- (3) <https://www.census.gov/quickfacts/kingstoncitynewyork>
- (4) <https://www.wbur.org/hereandnow/2020/05/29/psychologist-police-bias-training>

ADDRESSING UNCONSCIOUS BIAS

By: Anthony Davis

THE PROBLEM

1. All we need to do is revisit the “Doll Test” administered by Drs Kenneth and Mamie Clark in the 1940’s and 50’s and then repeated in 2015. The study shows that children between the ages of 2 and 7 were shown dolls or pictures of children with different shades of color from pure white to very dark brown. Roughly 65-70% of the children interviewed, Black, white and Latinx, consistently identified the white or near white dolls and pictures as being smarter, friendlier and prettier while finding the dolls and pictures of darker brown children as stupider, meaner and uglier. These prejudices are ingrained so early and their reinforcement so pervasive that the bias is both visceral and unconscious. (1)
2. In 2019, of the approximately 773 arrests in the City of Kingston, approximately 42% were people of color in comparison to the estimated 15.6% (July 2019) of the population that they represent. In 2018, of the approximately 945 arrest in the City of Kingston, approximately 42% were people of color. While not all these arrests were made by the Kingston department, and many of these could have been conducted by Sheriff’s departments or by the State police, it does tell us that we are disproportionately arresting people of color in our city and that we should examine ways to improve these outcomes. (2) (3)
3. In Stanford University’s, Dr. Jennifer Eberhardt, author of “Biased: Uncovering the Hidden Prejudice That Shapes What We See, Think, and Do”, study with the Oakland (CA) Police Department. She found that when police officers were shown an image of a white person’s face and a Black person’s face, the Black person’s face was “much more likely to draw their attention when those officers are prompted to think of shooting, apprehending, capturing or arresting,” she says. (4)

RECOMMENDED SOLUTIONS

1. Work with the City of Kingston Police Department to implement annual implicit bias trainings.
2. Create highly prescriptive protocols for engagement between law enforcement and citizens. For example, Eberhardt and her team of Stanford researchers worked with a task force in the Oakland Police Department to reduce the number of stops they were making of people not committing any serious crime, she says.

“We settled on a simple approach to reducing stops, and that was to push officers to ask themselves a question before each and every stop they make,” she says. “And that question was, ‘Is this stop intelligence-led, yes or no?’ And what they mean by intelligence-led is, ‘Do I have prior information that ties this particular person to a specific crime?’”

Adding that checkbox made a “huge difference” in Oakland, Eberhardt says. In 2017, Oakland officers made roughly 32,000 stops. But after implementing that question in 2018, officers made about 19,000 stops.

IMPROVED MORALE WITHIN THE KPD

By: Chief Egidio Tinti

THE PROBLEM

Officers and staff working at the Kingston Police Department need to have trust and positive feelings in their departmental leadership in the same way that they want to be viewed by the Kingston community. Officers who feel respected on the job by supervisors are far more likely to respect those whom they serve.

RECOMMENDED SOLUTIONS

1. Reinstigate recognition/award ceremonies on a yearly basis for work "above and beyond". The recognition and award selection should be done with direct input from the rank and file, essentially "peer approved".
2. There should be regular engagement of the rank and file with the command staff by accompanying them through a ride-a-long (Commission members) or work a shift with them answering calls on a quarterly basis (various times/days).
3. There should be continual engagement through Command staff attending in-service training with sworn members throughout all ranks of the department. Currently, command staff attends training only specific to leadership and supervision.

SUCCESS METRICS

1. An increase in positive compliments being submitted to the Police Commission/Command staff by members of the general public
2. Reduction in sick time use. (Usually a measure of morale)
3. More detail in reports complete by officers after handling calls. (Would indicate a more interactive encounter)

IMPLEMENTATION AFTER YEAR ONE

1. 20% increase in compliments
2. 10% reduction in sick leave
3. 3. 20% fewer reports needing to be updated after supervisor review

IMPLEMENTATION AFTER YEAR FIVE

1. 50% increase in compliments
2. 30% reduction in sick leave
3. 50% fewer reports needing to be updated after supervisor review

RECRUITMENT, TRAINING & MORALE

IMPLEMENT LAW ENFORCEMENT OFFICER (LEO) CRISIS INTERVENTION TEAM (CIT) TRAINING

By: Beetle Bailey

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2. "New U.S. data show similar autism prevalence among racial groups." Laura Dattaro and Peter Hess, Spectrumnews.org. Autism prevalence in the United States continues to rise, according to a new study of 8-year-old children in 11 states. Boys are 4.3 times as likely as girls are to have autism, a ratio that is consistent with previous estimates. The report, released today by the Centers for Disease Control and Prevention (CDC), shows that 1 in 54 children had autism in 2016. This represents a 10 percent increase over the 2014 prevalence of 1 in 59 children. March 26, 2020. <https://www.spectrumnews.org/news/new-u-s-data-show-similar-autism-prevalence-among-racial-groups/>
3. "Why autism training for police isn't enough." Elissa Ball and Jaclyn Jeffrey-Wilensky, Spectrumnews.org. Catrina Thompson doesn't worry about the safety of her 16-year-old autistic son Christopher when they're in their hometown of Winston-Salem, North Carolina. There, Thompson is chief of police, and most people on the force know Christopher, she says. The officers also all get two training sessions on how to interact with autistic people. But when Thompson and Christopher visit family out of state, she says, the fear creeps in. "When I go to Michigan, I'm not Chief Thompson," she says. "I'm Catrina, and Christopher is not the chief's son, he's Christopher. In some people's mind, he just looks like a big Black kid. And that, when coupled with his behaviors, can be intimidating or even scary to an officer who hasn't been trained." November 26, 2020. <https://www.spectrumnews.org/news/why-autism-training-for-police-isnt-enough/>
4. "Spotlight On: Racial and Ethnic Differences in Children Identified with Autism Spectrum Disorder (ASD)." Centers for Disease Control and Prevention, Cdc.gov. ADDM reports have consistently noted that more white children are identified with ASD than black or Hispanic children. Previous studies have shown that stigma, lack of access to healthcare services due to non-citizenship or low-income, and non-English primary language are potential barriers to identification of children with ASD especially among Hispanic children. A difference in identifying black and Hispanic children with ASD relative to white children means these children may not be getting the services they need to reach their full potential. Last Updated August 27, 2019. <https://www.cdc.gov/ncbddd/autism/addm-community-report/differences-in-children.html>
5. "Black people more than three times as likely as white people to be killed during a police encounter." Harvard.edu. Accessed December 1, 2020. Black Americans are 3.23 times more likely than white Americans to be killed by police, according to a new study by researchers from Harvard T.H. Chan School of Public Health. The study was published online June 24, 2020 in PLOS ONE. <https://www.hsph.harvard.edu/news/hsph-in-the-news/blacks-whites-police-deaths-disparity/>
6. "Behaviour is communication (or not)." Tania Melnyczuk, Tania.co.uk. "Behaviour is communication." This is a popular saying in the autism industry, and it's used by both sides of the behaviourist debate: those who want to stop autistic people from behaving autistically, and those who accept alternative ways of being and communicating. But the notion that all behaviour is communication is problematic. July 16, 2019. <https://tania.co.za/behaviour-is-communication>

IMPLEMENT LAW ENFORCEMENT OFFICER (LEO) CRISIS INTERVENTION TEAM (CIT) TRAINING

By: Beetle Bailey

HOW TO LEVERAGE IDENTIFIED OPPORTUNITIES?

Three noteworthy opportunities include:

1. **Better data, tracking, and training for law enforcement.** “If you have 50 hours of training on how to make sure you’re in control at all times and tackle people, and then four hours of training on dealing with autistic people, you’re not going to be acting on those four hours of training in a crisis,” says Sam Crane, legal director at the Autistic Self Advocacy Network.
2. **Better accountability and transparency.** Introducing and maintaining the transparency and accountability that has long been missing, and using it to adjust those duties, responses, and roles—as well as the rules and guidelines—as we gain more information and experience
3. **Informing and “training” the public to better recognize autistic individuals in the community and accept them and their needs.** And non-autistic people need to refrain from calling the police immediately when they see an autistic person behaving in ways they don’t understand — especially when the person is Black, Crane says. “There are situations where no one should be responding. Just because someone’s different, it isn’t actually an emergency.”

METRICS FOR SUCCESS?

That depends on the collected and tracked data, and the vigilance of all involved in collection, tracking, interpreting, and the application of that data as well as community involvement in the training process, from creation to trial, observation and adaptation. The aforementioned factors must be upheld to an unwavering and unvarying standard.

WHAT DOES SUCCESS LOOK LIKE IN ONE TO FIVE YEARS OF IMPLEMENTATION?

Regulations of data and tracking and training, as well as concretized laws, rules, and protocols

- Community engagement and input, especially of autistic individuals and groups
- Formulate a baseline for engagement with people with neurodivergent citizens
- A steady or accelerating drop in complaints of misconduct regarding police interactions with neurodivergent citizens (percentage to be determined)
- Lessened and limited presence of law enforcement in civilian issues where laws have not been broken and no danger has presented itself.
- Lessened and limited presence of law enforcement in civilian issue, high-stress or not, that can be exacerbated by their presence
- Fewer physically harmed or emotionally traumatized neurodivergent individuals.

IMPLEMENT LAW ENFORCEMENT OFFICER (LEO) CRISIS INTERVENTION TEAM (CIT) TRAINING

By: Beetle Bailey

“When I go to Michigan, I’m not Chief Thompson,” she says. “I’m Katrina, and Christopher is not the chief’s son, he’s Christopher. In some people’s mind, he just looks like a big Black kid. And that, when coupled with his behaviors, can be intimidating or even scary to an officer who hasn’t been trained.”

HOW TO OVERCOME IDENTIFIED BARRIERS?

The two initial barriers, the first steppingstones seem to be:

WHAT POLICE DON’T KNOW ABOUT AUTISM.

Identify and sort where knowledge is lacking and create multi-faceted training that is frequently reinforced and involves interaction with neurodivergent people in the community, as well as psychologists. This will take careful organization, planning, data-keeping, and long-term tracking, as well as professionals to interpret the statistics.

WHY THEY DON’T KNOW IT?

- Lacking the ability to collect and track data
- Lacking the proper and most efficacious method to collect and track data
- An unwillingness to track data when the ability and even rudimentary method exists to do so
- When the data is kept and track, it is often small in sample size
- Researchers do not always get access
- The tracking sometimes relies on pre- and post-training tests or officer self-reports, which can be impacted by bias
- Many training sessions are voluntary, randomly assigned or mandatory
- Officers who sign up for autism trainings tend to have a personal connection to the condition, which can skew the results
- Trainings, themselves, are often too few and too brief, ineffective and inaccurate

Ultimately, researchers will need police department resources and cooperation to conduct longitudinal research. “It can be very difficult,” says Laurie Drapela, associate professor of criminal justice at Washington State University Vancouver in Washington. “It’s a lot of money, a lot of time and you have to have your research design set before the trainer ever walks in the room. That doesn’t mean it’s not worth doing.”

IMPLEMENT LAW ENFORCEMENT OFFICER (LEO) CRISIS INTERVENTION TEAM (CIT) TRAINING

By: Beetle Bailey

6. **Need for routine and order** — easily upset by schedule changes, loves to organize things, thrives upon a structured routine and may drift aimlessly without one. This may require assistance as Autistics can be easily distracted and therefore disorganized and susceptible to meltdowns.
7. **Difficulties with socializing** — struggling to understand others' perspectives (mind-blindness), parallel play, not understanding social norms (e.g., not saying "hi" after another person says "hi")
8. **Comorbid conditions** — dyspraxia, anxiety, depression, epilepsy, ADHD, and more

With any of these documented and long-established behaviors, or a combination of them, being taken for aggression and a threat or shutdowns likely being taken as a refusal to comply . . . escalation, where there had previously been no issue, can be disastrously unavoidable. When that autistic person is Black, in a country where Black people are 3.23 times as likely to be killed by law enforcement than white people--according to researchers at Harvard's T. H. Chan School of Public Health, in 2020--this is more than an obvious recipe for disaster. It's a recipe for frequently repeating tragedy.

RECOMMENDED SOLUTIONS

- Evidence-based and research-based trainings, protocols, and guidelines
- Ongoing reinforcement of trainings and education
- Data tracking and keeping of all demographics and areas, as well as examination of that data over time
- Periodic reviews of data, protocols, and trainings by community, trainers, and psychologists
- Direct and prioritized input on all protocols and trainings by autistic community members who choose to take part
- Vigilant focus and adherence to rules and protocols, based on their training, by LEO, and accountability and transparency from LEO and related sectors
- Detailed and explicit contingencies, including punitive measures, in place for responding to LEO non-compliance with training, such as tying budgets, salary, promotions/demotions, termination of employment, and serving time for illegal and/or unethical behaviors to compliance with ALL trainings and based on tracked data

As a police officer and parent, Chief Catrina Thompson, of Winston-Salem, North Carolina knows all too well how badly interactions between autistic people and law enforcement can go. From beatings and violent arrests to deadly shootings, police use of force against autistic people is not uncommon. Thompson, who is Black and has a sixteen-year-old autistic son named Christopher, says most people on the force know Christopher and the officers also all get two training sessions on how to interact with autistic people. But when Chief Thompson and Christopher visit family out of state fear creeps in.

IMPLEMENT LAW ENFORCEMENT OFFICER (LEO) CRISIS INTERVENTION TEAM (CIT) TRAINING

By: Beetle Bailey

THE PROBLEM

Findings, released by the Centers for Disease Control and Prevention (CDC), show that 1 in 54 children had autism in 2016. It is not statistically uncommon for a child to be diagnosed as being on the autism spectrum or otherwise neurodivergent. The average lay-person often can't recognize the difference between a person who is neurodivergent (autistic spectrum, bipolar, ADHD, etc.) and even if they can recognize the difference, they don't know how to de-escalate a situation, manage a conflict that has arisen, or calm a frightened and disoriented person down in even simple ways. This is also true of law enforcement, and dangerously so. Especially when the autistic person is Black.

WHY IS THIS IMPORTANT?

Even trained psychologists, doctors, and researchers find diagnosing and charting traits of autism in the most represented group: white, male children. A change of skin color has long shifted their diagnoses significantly. Add gender to that and there are many kids and adults on the autism spectrum going undiagnosed. This leads to under-reporting and lack of understanding of how autism might present differently in Black boys and Black girls.

To expect law enforcement to be able to do engage with people of color on the autistic spectrum with a few hours of training that might include watching a video and nothing else, is daunting.

Common Autism Spectrum Characteristics

1. **Stimming and other atypical movements** — self-stimulatory behavior such as rocking, flapping, finger flicking, echolalia, and more; unusual facial expressions; difficulty with movements such as dyspraxia
2. **Communication differences and struggles** — may learn to speak later or not at all, may rely upon echolalia or AAC, may use language in unique ways
3. **Unusual responses to sensory input** — hypersensitivity, hyposensitivity, sensory seeking, and sensory avoiding
4. **Unusual development for age** — cannot relate easily to same-age peers, likes things that usually younger or older people like (e.g., a child who loves astrophysics or an adult who watches cartoons), difficulty transitioning to adulthood at expected age
5. **Special interests** — deeply passionate about certain subject matters or objects (e.g., cats, calculus, red strings, or a TV show), may frequently share information about this subject matter

BUILDING A SUPPORTIVE AND REHABILITATIVE CRIMINAL JUSTICE SYSTEM

By Raquel Derrick, PhD

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BUILDING A SUPPORTIVE AND REHABILITATIVE CRIMINAL JUSTICE SYSTEM

By Raquel Derrick, PhD

4. For the sustainability of a supportive and rehabilitative criminal justice, a data infrastructure should be developed to enhance a coordinated response between agencies and across system points. While preparing this proposal, I requested data from KPD on police encounters with adults with SMI that either did not exist or could not be easily produced. Across initiatives proposed by the Task Force, it is problematic that data do not exist to inform the scope of the targeted problems and inform appropriate responses. Beyond the data availability issues, is the lack of a qualified professionals to analyze and disseminate data in a way that is informative and easily understood by the general public. This should be one of the key initiatives outlined in the multi-year strategic plan. Such an endeavor can be supported through grant funding. Consider connecting with Mount Vernon, NY Police Department that has developed a data system that allows officers to easily identify individuals with a known history of SMI. College interns can be utilized for data entry at little to no cost to the agencies.

SUCCESS METRICS

Ultimately the metrics for success should be tailored to the interventions identified through the SIM mapping exercise. Overall, the establishment of this Task Force and the implementation of a comprehensive strategic plan should produce:

- Increased identification of adults with SMI within the community
- Increased establishment of community-based resources for adults with SMI
- Increased connection of adults with SMI to appropriate community-based resources
- Decreased law enforcement encounters/arrests of adults with SMI
- Decreased incidents of injury to officers from adults with SMI and vice versa
- Decreased incidents of incarcerations of adults with SMI
- Zero incidents of solitary confinement for adults with SMI
- Decreased technical violations for adults with SMI on probation

IMPLEMENTATION IN YEAR ONE

Within the first year of implementation, Kingston should:

- Complete its SIM mapping exercise
- Establish SIM Task Force
- Develop a multi-year strategic plan for diverting adults with SMI from the criminal justice system consistent with the recommendations produced from the SIM mapping exercise

BUILDING A SUPPORTIVE AND REHABILITATIVE CRIMINAL JUSTICE SYSTEM

By Raquel Derrick, PhD

While KPD has participated in CIT training, it is unclear if the model has been fully implemented into operation at the PD due to lack of available evaluation data. It is critical to focus on implementing any identified interventions to fidelity, as this is where efforts for reform often fail. I would also recommend that Kingston look into the [911 Diversion Program](#) in Broome County, NY, which is currently being pursued for replication in Westchester County, NY. The 911 Diversion Program trains 911 dispatch and emergency services personnel to screen for mental health and co-occurring issues, assessing callers for level of risk and need to divert them to appropriate resources to resolve the call effectively. Calls are triaged into low, medium and high risk and callers are then connected to the appropriate level of response (e.g., crisis hotline, mobile crisis teams, CIT-trained law enforcement).

It is important to explore all intercept points in relation to diverting adults with SMI because of the research on how detrimental incarceration is for adults with SMI and the astronomical costs associated. However, the goal of putting emphasis on Intercepts 0 and 1 is to build a strong enough community-based response for these individuals that their penetration beyond intercept 1 is an anomaly and not commonplace as it has currently been identified nationally. When there is an instance of an adult with SMI entering intercepts 2-5, there should be alternatives in place for them.

3. A multi-year strategic plan for diverting adults with SMI should be established and implemented through the SIM Task Force. One of the challenges for implementing new initiatives that are successful is the ability to implement to fidelity. The Task Force should utilize technical assistance available to implement initiatives to fidelity through resources such as the GAINS Center (www.samhsa.gov/gains-center), NYS Diversion Center (located in the Division for Forensic Services at the Office of Mental Health), and connection to other NY localities or national programs that have successfully implemented diversion initiatives.

Another challenge to implementing community-based initiatives to support adults with SMI is funding. The SIM Task Force should identify and pursue grant opportunities to strengthen resources and interventions. Through the collaborative nature of the work, there is also the opportunity to share resources through either resource pooling to support an initiative or co-locating personnel from mental health agencies within justice agencies.

BUILDING A SUPPORTIVE AND REHABILITATIVE CRIMINAL JUSTICE SYSTEM

By Raquel Derrick, PhD

The Sequential Intercept Model (SIM) views the criminal justice system as a series of six intercepts. A mapping exercise brings together key mental health and justice stakeholders to plot resources and gaps across the SIM, facilitating the identification of local behavioral health services to support diversion from the criminal justice system. The impetus behind SIM Mapping is that the presence of mental illness, especially symptomology related to mental illness, should not result in an increased likelihood of arrest or incarceration, despite increased likelihood of law enforcement contact (Munetz & Griffin, 2006). The goal of SIM mapping is to facilitate system change.

Ulster County participated in a SIM mapping with Policy Research Associates (PRA) on October 13, 2016, however Kingston Police Department (KPD) did not participate at the time and does not participate in any ongoing SIM workgroups. There is an opportunity to revisit this process and the progress on the recommendations provided by PRA. Many of the recommendations included in the SIM report are consistent with the needs that have been identified by the current Task Force, such as:

- Improve screening, diversion, and service engagement for service members and veterans
- Expand community-based detoxification services and substance use disorders treatment beds,
- Provide criminal justice and behavioral health cross training opportunities,
- Gather data to document the processing of people with mental health and substance use disorders through the criminal justice system at all intercept points

It has been four years since this process occurred and many things may have changed through policy, procedure or practice that should be integrated into the discussion and planning. Further, there is the opportunity to engage pertinent stakeholders that did not participate in previous SIM efforts, namely KPD.

2. Specific attention should be given to identifying and implementing resources at intercepts 0 and 1 to prevent adults with SMI from penetrating the criminal justice system. There are a number of evidence-based and promising practices being implemented within the state and country. Once needs and gaps are identified through the mapping exercise, research into existing diversion initiatives that can be replicated in Kingston should be thoroughly explored by SIM Task Force members.

There are members of this Re-envisioning Public Safety Task Force that are currently working to research and propose implementation of initiatives that would fall within intercepts 0 and 1, namely an enhanced mobile crisis response team (see CAHOOTS) and an enhanced Crisis Intervention Team (CIT).

BUILDING A SUPPORTIVE AND REHABILITATIVE CRIMINAL JUSTICE SYSTEM

By Raquel Derrick, PhD

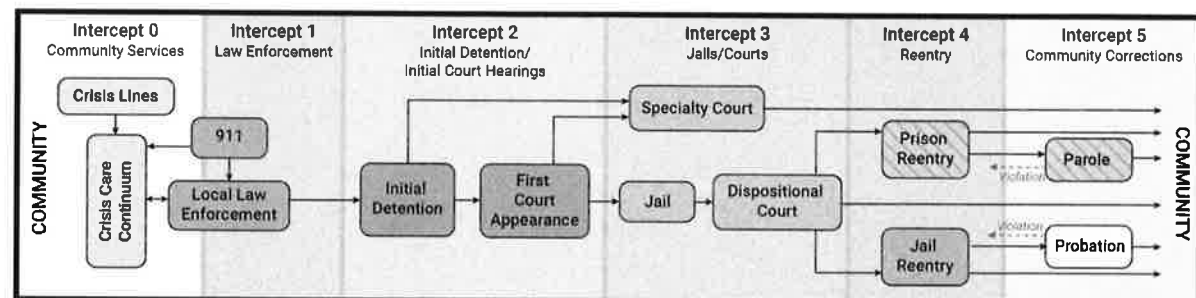
THE PROBLEM

There are currently components of a criminal justice system forged in the “tough on crime” era with antiquated punitive components that create a revolving door for individuals once they become involved in the system. This issue disproportionately affects adults with serious mental illness (SMI) who represent approximately 20% of the jail population and 14% of state and federal prison population, despite only comprising five percent of the general population (Bronson & Berzofsky, 2017; Jones, 2019; Steadman et al., 2009; Wren, McGregor & Muretz, 2017). Further, approximately 72% of adults with SMI in jails have a co-occurring substance use disorder (Vera Institute of Justice, 2016). These individuals are unlikely to receive adequate treatment while incarcerated thereby increasing the likelihood of their continued justice involvement at the taxpayers’ expense. A report on federal prisons by the U.S. Government Accountability Office (2018) found that the Bureau of Prisons spent \$72 million on psychiatric services and \$5.6 million on psychotropic drugs in 2016, demonstrating that it is costly to incarcerate adults with SMI. Individuals with SMI are also more likely to return to incarceration quicker than individuals without SMI (Cloyes et al., 2010), although their recidivism is most often the result of the disorienting aspects of their mental health issue and or socioeconomic factors (e.g., homelessness, poverty, etc.; Wren, McGregor & Muretz, 2017). It is critical to identify opportunities to divert these individuals from the justice system towards a more adequate community-based mental health and substance use treatment system.

RECOMMENDED SOLUTIONS

Establish and implement a multi-system approach to incorporate diversion into all intercept points of the criminal justice system. The key to this response is interagency collaboration to develop, implement and utilize diversion initiatives for adults with SMI.

1. Conduct a Sequential Intercept Model (SIM) mapping exercise with key mental health and justice stakeholders who service Kingston. It is important to realize that some aspects of the justice system operate at a county level, servicing residents of Kingston who will return to this community, therefore necessitating collaboration with justice agencies that are not specific to Kingston (e.g., Ulster County Jail, Ulster Country Probation, County Mental Health Services, etc.). These entities should establish a SIM Task Force for sustained collaboration.



MENTAL HEALTH AND ADDICTION-RELATED ISSUES

By: David McNamara

Opportunities which could accelerate the solution would be to bring in consultants and work with the county department of mental health and peer-based agencies like Samadhi. The good news is that we can bring in the people who have done this successfully for 30 years to guide us. Cities much larger and more diverse than Eugene, Oregon have asked CAHOOTS staff to help them build their own version of the program. Also, the county and the Sherriff's Department have just received a large grant that can help fund some of this project.

MENTAL HEALTH AND ADDICTION-RELATED ISSUES

By: David McNamara

THE PROBLEM

The core problem is that when a mental health episode, drug induced or other psychotic break, drug overdose or other drug related issue occurs, police are generally the only professionals called and sent to the site of the incident. Many of the individuals experiencing these episodes and psychotic breaks have been born with Intellectual and developmental disabilities (IDDs), have experienced severe trauma as children and throughout their lives, as well as having multiple mental health disorders. It makes it difficult when law enforcement officers are called to deal with these individuals as this has not been their training. These types of episodes can often take many hours of care that Law enforcement officers do not have available.

RECOMMENDED SOLUTION

A recommended solution to the problem is creating a team of Mental Health and Addiction Treatment Professionals to be called by 911 in the case of a mental health episode or an addiction related incident. The team would consist of a Social Worker, A Certified Recovery Peer Advocate (CRPA) and a law enforcement officer (when necessary). This was done in Eugene Oregon about 30 years ago, when a group of peers and mental health professionals retrofitted an old van, staffed it with young medics and mental health counselors and sent them out to respond to the kinds of 911 calls that wouldn't necessarily require police intervention. Today, the program, called CAHOOTS, has three vans, more than double the number of staffers and the attention of a country in crisis.

In the town of 172,000, they were the first responders for mental health crises, homelessness, substance abuse, threats of suicide -- the problems for which there are no easy fixes. The problems that, in the hands of police, have quite often turned violent.

SUCCESS METRICS

In this program in Oregon, CAHOOTS workers responded to 24,000 calls in 2019 -- about 20% of total dispatches. About 150 of those required police backup.

The director says the program saves the city about \$8.5 million in public safety costs every year, plus another \$14 million in ambulance trips and ER costs.

The major potential obstacles to achieving this or another solution are funding and the inability to collaborate and work together as a team with Law Enforcement to achieve our goals. This openness to collaboration needs to come from both sides in order for this to work. Our organization SAMADHI already works very closely with the Sherriff's ORACLE team (Opioid Response as County Law Enforcement) and we plan to create a similar program in the near future with the Sherriff's department. The goal is to make this program the model across all departments of Law Enforcement in Kingston.

ALTERNATIVES TO DIRECT POLICE ENGAGEMENT

COMMUNITY AWARENESS OF KPD POLICIES AND PROCEDURES

By: Daniel Gruner

THE PROBLEM

- A communication and perception gap between the Kingston Police and certain communities of color.
- The difference between the perception regarding the number of complaints filed vs. the actual complaints (2 as of December 15th for 2020).
- The overall lack of understanding the community has of the role the Police Commission.
- The lack of education the community has received to the various steps already taken to ensure Police Accountability.

RECOMMENDED SOLUTIONS

- Educate the community on the function and duties of the Police Commission as it pertains to Police Accountability.
- Create a quarterly Police Commission Report that will be shared with the community at large regarding the number of complaints as well as the status of each complaint.
- Information on the complaint process as well as the Complaint Form can be found at www.kingston-ny.gov/policecommission
- Put forth a better effort as an entire community, to build a better level of trust between our community and Kingston Police Department.

SUCCESS METRICS

- Quarterly police complaint report will be completely transparent and will include positive and negative data.
- A reporting system will be put in place to ensure reporting continues to build data that can be compared against other communities.
- Survey the community at least twice a year regarding their perception of the Police Department.

IMPLEMENTATION IN ONE YEAR

- The quarterly report, when aggregated over one year will enable the community to compare the data to other similar cities and towns.
- The citizen survey's will also be a gauge to how the transparency is helping improve the trust level between the Kingston Police and our community.

IMPLEMENTATION IN FIVE YEARS

- Five years of quarterly data will provide benchmark to gauge how the community's trust level has changed over time.
- A complete review of the results will identify any areas that still need to be addressed.

POLICE ACCOUNTABILITY & DISCIPLINE

By Andrea Callan

Success of these initiatives could be measured through a reduced number of appeals in the progression of the police disciplinary procedure set forth in the CBA, as well as through a reduced number of appeals to arbitration. If these declines occur, it is likely because officers felt the process was fair and consistent. Fairness and consistency build trust.

Because trust is only built through demonstrative action, results might not be seen in one year, but in five years police officers would be less resistant to routine corrective actions, understanding that the KPD is invested in supporting officer success and the community's civil liberties as one in the same. Trust will continue to be built over time, providing for decisive, effective, and *final* oversight from police leadership built into the CBA, including the ability to terminate officers for misconduct and other serious or repeated violations of department policy, that cannot be overturned through binding arbitration.

POLICE ACCOUNTABILITY & DISCIPLINE

By Andrea Callan

2. Adopt an Education Based Discipline program.

Education-Based Discipline (EBD) is an innovative alternative to traditional disciplinary suspensions that has been implemented in the LA Sheriff's Office. The process is designed to focus on behavioral change through education, based on understanding the influences that affect decision-making, rather than focusing on punishment.¹¹ EBD reduces management-employee conflict and embitterment that results from withholding employees pay. Instead, offering optional behavior-focused education and training department-wide, enhances communication, character, competence and trust. The mission of EBD is to develop an individualized remedial plan with the involvement of the employee, that emphasizes education, training, and other creative interventions thereby promoting a more comprehensive and successful outcome.¹² The option to participate is open to employees who are facing a one-to 30-day suspension in the LA Sheriff's Office, however if implemented in the KPD, these criteria can be modified according to our City's needs. The following quote from Sheriff Lee Baca summarizes the program in this way: "The focus of discipline should be on creation of a corrective action plan rather than punishment for punishment's sake. The plan should emphasize training and remediation along with more creative interventions designed to correct deficits in performance and maximize the likelihood of the Department member and his or her peers responding appropriately in the future."¹³

3. Invite community stakeholders to be part of the City's CBA negotiation team.

Community members and/or representatives from grassroots community organizations should be permitted a voice in determining contract provisions contained in the CBA between the City of Kingston and the P.B.A. Doing so will bring more transparency to the negotiations and the specific contract provisions being sought by the parties. Transparency of the negotiation process fosters accountability to the public that could create additional pressure - and leverage - over the P.B.A., providing for more favorable terms for our City and its residents when it comes to disciplinary processes and termination.

¹¹ Stephans, Darrel W. *Police Discipline: A Case for Change*, New Perspectives in Policing, June 2011, Accessible at: <https://www.ncjrs.gov/pdffiles1/nij/234052.pdf>.

¹² Los Angeles Sheriff's Department, *Education-Based Discipline: A New Approach*, Accessible at: <http://shq.lasdnews.net/shq/ebd/assets/ebd-a-new-approach-article.pdf>.

¹³ *Id.*

POLICE ACCOUNTABILITY & DISCIPLINE

By Andrea Callan

The City of Kingston's contract is no exception – the Chief is limited in the consequences available for officers who violate departmental policy. The Chief can only issue a written reprimand, dock paid leave accruals, withdraw promotions or reduce rank.⁶ Termination is only available when "the City" determines it so, not solely the Chief of Police, unreasonably politicizing routine employment decisions.

Even when termination is pursued, officers routinely appeal these decisions to binding arbitration, as set forth in the CBA, which is time-consuming, costly to taxpayers and used mainly as a mechanism to avoid accountability.⁷

RECOMMENDED SOLUTIONS

1. Adopt a disciplinary philosophy for the Kingston Police Department to begin to rebuild trust between officers and leadership.

Modeled after the Charlotte-Mecklenburg Police Department (CMPD),⁸ the KPD should put in place a formal departmental directive that recognizes the adversarial nature of policing and the often intense situations officers encounter as a result, while also recognizing the duty of an officer to conduct themselves as public servants, conveying honesty, respect and integrity in the execution of their duties.⁹ This directive should contain a commitment to consistency and fairness and these terms ought to be clearly defined. In the CMPD directive, consistency is defined as holding everyone equally accountable for unacceptable behavior and fairness is understanding the circumstances that contributed to the behavior while applying the consequences in a way that reflects this understanding.¹⁰

Given that there commonly is distrust between police officers and leadership when discipline must be pursued, adopting a disciplinary philosophy that clarifies expectations and intentions is useful to all parties in building transparency and trust. Trust among officers and leadership will lend itself to less fear from officers toward routine corrective measures and less resistance to the measures that currently play out through appeals to arbitration which are time-consuming, costly to taxpayers and often result in less accountability for officers.

⁶ Collective Bargaining Agreement Between the City of Kingston and the Kingston P.B.A. Union, Inc. January 1, 2012 – December 31, 2016, p. 10-11.

⁷ See generally, Adams, Tyler, *Factors in Police Misconduct Arbitration Outcomes: What Does It Take to Fire a Bad Cop?* ABA Journal of Labor & Employment Law Vol. 32, No. 1 (Fall 2016), Accessible at: <https://www.jstor.org/stable/44648542?seq=1>. See also, The Associated Press, *Police in misconduct cases stay on force through arbitration*, June 24, 2020, Accessible at: <https://www.nbc4i.com/news/u-s-world/police-in-misconduct-cases-stay-on-force-through-arbitration-police-in-misconduct-cases-stay-on-force-through-arbitration/>.

⁸ Charlotte-Mecklenburg Interactive Directives Guide, 100-004, Accessible at:

<https://charlottenc.gov/CMPD/Documents/Resources/CMPDDirectives.pdf#search=directives>.

⁹

¹⁰ Id.

POLICE ACCOUNTABILITY & DISCIPLINE

By Andrea Callan

THE PROBLEM

Initially looking at Use of Force policies and finding these to be consistent with model policy, my focus turned to accountability to those, and all other policies, when they are violated. Lack of meaningful accountability for police officers who commit misconduct and/or serious violations of police policy erodes public trust in the police. This harms public safety because citizens will refrain from calling upon the police in certain circumstances where they think more harm than good will come of it, and citizens may refuse to serve as witnesses or otherwise participate in police investigations.¹

Meaningful accountability of police officers seems to be lacking due to a long-standing policing culture that results in officers believing or perceiving that routine officer discipline is always a punishment rather than a remedial measure to correct officer behavior in their roles as public servants.² Part of this perception comes from the nature of the administration of discipline in police departments which has taken on the characteristics of a criminal process in the way the investigation is conducted, testimony and evidence are considered and, in many respects, the way sanctions are imposed.³ Kingston's collective bargaining agreement (CBA) with the Kingston Police Benevolent Association (the police union) uses language such as "interrogations," "charges," and "guilt or innocence" when discussing the routine discipline process and civil arbitration process.⁴

In addition, research demonstrates that unclear expectations, inconsistency in disciplinary measures and lengthy disciplinary investigation processes often lend to perceptions of a lack of fairness in discipline.⁵ The result is that police officers and their unions distrust police leadership and have fought very hard to limit the scope of discipline police chiefs and leadership have over officers. As a result, problem officers remain unaccountable and a risk to the community's safety.

¹See generally, The Opportunity Agenda, *Transforming the System*, Accessible at:

<https://transformingthesystem.org/criminal-justice-policy-solutions/create-fair-and-effective-policing-practices/promoting-accountability/>. See also, Rahr, Sue and Rice, Stephen K. *From Warriors to Guardians: Recommitting American Police Culture to Democratic Ideals*, *New Perspectives in Policing*, April 2015, Accessible at: <https://www.ncjrs.gov/pdffiles1/nij/248654.pdf>.

²Stephans, Darrel W. *Police Discipline: A Case for Change*, *New Perspectives in Policing*, June 2011, Accessible at: <https://www.ncjrs.gov/pdffiles1/nij/234052.pdf>.

³Id.

⁴Collective Bargaining Agreement Between the City of Kingston and the Kingston P.B.A. Union, Inc., January 1, 2012 – December 31, 2016, p. 9, 10-11, 13.

⁵Id.

RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

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RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

METRICS OF SUCCESS

Measuring the success of these changes can be gauged by community feedback through quarterly meetings, correspondence directly with the KPC and by seeing a reduction in the need for data requests and by Community Ambassador survey feedback. The results of the feedback of these meetings and surveys will need to be presented in our quarterly reports.

Conclusion

Using the Accountability Guidelines passed on July 8th of this year as a baseline, we have the opportunity to improve the function and effectiveness of the Kingston Police Commission through adopting a robust transparency policy that will improve accountability and improve access to data that community members and organizations have previously had to use the Freedom of Information Act to compile into useable information.

Creating a portal for data collection is absolutely necessary for the community to have access to data related to community police interactions.

Finally, expanding the commission will allow for the ability to form subcommittees giving commissioners the ability to hone their skills on specific functions of the commission. Hiring a part time administrator will assist the KPC with functioning efficiently at the level requested by the Police Accountability Guidelines.

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RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

Improve outreach to the community

Currently there are no specific guidelines other than holding public forums to improve community outreach. Having semi-annual meetings with youth organizations in the community will greatly benefit the awareness the commission has of the perception of policing in the community and the effectiveness of policy change (Buchner, B., Perez, L., McElhiney, C., Diaz, E.; 2016).

Meeting with leadership of the Boys and Girls Club, The YMCA, My Kingston Kids, The Hodge Center, to name a few, can foster a positive dialog between the commission and the community.

Follow up surveys

Offering follow up surveys for community members who file complaints helps the KPC stay accountable to the community. Surveys will be sent out to the complainants after a complaint is investigated. They will ask for feedback on the experience of their interaction with the commission member with whom they interacted during the complaint process; inquire about a complainant's satisfaction with the timing of the complaint process; whether the complainant felt heard and whether they have any recommendations to improve the process.

Alternative Dispute Resolution/Mediation

The use of mediation by civilian oversight committees has expanded in the recent years. A recent survey of 97 oversight agencies reported that roughly 30% of the agencies offered mediation as an alternative to non-violent complaints. Mediation was often offered by committees, similar to the KPC that were limited in their ability to carry out direct disciplinary action against police officers, often leaving community members dissatisfied with the outcome of their complaints. The meditation typically offers face to face mediation with a third-party professional mediator. Although an option many would not choose, there is existing research that shows having some form of mediation offered by an oversight committee significantly improves overall satisfaction with low level complaints with both officers and complainants as opposed to the standard complaint process (Walker 2002; PARC 2008; 2013), (City of Berkeley, CRB; 2020).

Inclusion in the decision to involve increased police presence

Any decision made by the mayor and chief of police to increase police presence in impacted communities should include a comprehensive meeting with the Police Commission and community leaders such as clergy, and members of the Common Council before any actions are taken. Not having the above members of the community involved in the decision-making process will inevitably lead to mistrust and a further deterioration of morale in the community.

RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

Finally, expanding the commission will allow for the ability to form subcommittees giving commissioners the ability to hone their skills on specific functions of the commission. Hiring a part time administrator will assist the KPC with functioning efficiently at the level requested by the Police Accountability Guidelines.

Expand the size of the commission

Any changes to the personnel, size and appointment process of the KPC will involve a City Charter change followed by a referendum on the ballot in the next election.

Currently the Police Commission consists of five members (four civilians and the mayor). The KPC is a hybrid between a **Review-focused** commission and an **Auditor/Monitor** Commission (Walker; 2000). If the commission is to efficiently cover the responsibilities that come with the combination of both of these models, more members are needed. The hybrid model includes policy making decisions, citizen complaint review, disciplinary recommendation as well as community outreach. (Finn, Talucci; 2001)

Given the extensive amount of data driven information required for future reporting, a paid part-time administrative position that includes the responsibility of compiling data discussed in the *Transparency in Reporting* section of this document should be considered as one of the required positions in the expansion. An alternative would be to hire a third-party data analyst and keep the board filled with volunteers who can share the responsibilities that come with the position.

A seven-member commission broken into subcommittees between policy decision making and oversight would allow for more focused and informed decision making. Currently, meeting once per month is not sufficient to cover the vast scope of responsibilities a commission has in making decisions that affect both the police department and the community.

Appointment process

Expanding the appointment power to include the police commission members and the liaison to the Common Council. This ensures that the appointment process is more thorough and balanced. In a review of nine oversight boards that include major cities such as Tucson, Portland and San Francisco by the NIJ (National Institute of Justice) in 2001, none of the boards were appointed by the mayor (Finn, Talucci; 2001). Six of them were appointed by the Common Council. In further review of other city models such as the Civilian Review Board in Rochester, NY, the members are nominated by The Center for Dispute Settlement for approval by the mayor, and in NYC members apply and are hired directly by the board (City of Rochester, CRB; 2020), (NYC CCRB; 2020).

RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

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RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

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RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohnette

Complaint data will be broken down in a similar manner to the data shared by the CCRB (The Civilian Complaint Review Board of the NYPD):

1. The number of complaints per year
2. What the complaints alleged
3. Complaints broken down by ward
4. How the complaints were filed
5. Where the alleged incidents occurred, and what time of day they occurred.
6. Reason for contact, how often the alleged misconduct resulted in an arrest or summons, how many stop-and-frisk complaints were filed as a comparison over time, and how many involved video recordings of the alleged incident.

These data are then further broken down into whether complaints were further investigated and what, if any disciplinary actions were taken. The final result is translated into an interactive map that makes the data accessible to community members and activists needing access to this data without having to go through the FOIL process (Kaufman, F.; 2016) (NYC CCRB; 2020).

Compliment Data

The KPD receives official compliments as well. For a well-rounded data set and picture of policing in our community, compliment data should be included. It should get broken down by any available demographic data included in the complement report similar to the breakdown used in the complaint data.

Conclusion

All required and voluntary data will be made readily accessible to the public on the Police Commission Website. Robust access to data improves public confidence (Attard; 2010). It will also help the commission participate in an EWS (early warning system) process. EWS has been incorporated into existing oversight models across the country to assist their local police department with identifying patterns of behavior with specific police officers. Often a pattern of misconduct is carried out by a few rather than many and can damage the perception of the entire police department. EWS is not punitive; it is used as a preventative measure to avoid further damage to the relationship with the community (Finn, Talucci; 2001). Creating a web portal that makes this data accessible and manageable to the public is key to this process.

Expand the size of the commission

Any changes to the personnel, size and appointment process of the KPC will involve a City Charter change followed by a referendum on the ballot in the next election.

Currently the Police Commission consists of five members (four civilians and the mayor). The KPC is a hybrid between a **Review-focused** commission and an **Auditor/Monitor** Commission (Walker; 2000).

RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohette

1. The total number of people who die during an interaction with police or in police custody
2. The race, ethnicity, age and sex of anyone who dies during an interaction with police or in police custody
3. The location of law enforcement activity and arrest-related deaths
4. The total number of arrests and tickets for felony and misdemeanors
5. The race, ethnicity, age and sex of anyone charged with a felony or misdemeanor

Use of Force Reporting

On July 11th, 2019 the Use of Force Reporting Legislation Act was passed requiring local, state and sheriff's departments to report all Use of Force incidents as defined in the December 31st, 2019 Memorandum.

The following UOF (Use of Force) incidents are required to be reported to the New York State DCJS (Division of Criminal Justice Services) online reporting tool which will be accessible to the public (DCJS; 2019):

- Display a chemical agent – To point a chemical agent at a subject.
- Use/Deploy a chemical agent – The operation of the chemical agent against a person in a manner capable of causing physical injury.
- Brandishes/Uses/Discharges a firearm – The operation of a firearm against a person in a manner capable of causing physical injury.
- Brandishes/Uses/Deploys an impact weapon or electronic control weapon – The operation of an impact weapon or electronic control weapon against a person in a manner capable of causing physical injury.
- Uses a chokehold or other similar restraint - Any application of sustained pressure to the throat or windpipe of a person in a manner that may hinder breathing or reduce intake of air.
- Conduct that Resulted in Death

The KPC reviews all incidents of Use of Force whether or not an official complaint has been filed, the results of these reviews should be published alongside the UOF data.

Complaint Data

Complaint data has the ability to give the public and the police commission an overview of potential patterns existing within the department therefore helping to act as a preventative rather than a fully reactive oversight presence.

RE-ENVISIONING THE KINGSTON POLICE COMMISSION

BY: Minya DeJohnette

PROBLEMS

1. Transparent and accessible data are not currently available on any local portal.
2. Expand the size of the commission to seven members broken into subcommittees between policy decision making and administrative decision and oversight.
3. Gather qualitative and quantitative data of public satisfaction with the complaint and compliment process through follow up surveys.
4. Offer mediation as an option for a complainant if the interaction does not involve Use of Force.
5. Inclusion in any decision to increase police presence in impacted communities.
6. Add the Police Accountability Guidelines to the city charter.

POSSIBLE SOLUTIONS

Transparency in Data Reporting

Multiple task force presentations reference the need for improved data reporting: "Implementing Procedural Justice", presented by Jimmy Buff, "CIT training", presented by Beetle Bailey, "Combating Unconscious Bias", by Anthony Davis, "Measuring Police Accountability", By Dan Gruner, "Improving Police Transparency", by Manuel Blas and "Creating Community Ambassadors", by Naimah Muhammad

Current Status

The Police Commission in accordance with the accountability guidelines, The STAT Act and the UOF (Use of Force Act), the KPC is currently in the process of creating a web portal on the KPC web page that contains visual and raw data to reflect the required reporting from the KPD. This information will be updated monthly. Complaint data, while not required by state law is required by the accountability guidelines. The STAT, UOF and complaint data will be compiled into data that can be translated into accessible interactive visual graphs by an employee of the KPD. This will give the community easier access to information that would otherwise have to be foiled or distilled from raw data. Eventually the Police Commission should have its own website to accommodate the vast amount of information and data required and to present the information in an easily navigable format.

Required Reporting:

STAT Act

The STAT act passed on June 15th, 2020 requires the reporting of the following (NYS Assembly; 2020): Crime analyst

USE OF FORCE & ACCOUNTABILITY

EXECUTIVE OVERVIEW *cont'd*

For this reason, it is best to utilize a consultant that can be wholly dedicated to designing data systems and analysis plans for relevant topics. True to Einstein's remark, we can't expect to garner new and more critical insights by collecting the same old information.

More Informed Citizens

During the Task Force's work, there has been a growing recognition by members that a significant percentage of our Kingston citizens are either poorly informed or misinformed about police procedures and policies, citizens' rights, as well as police discipline and accountability. A significant percentage of our Task Force's time was spent in a deep analysis of KPD's policies and procedures as well as its contract agreement with the police union. Regarding the union, we learned that:

- The ultimate decision to terminate a KPD officer ultimately rests with an independent arbitrator and not the police chief.
- All citizen complaints are directed to the Kingston Police Commission and not the police chief.
- Hiring a KPD officer is primarily determined by the Kingston Police Commission and not the police chief.
- Disciplining of KPD officers primarily rests with the Kingston Police Commission and not the police chief.
- Officers can have a union representative present at all meetings regarding discipline. In fact, union representatives can attend disciplining meetings instead of the officer under review.

Our investigation found that many systems to support fair and accountable policing, especially regarding use-of-force procedures, are already contained in KPD policies, but that many citizens don't know about them. In addition, citizens often struggle with how to lawfully respond to an officer's questions and potential searches because many don't fully know their rights. Task Force recommendations include calls for an improved format for police/community forums focused on these issues plus more and better information on city and KPC websites.

All of this speaks to the need for a much more proactive public relations plan. You'll find these concerns reflected in the recommendations of Minya DeJohnette, Dan Gruner as well as many others.

Conclusion

Finally, it's extremely important that Kingston prepare itself for a long and deliberate reform process, not a quick fix. Some of the Task Force's recommendations will take years to fully realize. That said, Task Force members strongly believe this slate of recommendations can have a lasting and transformative effect on our city when implemented.

EXECUTIVE OVERVIEW *cont'd*

From Warrior to Guardian

The assault on the US Capitol has highlighted a stark difference in how groups of police officers engage groups of insurrectionist White people versus protesting Black people. As one Black commentator put it: "They have killed a whole lot more of us for a whole lot less." Again, this concern harkens back to the earlier comments on unconscious bias.

A couple of points should be made here. Two of our reports address how many Black Kingstonsians view city police officers. Blacks see them in light of how they see police behavior unfolding across the nation which is amplified by social media. This perception is pervasive and difficult to change since engaging with police is often viewed as a matter of life and death for people of color because Blacks have been disproportionately killed by U.S. police for centuries and recently quantified by the Washington Post since 2015. (*Washington Post Data Base on US Police Shootings 2015 to 2020* <https://www.washingtonpost.com/graphics/investigations/police-shootings-database>). A Black citizen's typical engagement with police during a traffic stop or a home/street encounter, is likely to create a moment of high or even life-threatening anxiety. Thus, Black people often act from a place of defensiveness and/or self-protection, expecting the worse unless convinced otherwise.

Secondly, a number of our Task Force members investigated the phenomenon of Black people feeling they are considered "guilty" until proven "innocent;" because that is often their lived experience. Until a person of color can objectively see that police officers are committed to fair and respectful treatment for everyone, trust cannot happen. Yes, the burden is on the police officer to prove herself/himself to the citizen of color because of centuries of behavior to the contrary. If officers treat encounters with Black people primarily as a potential physical threat that must be controlled, change is highly unlikely. Recommendations from Andrea Callan, Naimah Muhammed, Jimmy Buff, Manuel Blas and Chief Tinti illustrate this point.

Relevant Research

Out of the 12 Task Force recommendations to the Common Council, seven are requesting more accurate and targeted research data. This would require the assistance of a trained research professional to examine which data are already available to examine the various issues raised, which data would need to be collected to examine the issues of interest and how data should be collected to facilitate analyses. This process could result in the development of surveys and/or other data collection instruments.

The researcher might find that the current record keeping practices of KPD need to be revised to incorporate relevant data into a data management system (e.g., identification of adults with serious mental illness or substance use disorders to identify frequency and disposition of police interactions with these populations) or that other forms of data should be analyzed (e.g., body camera footage of interactions between law enforcement and individuals that do not receive a citation or are not arrested to examine the quantity and quality of these interactions, especially involving people of color). Many of the proposals cited in this report are based on national data trends, however local data should be analyzed to justify and facilitate implementation of any initiatives.

EXECUTIVE OVERVIEW

By Lester Strong

“Amazing opportunities often come disguised as insoluble problems.” **John Gardner**

“We cannot solve our problems with the same thinking we used when we created them.”
Albert Einstein

These thoughts, by Einstein and Gardner, provided a conceptual framework for the Task Force’s daunting work. They challenged us to be constantly mindful of thinking about solutions differently and to remember the original thinking that created these problems in the first place; no small task when delving into the centuries-old traditions of race and policing in America.

The purpose of this overview is to offer a context for the Task Force’s investigations and to highlight recurring themes which merit extra attention. Regarding context, it’s critically important to remember that Kingston doesn’t exist in isolation; but rather is part of a vast ecosystem called the United States of America. Consequently, the challenges and opportunities facing the country are also very present in Kingston. For example, it should not be surprising that the creation of this Task Force was prompted by the killing of George Floyd in Minneapolis, MN. Conversely, many of the recommended solutions offered in this report have come from cities and states all across the country. Ultimately, the hope is that these insights will highlight how Kingston can achieve a more respectful and equitable law enforcement environment.

Unconscious Bias

On most of the African continent, the term “race” is rarely used. People are more commonly known by their country, tribe or language. And yet skin color, almost exclusively, is the principal criterion for a person’s social and economic mobility in America regardless of talent, intellect, fame or wealth. That’s largely because ever since the very founding of this country, Black people were considered inferior, a “fact” codified in the Constitution, where each Black American is counted as 3/5th of a person. Persistent housing, education and health care disparities in Kingston and across the U.S. graphically illustrate that Black people still suffer the outcomes that result from being considered “less than” by the dominant white culture. There are volumes of research to support this assertion, from school and housing segregation, to job discrimination, to wealth disparities. Much of America is living through a *Copernicus moment*; in that while genetics and lived experiences amply demonstrate an equally shared humanity between Black and white people, culture and social conditioning continue to undermine that understanding.

This is why people generally, and police officers especially, cannot simply trust their “gut” exclusively in making crucial decisions. Interactions between police and people of color must be governed by updated protocols, uniformly enforced, that aim for a fair, respectful and humane outcome for both parties. Presentations by Task Force members Tony Davis, Jimmy Buff and Manuel Blas make recommendations on this topic.

THE PROCESS

In September of 2020, the Re-Envision Public Safety Task Force was charged by Mayor Steve Noble to address law enforcement's community relations, use-of-force policies, procedural justice, systemic racial bias, and practices that may contribute to racial disparity in the community, among other issues. The Task Force reviewed current police force policies, procedures, and practices, and has developed a plan for any necessary improvements, with a focus on the needs of the community and fostering trust. The Re-envision Public Safety Task Force has worked to provide a comprehensive plan, which must be ratified no later than April 1, 2021 by the Kingston Common Council.

An invitation was made to the entire Kingston community to serve on the Re-envision Public Safety Task Force. Approximately 40 citizens responded. As lead coordinator, Lester Strong was charged with committee member selection. Lester reviewed all of the applications and interviewed the candidates eligible to serve. From there, 10 members were chosen to serve on the task force. Care was taken to include a wide cross-section of the Kingston community regarding ethnicity, gender, income-level and relevant expertise. Additional participants included a representative from the Kingston Common Council, the Kingston Police Commission plus the Chief of Police, bringing the total Task Force membership to 13.

Simultaneously, concerns regarding Kingston's law enforcement policies and procedures were solicited and collected from the Kingston citizens through the city's website and Facebook pages which totaled roughly 70 comments. More concerns were added by Task Force members themselves. Once collected, the concerns were divided into four categories:

- **Use of Force and Accountability**
- **Alternatives to Direct Police Response**
- **Recruitment, Training and Morale**
- **Community Policing**

Task Force members first ranked the community concerns in the order they considered most important and then selected one of the highest priority concerns to be investigated and to bring forward recommendations.

The first Task Force meeting was held on September 30, 2020 with subsequent meetings held twice monthly through December 2020. In addition, between meetings, Task Force members conducted individual research and writing assignments on their chosen topics. As a result, each report represents the research, analysis and recommendations of individual Task Force members and not a consensus of the Re-envision Public Safety Task Force.

Three community town halls were scheduled, in October, November and December. Due to technical issues, the October meeting had to be cancelled. Each town hall had between 40 to 50 community participants.

TASK FORCE MEMBERS AND SUPPORT STAFF *cont'd*

David McNamara - David McNamara is a Recovery coach, Substance Abuse Counselor, filmmaker and Zen practitioner. David is currently completing his certification in Harm Reduction Psychotherapy with Dr. Andrew Tatarsky (one of our advisors and collaborators.) David has worked and volunteered in the recovery field for over 10 years as well as having training in Mindfulness Therapy and meditation. Prior to this he was a filmmaker for over 30 years.

Naimah Muhammad - Naimah Muhammad is a Kingston Native who is pursuing her Masters in Social Work. Naimah is intrigued by racial interrelations and fostering beneficial dialogue.

Amy Shapiro - Amy has more than 20 years' experience in education, including in schools in New York City and the Hudson Valley. She is raising her son in the City of Kingston because of its diversity and community of activists.

Chief Egidio Tinti - Egidio Tinti has been the Chief of Police for the City of Kingston Police Department since November 2011. He began his career in law enforcement in 1992 as a patrol officer in Kingston. He holds a Master's Degree in Public Administration from John Jay College of Criminal Justice and is a graduate of the FBI National Academy Session #225.

Support Staff

*Lester Strong, Executive Director
Peaceful Guardians Project*

*Summer Smith, Director of Communications and Community Engagement
City of Kingston*

*Lt. Michael Bonse
Kingston Police Department*

*Lisa Shields DeRuvo, Operations Manager
Peaceful Guardians Project*

*Roy Verspoor, Confidential Secretary
City of Kingston*

TASK FORCE MEMBERS AND SUPPORT STAFF

The City of Kingston would like to acknowledge and thank all those who gave their time and talents in making this report possible.

Task Force Members

Beetle Bailey - Beetle Bailey is a neurodivergent individual. They have lived in Kingston since 2005.

Manuel D. Blas - Manuel D. Blas Sanchez was born in Mexico where he experienced inequality, poverty, and social instability. He worked to improve his circumstances and pursued an education. In 2014 he immigrated to the United States, planting his roots in the City of Kingston where he works in radio and community-based organizations.

Jimmy Buff - Jimmy Buff is a lifelong broadcaster and is dedicated to social justice. He's a Kingston resident and feels compelled to help our community be the most compassionate it can be.

Andrea Callan - Andrea Callan is an activist, attorney and non-profit administrator with twenty years of experience working to impact a wide range of social injustices. Andrea is Managing Director at the Worker Justice Center of NY, serves on the boards of the Hudson Valley Chapter of the New York Civil Liberties Union and the Peaceful Guardians Project, and is an Advisory Board member of the Hudson Valley Current.

Anthony Davis - Anthony Davis, 55 years of age, lifelong resident of the City of Kingston. History Teacher for the City of Kingston School District. Alderman, Ward 6 of the City of Kingston.

Minya DeJohnette - Minya DeJohnette has run an acupuncture clinic in Kingston for the past ten+ years. She is also a Kingston City Police Commissioner.

Raquel Derrick, PhD - Dr. Raquel Derrick has worked as a researcher in the child welfare, juvenile justice and the adult criminal justice systems. Dr. Derrick is passionate about creating systems that touch the right people at the right time for the right amount of time. She received her doctorate in criminal justice from the University at Albany.

Daniel Gruner - Dan Gruner is an Assistant Vice President of Rose & Kiernan, a wholly owned subsidiary of NFP. He is also a cofounder of the Benedictine Health Foundation's Rosemary D. Gruner Memorial Cancer Fund. Gruner chaired the Foundation's Bike for Cancer Care for its first fifteen years raising more than \$1.5 million to support local cancer patients and their families.

CITY OF KINGSTON

Office of the Mayor

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Steven T. Noble
Mayor

To Our Kingston Community,

It's no question that 2020 will be remembered as one of the most tumultuous and trying years in recent memory. A year that began with the tragic onset of the coronavirus pandemic, and only seemed to get more difficult as the months passed. Facing unprecedented challenges, our society was forced to look in the mirror. The nation had a visceral reaction to the killing of George Floyd by a Minneapolis police officer. The injustice of this crime sparked outrage and calls for reform across the country, including here in Kingston.

While the City has made strides in the past: instituting the Peaceful Guardians program, equipping our officers with body cameras, reviewing each and every use of force, we knew we had more trust building to do. This summer, the Kingston Common Council passed Police Accountability legislation, which I proudly signed into law. This legislation reworked our Police Commission to include more community members into the process, and increased transparency in the department. Still, it was clear that more work needed to be done.

In September of 2020, I announced the creation of a Re-Envision Public Safety Task Force with the goal of addressing our law enforcement's community relations, use-of-force policies, and practices that may contribute to the racial disparity in the community. A taskforce of ten members was chosen out of many applicants. The selected team is a diverse group meant to reflect Kingston's own population. They have worked hard over several months to compile this report. Its insights and recommendations are derived from lengthy conversations, extensive research, and public engagement via town halls. I support their comprehensive plan and believe it will be an invaluable resource as we continue to mend the relationship between law enforcement and the residents they protect.

Enacting these changes will take a sincere commitment from everyone in the City, but I believe we can meet the challenge.

Sincerely,

Steven T. Noble
Mayor of Kingston

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**CITY OF KINGSTON
RE-ENVISION PUBLIC SAFETY TASK FORCE
FINAL REPORT
January 22, 2021**

CITY OF KINGSTON

Office of the Mayor

mayor@kingston-ny.gov

L+R

Steven T. Noble
Mayor



January 26th, 2021

Honorable Andrea Shaut
President Common Council
420 Broadway
Kingston, NY 12401

Re: Ratifying the Public Safety Task Force Proposal

Dear President Shaut,

The New York State Police Reform and Reinvention Collaborative was designed to bring each community together with its local police agency to chart an individual course forward. As per Governor Cuomo's Executive Order No. 203, all communities within the Collaborative, including Kingston, were tasked with developing and ratifying a redesigned police force proposal before April 1st, 2020. Following in the Governor's guidelines, the City of Kingston pursued this course of action in a four step process: planning, learning & listening, drafting initial proposals, and the final step: public comment and ratification.

As suggested in the Governor's guidelines, the planning process began in late August and early September. The Re-envision Public Safety Task Force is made up of ten City of Kingston residents, as well as three liaisons. Liaisons include Chief of Police Egidio Tinti, representing the KPD; Aldermen Tony Davis, representing City Council; and Minya DeJohette, representing the Kingston Police Commission. The ten City residents are Beetle Bailey, Jimmy Buff, Andrea Callan, Raquel Derrick, Daniel Gruner, Donald Mapes, Jr., David McNamara, Naimah Muhammad, Manuel Blas Sánchez, and Amy Shapiro.

The group convened twice a month every other Wednesday beginning in September, moving through the initial steps of planning, listening & learning, and drafting a list of various proposals. Town Halls were held on Nov 19th, 2020 and Dec 16th, 2020 for the public to comment on the Task Force's vision and direction. Additional community input was solicited through EngageKingston.com. Furthermore, the group met with members of the Attorney General's office to discuss the plan and our process. The Task Force's final proposal has been edited and revised, and it is now prepared for review and ratification.

I ask that the Council review the Re-envision Public Safety Task Force's final report and to adopt and ratify it as our guiding path forward. I support the approach the task force has taken and believe all of the proposals were brought forward with the best interests of the Community in mind. Keep in mind, as per the Governor's Order, ratification must happen before April 1st, 2021. Once the plan is adopted, I look forward to working with the Common Council and the Kingston Police Commission in the months ahead to take steps to begin implementation of the initiatives discussed in this plan by allocating the \$100,000 previously set aside in the 2021 budget. Please feel free to contact me with any questions regarding this matter. Thank you for your consideration.

Respectfully Submitted,

Steven T. Noble
Mayor

LOCAL LAW 1 OF 2021 OF THE CITY OF KINGSTON, NEW YORK, AUTHORIZING AN AMENDMENT TO LOCAL LAW 2 OF 2000 AMENDING PLANNING BOARD, CHAPTER 96, SECTION 96-1 COMPOSITION, TERMS, VACANCIES; REMOVAL OF THE CODE OF THE CITY OF KINGSTON

Sponsored By: Laws & Rules Committee: Alderman: Ventura Morell, O'Reilly, Scott-Childress, Tallerman, Worthington

WHEREAS, presently Chapter 96, Section 96-1 Composition; Terms, Vacancies, Removal consists of five members appointed by the Mayor, which Section needs to be brought into compliance with State law; and

WHEREAS, Section 96-1 needs to be revised to reflect the word "may" instead of the word "shall"; and

WHEREAS, said revisions to the Planning Board, Chapter 96, Section 96-1 is in the best interests of said Board in order to come into compliance with State law.

NOW THEREFORE, BE IT ENACTED AS FOLLOWS:

SECTION 1. That the Common Council authorizes amending Local Law 2 of 2000, amending Planning Board, Chapter 96, Section 96-1 of the City Code as follows:

Section 96-1 Composition, Terms, Vacancies, Removal

There shall be a City Planning Board appointed by the Mayor of the City of Kingston, which Board may consist of two members who may be officials of the City of Kingston and three who do not hold any municipal office therein. The terms of the two official members shall terminate with that of the appointing Mayor.

SECTION 2. If any provisions of this local law are held to be unconstitutional or otherwise invalid by any court of competent jurisdiction, the remaining provisions of the local law shall remain in effect.

SECTION 3. This local law shall take effect upon filing with the Secretary of State.

Submitted to the Mayor this ____ day of _____, 2021

Approved by the Mayor this ____ day of _____, 2021

Elisa Tinti, City Clerk

Steven T. Noble, Mayor

Adopted by Council on _____, 2021

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THE CITY OF KINGSTON COMMON COUNCIL

LAWS & RULES
COMMITTEE REPORT

DEPARTMENT: Mayor DATE: 2-17-21

Description: Resolution to amend the City Code regarding the City Planning Board as per attached.

Signature: _____

Motion by RSC

Seconded by PO'R

Action Required: _____

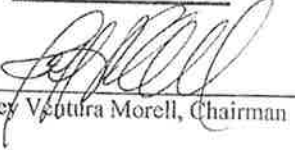
SEQRA Decision:
Type I Action _____
Type II Action _____
Unlisted Action _____

Negative Declaration of Environmental Significance: _____

Conditioned Negative Declaration: _____

Seek Lead Agency Status: _____

Positive Declaration of Environmental Significance: _____

Committee Vote	YES	NO
 Jeffrey Ventura Morell, Chairman	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Patrick O'Reilly Ward 7	<input type="checkbox"/>	<input type="checkbox"/>
Rennie Scott-Childress, Ward 3	<input type="checkbox"/>	<input type="checkbox"/>
Don Tallerman, Ward 5	<input type="checkbox"/>	<input type="checkbox"/>
Rita Worthington, Ward 4	<input type="checkbox"/>	<input type="checkbox"/>

CITY OF KINGSTON

Office of the Mayor

mayor@kingston-ny.gov



Steven T. Noble
Mayor

February 11, 2021

Honorable Andrea Shaut
President/Alderman- at-Large
Kingston Common Council
420 Broadway
Kingston, NY 12401

Re: Planning Board Code Language Change

Dear President Shaut,

Recently, two City of Kingston employees who have served on the Kingston Planning Board have either retired or plan to retire in the weeks ahead. At this time, in an effort to bring our code into compliance with state law, I believe we need to amend our City Code to indicate that the Mayor "may" instead of "shall" appoint two city employees to the Board. This will allow for the potential addition of city residents who are not city staff to sit on the Planning Board, which I believe would allow for even greater transparency and openness in the process.

I propose that the Common Council amend the code to replace the word "shall" with the word "may" in this statement "...which Board [shall (replace with may)] consist of two members who [shall (replace with may)] be officials of the City of Kingston." This will have to be done via a local law. I respectfully request that this be added as a late agenda item to the Laws and Rules Committee this month.

The current text from our city code is below:

[Amended 12-16-1999 by L.L. No. 2-2000, approved 1-3-2000]

There shall be a City Planning Board Appointed by the Mayor of the City of Kingston, which Board shall consist of two members who shall be officials of the City of Kingston and three who do not hold any municipal office therein. The terms of the two official members shall terminate with that of the appointing Mayor.

Please feel free to contact me with any questions regarding the projects. Thank you for your consideration.

Sincerely,

Steven T. Noble
Mayor

STN:rjv

