



City of Kingston Financial Disclosure Form

Introduction/Instructions:

You are required to fill out the following financial disclosure form pursuant to the City of Kingston's Ethics Code. It is required of all elected officials, all department heads, and board/commission members of any board/commission that make actionable decisions.

The form must be complete. Do not leave a question unanswered. If a question does not apply, mark the appropriate box stating so.

The form must be signed in front of a notary. This service is available at City Hall in the Office of Corporation Counsel.

The form must be delivered to the City Clerk in a sealed envelope.

If a person is hired/elected/appointed after 2/15, they must complete a Financial Disclosure Form within thirty days.

If anything you disclose changes, you must submit a new Financial Disclosure Form with the changes.

CITY OF KINGSTON ANNUAL STATEMENT OF FINANCIAL DISCLOSURE FORM

Please print clearly and return to the Office of the City Clerk by February 15th

Last Name

First Name

Middle Initial

Title/Capacity in which statement is required. Department/Agency in which this function reports

Work Address

Work Telephone Number

1. **Real estate ownership.** List the address of each piece of property within the City of Kingston or within one mile of the City’s boundary that you, your spouse, domestic partner, or dependent child own or have a financial interest in.

___None (check if applicable)

Address of Real Estate

Type of Interest

2. **Outside Employment/business.** List the name of any outside employer from which you, your spouse, domestic partner, or dependent children receive compensation for services rendered or goods sold or produced or of which you, your spouse, domestic partner, or dependent children are a member of a board of directors, officer, or employee. Also include any entity in which you, your spouse, domestic partner, or dependent children have an ownership interest, except a corporation with less than ten percent of the outstanding stock owned.

___None (check if applicable)

Family Member

Name of Business/Employer Relationship to Business

3. If any individual listed in #2 is employed in the practice of law, is a NYS Department of State licensed real estate broker or agent, practices a profession licensed by the Department of Education, or if an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, provide a general description of principle subject areas of matters undertaken by the firm or corporation. Do not list names of individual clients, customers, or patients.

None (check if applicable)

4. **Income From City Employment.** Identify the source and nature of any income received from the City of Kingston in excess of \$1,000.00 per year for you, your spouse, domestic partner, or dependent children during the reportable year. This, however, does not include any maintenance connected with matrimonial action, alimony, or child support payments.

None (Check if applicable)

Name of Family Member

City Department/Division/Office

5. **Other Income.** Identify the source and nature of any other income in excess of \$1,000.00 per year from any source not described above, including employment income, teaching income, lecture fees, consultant fees, contractual income, or other income of any nature for you, your spouse, domestic partner, or dependent children during the reportable year. This, however, does not include any maintenance connected with a matrimonial action, alimony, or child support payments.

None (Check if applicable)

<u>Name of Family Member</u>	<u>Name & Address of Income Source</u>	<u>Nature of Investment</u>

6. **Relatives in the City of Kingston.** List each relative who is an officer or employee of the City of Kingston, whether paid or unpaid, including the relative's name, relationship to you, title, and department. Relatives include your spouse, domestic partner, child, stepchild, grandchild, brother, sister, parent, or stepparent, plus any person you claimed as a dependent on your latest income tax return and that dependent's spouse or domestic partner.

None (Check if applicable)

<u>Name of Relative</u>	<u>Relationship to You</u>	<u>Title</u>	<u>Department</u>

7. **Money you owe.** List any debts of \$10,000 or more that you owe to an officer or employee of the City of Kingston or anyone you know who does business with the City of Kingston. Do not list debts you owe to banking companies with an official banking relationship with the City of Kingston.

None (Check if applicable)

Creditor

Type of Obligation

8. **Money owed to you.** List any debts of \$10,000 or more that officers or employees of the City of Kingston or anyone you know who does business with the City of Kingston owes you.

None (Check if applicable)

Debtor

Type of Obligation

9. **Interest in Contracts.** Describe any interest you, your spouse, domestic partner, or dependent children have in any contract involving the City of Kingston.

None (Check if applicable)

Name of Family Member

Contract Description

10. **Investments.** Itemize and describe all investments in excess of \$5,000 or 5% of the value in any business, corporation, partnership, or other assets, including stocks, bonds, loans, pledged collateral, and other investments, for you, your spouse, domestic partner, or dependent children excluding investments in publicly traded securities and interest in real property.

None (Check if applicable)

<u>Name of Family Member</u>	<u>Name & Address of Business</u>	<u>Description of Asset</u>
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_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

<u>Name of Family Member</u>	<u>Location of Real Estate</u>	<u>Description of Investment</u>
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_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

11. **Trusts.** Identify each interest in a trust or estate or similar beneficial interest in any assets in excess of \$2,000, except for IRS-eligible retirement plans or interests in an estate or trust of a relative, for you, your spouse, domestic partner, or dependent children.

None (Check if applicable)

<u>Name of Family Member</u>	<u>Trustee/Executor</u>	<u>Description of Trust/Estate</u>
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_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

12. **Gifts and Honorariums.** List the source of all gifts aggregating in excess of \$75 received during the last year by you, your spouse, domestic partner, or dependent children, excluding gifts from a relative. The term “gifts” includes gifts of cash, property, personal items, payments to third parties on your behalf, forgiveness of debt, honorariums, and any other payments, whether or not they are reportable as income. This does not include campaign contributions made to a political committee.

None (Check if applicable)

Name of Family Member

Name & Address of Donor

13. **Loans.** Describe all loans to you, your spouse, domestic partner, or dependent children in excess of \$5,000. This does not include any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvement for a primary or secondary residence, or the purchase of a personally owned motor vehicle, household furniture, or appliances. If any third party has guaranteed any such reportable loan, list the liability and the name of the guarantor.

None (Check if applicable)

Name of Family Member Name & Address of Creditor Type of loan & collateral, if any

14. **Political Parties.** List any position you held as an officer of a political party, political committee, or political organization within the last five years. The term “political organization” includes any independent body or any organization that is affiliated with or a subsidiary of a political party.

None (Check if applicable)
