COUNTY OF ULSTER

ANNUAL STATEMENT OF

FINANCIAL DISCLOSURE FORM

_____
NAME (Please Print)

_____
POSITION and DEPARTMENT

_____
CALENDAR YEAR

COUNTY OF ULSTER
ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FORM
FOR THE CALENDAR YEAR _____

Please print clearly and return to the Ulster County Legislature by May 1st

Last Name _____ First Name _____ Middle Initial _____

Title/Capacity in which statement is required _____ Department/Agency in which this function reports _____

Work Address _____ Work Telephone Number _____

1. **Real estate ownership.** List the address of each piece of property within Ulster County or within one mile of the County's boundary that you, your spouse, domestic partner or dependent child own or have a financial interest in.

   ☐ None (Check if appropriate)

Address of Real Estate	Type of Interest

2. **Income/Employment.**

A. Identify the source and nature of any income in excess of $1,000.00 per year, including employment income, teaching income, lecture fees, consultant fees, contractual income, or other income of any nature, for you, your spouse, domestic partner, or dependent children. **This includes income from the County of Ulster if you, your spouse, domestic partner or dependent children are employees of the County during the reportable year.** Include any entity in which you, your spouse, domestic partner or dependent children has an ownership interest, except a corporation with less than five percent of the outstanding stock owned. This exception still requires a response to paragraph '2B' below if it applies to you, your spouse, domestic partner or dependent children. Do not include any maintenance connected with a matrimonial action, alimony or child support payments.

☐ None (Check if appropriate)

Individual	Name & Address of Income Source or Employer	Nature of Income

B. If any individual listed in 2A above is employed in the practice of law, is a NYS Department of State licensed real estate broker or agent, practices a profession licensed by the Department of Education, or If an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, provide a general description of principal subject areas of matters undertaken by the firm or corporation. Do not list names of individual clients, customers or patients.

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3. **Relatives in Ulster County service.** List each relative who is an officer or employee of Ulster County, whether paid or unpaid, including relative's name, relationship to you, title, and department. Relatives include your spouse, domestic partner, child, stepchild, grandchild, brother, sister, parent, stepparent, plus any person you claimed as a dependent on your latest income tax return, and that dependent's spouse or domestic partner.

☐ None (Check if appropriate)

Name of Relative	Relationship to You	Title	Department

4. **Money you owe.** List any debts of $10,000 or more that you owe to an officer or employee of Ulster County or anyone that you are aware of who does business with Ulster County. Do not list debts that you owe to banking companies that have an official banking relationship with Ulster County.

☐ None (Check if appropriate)

Creditor	Type of Obligation

Money owed to you. List any debts of $10,000 or more that officers or employees of Ulster County or anyone that you are aware of who does business with Ulster County owes you.

☐ None (Check if appropriate)

Debtor	Type of Obligation

5. **Interest in Contracts.** Describe any interest you, your spouse, domestic partner or dependent children have in any contract involving the County of Ulster.

☐ None (Check if appropriate)

Name of Family Member	Contract Description

6. **Investments.** Itemize and describe all investments in excess of $5,000.00 or five percent (5%) of the value in any business, corporation, partnership, or other assets including stocks, bonds, loans, pledged collateral, and other investments, for you, your spouse, domestic partner or dependent children excluding investments in publically traded securities and interest in real property.

☐ None (Check if appropriate)

Name of Family Member	Name & Address of Business	Description of Asset

Name of Family Member	Location of Real Estate (including home, if owned)	Description of Investment

7. **Trusts.** Identify each interest in a trust or estate or similar beneficial interest in any assets in excess of $2,000.00, except for IRS eligible retirement plans or interests in an estate or trust of a relative, for you, your spouse, domestic partner or dependent children.

☐ None (Check if appropriate)

Name of Family Member	Trustee/Executor	Description of Trust/Estate

8. **Gifts and Honorariums.** List the source of all gifts aggregating in excess of $75.00 received during the last year by you, your spouse, domestic partner or dependent children excluding gifts from a relative. The term "gifts" includes gifts of cash, property, personal items, payments to third-parties on your behalf, forgiveness of debt, honorariums and any other payments whether or not they are reportable as income. This does not include campaign contributions made to a political committee.

☐ None (Check if appropriate)

Name of Family Member	Name & Address of Donor

9. **Loans.** Describe all loans to you (and/or your spouse, and/or domestic partner, and/or dependent children) in excess of $5,000.00. This does not include any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances. If any such reportable loan has been guaranteed by any third party, list the liability and name of the guarantor.

☐ None (Check if appropriate)

Name of Family Member	Name & Address of Creditor	Type of loan & Collateral, if any

10. **Political Parties.** List any position you held as an officer of a political party, political committee or political organization within the last five (5) years. The term "political organization" includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

☐ None (Check if appropriate)

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Certification:
I hereby certify under penalty of perjury, that I have received a copy of the Ulster County Ethics and Disclosure Law (Chapter44 Local Law No. 11-2008 as amended) and that the information disclosed on this form is true and complete to the best of my knowledge.

I hereby acknowledge my continuing responsibility to disclose any conflicts of interest or potential conflicts of interest and/or recuse myself from any act or action as required by Ulster County's Ethics Law. I certify that I will undertake and carry out this responsibility to the best of my ability.

_____ _____
Signature Date

State of New York }
County of _____ } ss.:

On the _____day of _____ in the year _____ before me the undersigned, a Notary Public in and for said State, personally appeared _____ personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her capacity and that by his/her signature on the instrument, the individual, or person upon behalf of which the individual acted, executed the instrument.

_____
(Signature and Office of individual taking acknowledgement)

The deadline for filing is May 1st. Please return the completed form to the Ulster County Legislature at 244 Fair Street, 6th floor, Kingston, NY 12401.